

The therapeutic relevance of microRNA-199b in preclinical models of heart failure

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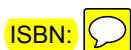
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Chapter 1

General introduction

Heart failure

Epidemiology & etiology

Heart failure (HF) occurs when the heart fails to pump adequate amount of blood to fulfil the requirements of the body due to pathophysiological remodeling of the cardiac muscle.¹ In general, HF prevalence is 1-2% in developed countries, however, this increases with age; approximately 10% among people at the age of >70 years.²⁻⁴ For instance, around 5.7 million in the United States and above 37.7 million people worldwide clinically manifest HF and the prevalence continues to increase.⁴ The total cost of HF care is expected approximately 53.1 billion by 2030.^{3,4} Despite the advancements in the development of new diagnostic, prognostic and treatment tools, HF remains the leading worldwide cause of death with approximately 50% 5-year mortality after diagnosis.⁴ On the other hand, the most recent mortality statistics of cardiovascular disease (CVD), including HF, reveals high social and economic burden of this disease across Europe, with over 4 million people dying each year from CVD.⁵ Therefore, in Europe, CVD remains to be the most common cause of death resulting in 49% of deaths among woman and 41% among men.⁵ These statistical data and facts point out a dire need for the development of new and more effective therapeutic strategies in the treatment of HF, for which it is imperative to better understand the molecular mechanisms behind HF.

The etiology of HF can be roughly divided into two categories, ischemic and non-ischemic forms of HF. In ischemic HF, ventricular dysfunction is a consequence of myocardial ischemia related to coronary artery disease, while causes of non-ischemic HF are more pleiotropic, including chronic hypertension, monogenetic cardiomyopathies, toxic injury, or metabolic disorders.⁶ In epidemiological surveys and in large-scale therapeutic trials, the prognosis of patients with ischemic HF is worse than in patients with a non-ischemic etiology.⁷ The therapeutic effect of essential drugs such as angiotensin-converting enzyme inhibitors, beta-blockers and diuretics does not significantly differ between ischemic and non-ischemic forms of HF.⁸ Hypertension, a medical condition determined by elevated blood pressure in the arteries, enhances left ventricular afterload and thereby, as an adaptive response, induces a number of structural changes within the myocardium. An increase in left ventricular wall thickness due to hypertrophied cardiac muscle cells is accompanied by increased interstitial fibrosis and reduced capillary density leading to impaired contractility and increased stiffness.⁹ Since HF is a progressive disease, all these changes, which can also be referred as “pathological cardiac remodeling”, occur over time. Initially, hypertension is accompanied by diastolic dysfunction with preserved ejection fraction, then progresses to systolic dysfunction with

reduced cardiac output.¹⁰ Coronary artery disease is the result of atherosclerotic plaque formation in the coronary arteries, which are responsible for the proper supply of oxygen-rich blood to the heart muscles.¹¹ While accumulation of plaques can gradually cause narrowing of arteries and limit blood supply to the myocardium leading to ischemia, such plaques may also rupture and cause sudden occlusion of the artery and lead to acute myocardial infarction.¹² Both conditions result in loss of myocardium, which eventually leads to abrupt loading conditions and a unique pattern of cardiac remodeling including fibrotic scar formation, cardiac hypertrophy and dilatation.¹³ Distinct patterns of cardiac remodeling can occur as response to different loading conditions generated by cardiac stress (**Figure 1.1**). Pressure overload as a result of chronic hypertension is mainly associated with ‘concentric hypertrophy’, characterized by increased wall thickness and decreased chamber volume, whereas volume overload due to coronary heart diseases or ischemic cardiomyopathy generally relates to ‘eccentric hypertrophy’ characterized by thinner ventricular walls and increased chamber volumes (**Figure 1.1**).^{14–16}

Next to the aforementioned causes, there are other pathologies involved in HF including congenital heart defects (CHD), cardiomyopathies and arrhythmia. CHDs are structural cardiac malformations present at birth in many forms and children can be treated with surgery or catheter placement.¹⁷ Cardiomyopathies are a heterogeneous group of myocardial diseases with the majority having genetic causes (inherited forms). These genetic forms, classified as familial dilated cardiomyopathy (FDCM), hypertrophic (FHCM) and arrhythmogenic right ventricular cardiomyopathy (ARCV), besides leading to development of HF, are also the most common cause of sudden cardiac death under the age of 35.^{18,19} Cardiac arrhythmia is caused by impaired cardiac conduction properties, which may arise in response to ischemia, inflammation, fibrosis, and aging or from several genetic factors.²⁰ Ventricular arrhythmias are common in patients with HF and cardiomyopathy.²¹ Importantly, arrhythmia can cause cardiac arrest and thereby, sudden cardiac death. These pathologies of HF have been extensively discussed in relation to epigenetics and genetic factors in Chapter 2.

So far, we have mainly discussed left-sided HF; however, HF can also occur due to alterations in the right side of the heart. Right HF is primarily caused by elevation of blood pressure in the pulmonary arteries and a subsequent increased afterload in the right ventricle.²² Pulmonary arterial hypertension (PAH) is one of the major causes for the development of right HF²³ with the hallmarks of right ventricle (RV) remodeling in response to PAH being cardiac muscle cell hypertrophy, fibrosis and cardiac dilatation.^{24,25}

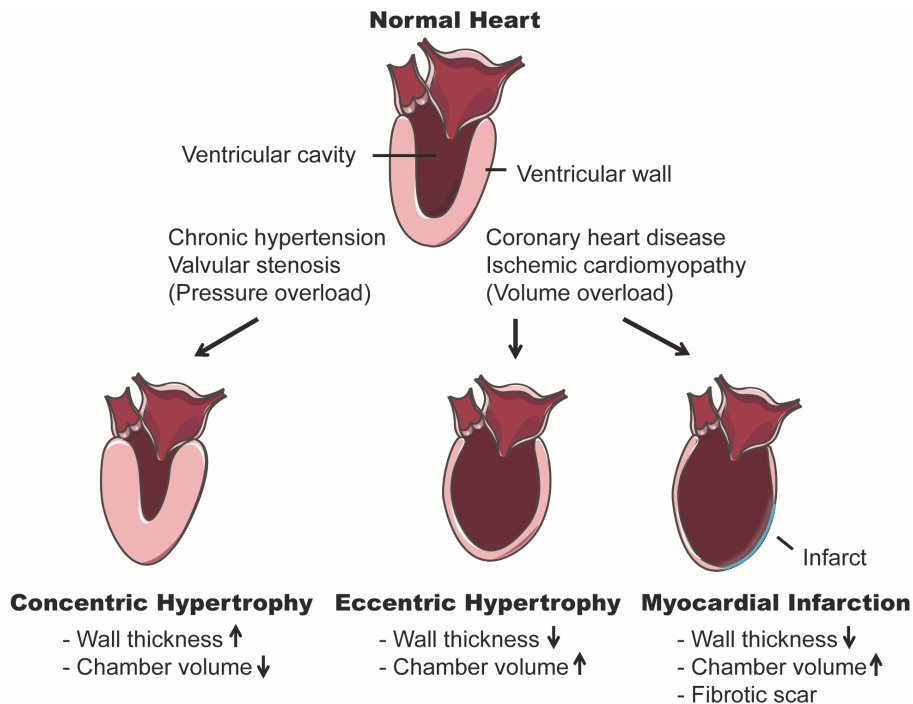


Figure 1.1 Schematic representation of cardiac remodeling in response to altered hemodynamic load. Pressure overload by chronic hypertension or valvular stenosis is mainly associated with concentric hypertrophy, characterized by increased wall thickness and decreased chamber volume, whereas volume overload due to coronary heart diseases or ischemic cardiomyopathy generally relates to eccentric hypertrophy characterized by thinner ventricular walls and increased chamber volumes. Myocardial infarction presents a unique pattern of remodeling including fibrotic scar (Infarct; depicted in blue), and the non-infarcted area, which eventually develops eccentric hypertrophy due to increased volume overload.

Molecular mechanisms of heart failure

There are different proposed models to explain the complex molecular mechanisms underlying the clinical syndrome of HF. At first, HF was thought to be a problem of renal blood flow due to increased water and sodium retention, however, further hemodynamic assessments revealed HF to be related to decreased cardiac output and enhanced arterial vasoconstriction.²⁶ This model, also known as the 'hemodynamics model', centers on declined cardiac contractility as well as peripheral vascular resistance.²⁶ Relatedly, first attempts to treat HF were to enhance contractility and to increase cardiac output. For these purposes, inotropic agents such as beta-adrenergic agonist (β -agonist) or cardiac glycosides were employed.²⁷⁻³⁰ Despite short-term hemodynamic

improvement, survival of HF patients was not prolonged which may correlate to the high-energy costs related to these agents.^{31,32} In contrast, the use of beta-blockers to inhibit adrenergic receptor activity, regardless of negative inotropic effects, prolonged survival, reduced patient hospitalization and improved life quality.^{33,34} Moreover, vasodilators were also introduced for their potential to reduce afterload and thus improve cardiac output.^{35,36} Yet, despite to a trend in improving survival, no long-term benefits were obtained after administration of a combination of isosorbide, hydralazine and the α -adrenergic blocker, prazosin.³⁵ Promising results were achieved during the Cooperative North Scandinavian Enalapril Survival study (CONSENSUS) I, where the application of angiotensin II-converting enzyme (ACE) inhibitor as a vasodilator resulted in a substantial treatment benefit.³⁷ This study puts forward the involvement of the renin-angiotensin-aldosterone system (RAAS) in the development of HF, also known as the 'neurohumoral model'.²⁶ RAAS is known to regulate blood pressure via the function of angiotensin II on nitric oxide (NO) production in vascular endothelium of various tissues and also on the secretion of vasopressin, water retaining hormone, from pituitary gland and adrenaline, noradrenalin and aldosterone secretion from adrenal gland.³⁸ In this way angiotensin II is a key molecule in the regulation of both HF-associated water retention and vasoconstriction³⁹ but also with a direct impact on cardiac remodeling by decelerating cavity enlargement after myocardial infarction.^{40,41}

As already mentioned, the heart is capable of undergoing adaptive cellular and molecular changes under stress condition. While initially these changes may improve cardiac output, if stress persists they become however, overruled and will eventually lead to cardiac dysfunction. These changes include myocyte growth without apparent proliferation, re-activation of a cardiac fetal gene program, collagen deposition, decreased capillary density and increased apoptosis and necrosis.⁴²⁻⁴⁴ Alterations in various myocardial signaling pathways were described to be involved during pathological cardiac remodeling^{45,46} and modulation of such signaling cascades may provide new therapeutic avenues for the treatment of HF.

Studies in animal models facilitated the identification of various signaling cascades involved in different cardiac pathologies.⁴⁷ Among these signal transduction cascades, the calcineurin-nuclear factor of activated T cells (NFAT) signaling is well characterized as an important mediator of cardiac growth response since it is activated during HF and responsible for an excessive increase in heart size due to induced hypertrophic growth of the heart muscle cells.^{48,49} Elevated intercellular levels of calcium (Ca^{2+}) activates the protein phosphatase calcineurin via its interaction with calmodulin.⁵⁰ Once activated, calcineurin dephosphorylates NFAT, a transcription factor that resides in the

cytoplasm in an inactive hyperphosphorylated state.⁵⁰ Upon dephosphorylation, NFAT translocates to the nucleus in order to regulate various target genes involved in development of the cardiac hypertrophic phenotype and eventually HF.⁵¹ In agreement, expression of a constitutively active form of the calcineurin catalytic subunit in murine heart results in enlarged hearts with disorganized and hypertrophied cardiomyocytes compared to the hearts of wild type animals.⁵² In contrast, blocking the calcineurin-NFAT pathway by ablation of either calcineurin-A β , nfatc3 or nfatc2 gene in mice resulted in reduced hypertrophy in response to pressure overload or angiotensin II infusion.⁵³⁻⁵⁵

microRNAs (miRNAs) in heart failure

In the past two decades, a novel class of non-coding regulatory RNA molecules called microRNAs (miRNAs), referring to their short size (~22 nucleotides), has emerged. miRNAs have developed as essential modulators of gene expression within large and complex signaling networks⁵⁶ and there is growing body of evidence of their crucial roles in the pathophysiology of heart failure (HF) (Figure 1.2).

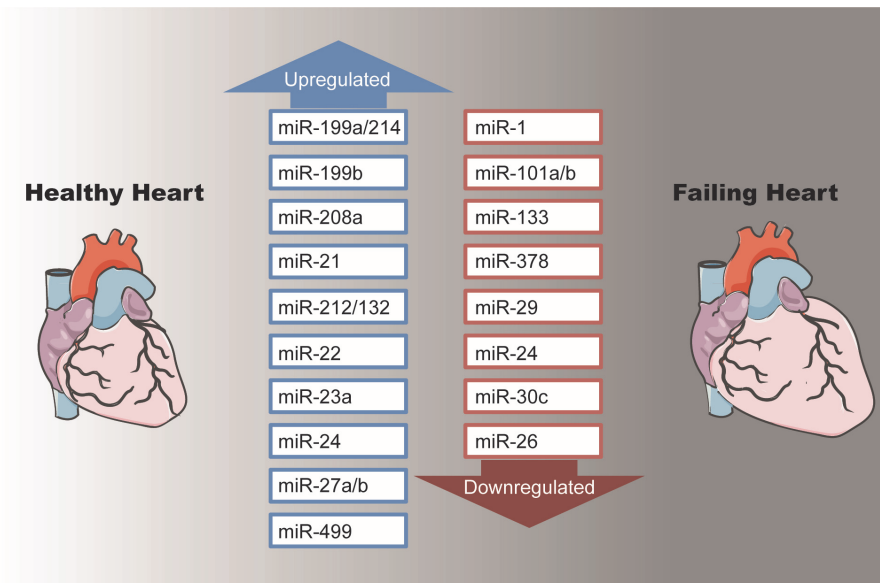


Figure 1.2 The most commonly studied miRNAs that are differentially expressed during the development of heart failure. List of miRNAs are either upregulated or downregulated during the transition from healthy heart to failing heart. These miRNAs have been shown to involve in various processes of heart failure pathology in preclinical models. Upregulated miRNAs are depicted in blue and downregulated ones in red.

miRNAs display a critical function as post-transcriptional regulators of protein coding genes via binding to their target messenger RNA (mRNA) resulting in reduced protein levels through diverse mechanisms including mRNA degradation and/or translational repression.^{57,58} In animals, the vast majority of miRNAs forms partial duplexes with their target mRNA by pairing between nucleotides 2 and 7 at the 5' end of the miRNA, known as 'seed' region, and the complementary sequence on the cognate mRNA.⁵⁹ Discovery of miRNA target genes is critical for functional characterization of miRNAs; however, partial complementarity makes the prediction of miRNA-mRNA interaction, a challenge. To overcome this, several target prediction algorithms (e.g. Target Scan, miRanda, miRwalk and DIANA_microT) have been generated based on different criteria such as seed region complementary, binding free energy and site conversion.⁶⁰⁻⁶³ More recently, many of these algorithms were upgraded with the programs including the hidden Markov model (HMM), support vector machine (SVM) classifier and the Bayesian phylogenetic model,⁶⁴⁻⁶⁶ in order to increase their predictive power.

Hence, these *in silico* tools provide hundreds of predicted targets, which must be experimentally validated in order to evaluate their biological function.⁶⁷ A commonly used method to validate the direct interaction between a miRNA and a potential target mRNA is the dual-luciferase activity assay, which is performed by co-transfection of cells with a luciferase reporter plasmid carrying the 3'UTR of a predicted target together with synthetic microRNA mimic or a miRNA expression vector.⁶⁸ While this method is not applicable for transcriptome-wide studies, argonaute 2-immunoprecipitation (AGO2-IP) followed by RNA sequencing or microarray enables comprehensive target identification.⁶⁹ AGO proteins are the catalytic components of the RNA-induced silencing complex (RISC) with AGO2 being the most abundant in the majority of tissues.⁷⁰ AGO2-IP followed by RNA sequencing allows for identification of all targets mRNA that are enriched in the RISC upon overexpression of a specific miRNA.⁷¹ Target validation should be additionally demonstrated by changes in transcript and/or the protein levels for the target gene following modulation of expression levels of the corresponding miRNA, either *in vitro* or *in vivo* in order to establish miRNA specificity in silencing its biological target. Whilst transcript levels can be determined by quantitative (real time) polymerase chain reaction (QPCR) and/or northern blotting^{72,73} on total RNA from any cell or tissue of interest and using primers or probes for the specific mRNA target. Gene microarrays^{74,75} or RNA sequencing⁷⁶ can also be performed to demonstrate the alterations in mRNA target levels in a genome-wide manner. To determine the effect on the target protein, western blotting using specific antibodies is commonly used but complementation by ELISA or immune-cyto(histo)chemistry can be utilized to determine protein quantity.⁷⁷ Moreover, proteomic approaches could also be

applied in order to identify a comprehensive set of differentially expressed proteins as a response to changes in a specific miRNA expression level.^{78,79} Once a miRNA has been predicted to regulate a certain gene, the next step is to validate whether regulation leads to changes in a biological function. Despite all the above suggested experimental procedures, target prediction and subsequent validation remains challenging in the miRNA field. The molecular function and (pre)clinical relevance of numerous microRNAs involved in various stages of HF is discussed in detail under **Chapter 3** of this thesis.

As the attempts to improve target prediction and validation methods continue to develop, antisense technology to modulate miRNA expression *in vivo* for therapeutic purposes is rapidly progressing.^{80,81} In fact, successful phase I/II clinical trials were recently conducted by using Locked Nucleic Acid (LNA) to specifically target miR-122 in the liver.⁸² Although targeting miR-92a with an LNA in a pig model of ischemia/reperfusion has generated promising results for the treatment of myocardial infarction.⁸³ To date, no clinical trial involving the application of antimiRs or mimics to treat cardiovascular disease has been initiated. While issues such as organ/cell type specific delivery and optimal design of antimiR oligonucleotides and mimics for an effective and specific effect remain to be improved, as discussed in **Chapter 3**, the use of miRNA therapeutics in numerous preclinical models encompassing diverse etiologies of HF have been carried out yielding encouraging outcomes and implying that clinical studies are also on the way for the HF.

microRNA-199b (miR-199b)

Human miR-199b is an intragenic miRNA located on the opposite strand of dynamin 1 (DNM1) gene, in a 2.2 kb intronic region between exons 14 and 15 on chromosome 9 of the human genome. The mature miR-199b strand (5p arm) is highly conserved among different species⁸⁴ and its expression has been found to be independent of the host gene in the failing heart.⁸⁴ Furthermore, miR-199b has been reported to be deregulated in various types of cancer including chronic^{85,86} and acute⁸⁷ myeloid leukemia (CML and AML), ovarian,⁸⁸ breast⁸⁹ and prostate cancers,⁹⁰ osteosarcoma,⁹¹ endometrioid endometrial carcinoma,⁹² choriocarcinomas,⁹³ medulloblastoma,^{94,95} hepatocellular carcinoma (HCC).⁹⁶ Furthermore, deregulation of miR-199b in cancer is frequently associated with drug resistance and adverse prognosis as downregulation is associated with imatinab resistance and adverse prognosis in CML,⁸⁶ chemo-resistance in ovarian cancer⁸⁸ and directly correlated with malignancy in HCC⁹⁶ as well as rapid growth of choriocarcinomas.⁹³ In contrast, miR-199b by directly targeting genes involved in the Notch signaling pathway^{94,95,97} as well as HIF-1a,⁹⁶ c-kit,⁹⁸

podocalyxin (PODXL), discoidin domain receptor 1 (DDR1)⁸⁷ and protein phosphatase 2A inhibitor (SET),⁹³ may also be a promising therapeutic target for several types of cancer by targeting proliferation and maturation of erythroid cell,⁸⁸ angiogenesis,⁹⁷ cell growth and death.⁹⁰

In the human and murine heart, elevated miR-199b levels are correlated to a pro-hypertrophic function related to the development of HF as established previously by our group.⁸⁴ miR-199b exerts its action through activation of the calcineurin/NFAT signaling pathway and inhibition of miR-199b using cholesterol-conjugated antimiRs, named antagomirs, in a model of pressure overload –induced heart failure resulted in normalization of its direct target gene, dual specificity tyrosine-phosphorylation-regulated kinase 1A (Dyrk1a), and consequently reduced calcineurin/NFAT activity. More importantly, inhibition of miR-199b preserved cardiac function and opened a potential new avenue for the treatment of HF.⁸⁴

The clear implication of miR-199b-mediated gene regulation in different pathologies puts forward the great therapeutic potential of targeting this miRNA in different human diseases and thus reflecting the need to better understand the biology of miR-199b before further developing any clinical applications.

Aim and outline of this thesis

Heart failure is a complex disease, driven by alterations in various molecular mechanisms and factors in response to a chronic or acute cardiac insult. Because differential expression of miRNAs and subsequent functional changes are correlated with diverse etiologies of heart failure, here we highlight specific differentially expressed miRNAs that have been described and established as important regulators at the onset, development and progression to heart failure in animal models and, more importantly, in humans (**Chapter 2**). In this chapter I will also discuss the genetic factors and the epigenetic mechanisms involved in heart failure and development of arrhythmias such as DNA methylation and histone modification.

Since a single miRNA has the ability to simultaneously regulate multiple target genes with related functions, modulating the expression of one miRNA may affect an entire gene network and thereby influence complex disease phenotypes. This aspect underlines miRNAs as potent therapeutic targets in many complex diseases including heart failure. To date several preclinical studies using miRNA-based therapies have revealed promising outcomes for the treatment of HF as outlined in **Chapter 3**. Among those studies, miR-199b was identified as a pro-hypertrophic miRNA and its inhibition provides an attractive therapeutic strategy for the treatment of diastolic heart failure. Because calcineurin/NFAT signaling has been implicated in the development of right ventricular failure, in **Chapter 4** we also address the role of miR-199b in this type of HF by exploring its contribution during right ventricular remodeling following pulmonary artery banding (PAB). In **Chapter 5** we investigate the therapeutic potential of targeting miR-199b in ischemic heart disease in order to gain further insights on the function of this miRNA in different etiologies of heart failure.

In **Chapter 6**, we aim at addressing delivery optimization of anti-miR oligonucleotides regarding issues such as optimal chemical design, optimal dose range and organ/cell type specific delivery. To this end we compare dose-dependent inhibitory efficacy of six different chemistry-based anti-miR oligonucleotides against microRNA-199b (miR-199b) in the murine heart.

This thesis culminates in a general discussion followed by a general conclusion and future perspectives (**Chapter 7**).

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Chapter 2

Genetics and epigenetics of arrhythmia and heart failure

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Abstract

Heart failure (HF) is the end stage of several pathological cardiac conditions including myocardial infarction, cardiac hypertrophy and hypertension. Various molecular and cellular mechanisms are involved in the development of HF. At the molecular level, the onset of HF is associated with reprogramming of gene expression, including downregulation of the alpha-myosin heavy chain (α -MHC) gene and sarcoplasmic reticulum Ca^{2+} ATPase genes and reactivation of specific fetal cardiac genes such as atrial natriuretic factor (ANF) and brain natriuretic peptide (BNP). These deviations in gene expression result in structural and electrophysiological changes, which eventually progress to HF. Cardiac arrhythmia is caused by altered conduction properties of the heart, which may arise in response to ischemia, inflammation, fibrosis, aging or from genetic factors. Because changes in the gene transcription program may have crucial consequences as deteriorated cardiac function, understanding the molecular mechanisms involved in the process has become a priority in the field. In this context, various studies besides having identified different DNA methylation patterns in HF patients have also focused on specific disease processes and their underlying mechanisms, also introducing new concepts such as epigenomics. This review highlights specific genetic mutations associated to the onset and progression of HF, also providing an introduction to epigenetic mechanisms such as histone modifications, DNA methylation and RNA-based modification, and highlights the relation between epigenetics, arrhythmogenesis and HF.

Introduction

Genetic mutations can contribute to the diverse pathologies of heart failure (HF) by altering structure and therefore, the function of proteins responsible for various cellular activities.¹ While several studies have been devoted to the evaluation of genetic factors related to heart disease and genetic complications, much less is known about the relevance of epigenetics. The term “epigenetics” is defined as changes in gene expression that cannot be explained by changes in DNA sequence² but rather result from alterations related to packaging and/or translation of genetic information.³ Epigenetic mechanisms can be acquired or heritable and constitute a mean by which interactions between genes and environment can occur. Epigenetic regulation occurs by three key mechanisms: (i) methylation of CpG islands, mediated by DNA methyltransferases, (ii) modification of histone proteins and (iii) microRNAs (miRNAs). Such modifications will lead to differential expression of similar information depending on the surrounding conditions, resulting in gene activation or silencing. Although epigenetic variability of genetic information is part of normal development and differentiation, it also depends on exogenous stimuli (e.g. smoking, drug abuse) and can, therefore, reflect the influence of those factors on the development of disease.⁴ The role of epigenetics has been mainly evaluated in cancer but recent studies have begun to address the involvement of epigenetics in the development and progression of cardiovascular diseases (CVD).

Heart failure (HF) is the end stage of several pathological cardiac conditions including myocardial infarction, cardiac hypertrophy and hypertension. Various molecular and cellular mechanisms are involved in the development of HF. At the molecular level, the onset of HF is associated with reprogramming of gene expression, including downregulation of the alpha-myosin heavy chain (α -MHC) gene and sarcoplasmic reticulum Ca^{2+} ATPase genes and reactivation of specific fetal cardiac genes such as atrial natriuretic factor (ANF) and brain natriuretic peptide (BNP).^{5,6} These deviations in gene expression result in structural and electrophysiological changes, which eventually progress to HF. Cardiac arrhythmia is caused by altered conduction properties of the heart, which may arise in response to ischemia, inflammation, fibrosis, aging or from genetic factors. Because changes in the gene transcription program may have crucial consequences as deteriorated cardiac function, understanding the molecular mechanisms involved in the process has become a priority in the field. In this context, various studies besides having identified different DNA methylation patterns in HF patients,^{7,8} have also focused on specific disease processes^{9,10} and their underlying mechanisms,¹¹⁻¹³ also introducing new concepts such as epigenomics. This review highlights specific genetic mutations associated to the onset and progression of HF, also providing an introduction to

epigenetic mechanisms such as histone modifications, DNA methylation and RNA-based modification, and highlights the relation between epigenetics, arrhythmogenesis and HF.

Genetics of heart failure

Genetic forms of HF are mainly known as familial dilated cardiomyopathy (FDCM). There are, however, two other familial forms of cardiomyopathy: hypertrophic cardiomyopathy (FHCM) and arrhythmogenic right ventricular cardiomyopathy (ARVC). In fact, FHCM is the most common form of inherited HF with a prevalence of 1 in every 500 individuals.¹⁴ FHCM is mainly defined as unexplained left ventricular hypertrophy with increased heart mass.¹⁵ The majority of patients with FHCM (approximately 60%) exhibit autosomal dominant mutations in genes encoding for sarcomere proteins such as α -myosin heavy chain (MYH7), cardiac myosin binding protein C (MYBPC3), cardiac troponin T (TNNT2), troponin I (TNNI3), alpha-tropomyosin (TPM1), myosin light chains (MYL2 and MYL3) and cardiac actin (ACTC1).¹⁶⁻¹⁸

Familial dilated cardiomyopathy (FDCM) is characterized as idiopathic DCM with a prevalence of 20-50% determined by epidemiological studies using family history and clinical, electrocardiographic and echocardiographic screening of first-degree relatives.^{19,20} FDCM is mainly inherited in a autosomal dominant manner (approximately 90%) however, X-linked (5-10%) and much less commonly autosomal recessive (AR) or mitochondrial inheritance have also been reported.²¹ A genetic cause of FDCM was identified in 30-35 % cases and mainly mutant variants of Laminin A/C (LMNA) have been reported as the most common cause of FDCM (in 7.3 % of patients with DCM).^{21,22} In a recent study, Titin (TTN) truncating mutations were attributed as the cause of FDCM in 27% of a total of 312 DCM patients.²³ Furthermore, GATA zinc finger domain containing protein 1 (GATAD1) has been identified as a disease-causing gene for autosomal recessive DCM by genome-wide mapping and exome sequencing in a unique family.²⁴

Epigenetic mechanisms

There are several epigenetic mechanisms in eukaryotes and many have already been linked to cardiac development, cardiovascular diseases and/or HF. The main alterations encompassing epigenetic in cardiovascular diseases are

described below and include ATP-dependent chromatin remodeling, DNA methylation, histone modification and RNA-based mechanisms.

1. Chromatin remodeling through ATP-dependent enzymes

The ATP-dependent chromatin-remodeling complexes do not perform covalent modifications of the DNA or histones but rather use energy derived from ATP hydrolysis to move, destabilize, eject or restructure nucleosomes. There are 4 different families of ATP-dependent chromatin remodeling complexes: switching defective/sucrose non-fermenting complexes (SWI/SNF); imitation switch complexes (ISWI); chromodomain, helicase, DNA binding complexes (CHD) and inositol requiring 80 complexes (INO80).²⁵⁻²⁸ Although all members of each family have distinct flanking domains, they all share an evolutionarily conserved SWI-like ATPase catalytic domain that serves as vehicle to adjust histone-DNA contacts for DNA movement and chromatin restructuring. On their turn, the other domains act in the recognition of covalently modified histones, modulation of ATPase activity and/or interaction with other chromatin and transcription factors. Consequently, these unique domains and their associated proteins determine the genomic targeting specificity and biological functions of each family of chromatin remodelers. In fact, chromatin modification through ATP-dependent enzymes is associated to regulation of expression of distinct gene programs in organ development and adaptation.²⁵

2. DNA methylation

DNA methylation is the most common epigenetic modification in the mammalian genome. This long-term stable epigenetic modulation involves the addition of a methyl group to the 5' carbon of a cytosine by DNA methyltransferase (DNMT) enzymes (**Figure 2.1**) and mostly occurs at the CpG (Cytosine preceding Guanosine) dinucleotide sequences, also known as CpG islands, in the mammalian genome.²⁹ CpG islands, in contrast to the remainder genome, are Cytosine-Guanosine-rich (CpG-rich), generally not methylated,³⁰ and mostly acting as sites of transcription initiation once they are associated with promoter regions of genes (~70% of gene promoters).^{31,32} DNA methylation is known to be catalyzed by three different DNMTs: DNMT1, DNMT3a and DNMT3b,³³ where DNMT1 is the core enzyme in mammals. Methylation of DNA is considered a maintenance function of DNMTs as it results in post-replicative restoration of hemi-methylated sites to full methylation.³⁴ Reduction of DNMT1 activity may result in demethylation and recent studies even showed that this is an active process.³⁵ However, this has not been shown yet for the cardiovascular system.

DNA methylation is, generally, attributed to gene silencing by hampering the accessibility of *cis*-DNA binding elements present in the promoter regions of

genes to the transcriptional machinery³⁶ and plays a crucial role in the regulation of chromatin structure including X chromosome inactivation, genomic imprinting, silencing of repetitive DNA elements and transposon transcription.^{31,37,38} Moreover, DNA methylation has been linked to biological processes underlying various diseases from cancer³⁹ to cardiovascular diseases such as hypertension,⁴⁰ diabetes,^{41,42} atherosclerosis and inflammation.⁴³

3. Histone modifications

The eukaryotic DNA is tightly compact and organized in chromatin. The nucleosome is the central unit of chromatin and is composed of an octamer center of two copies of each histone protein (H2A, H2B, H3, and H4)⁴⁴ around which a DNA segment of 14-150 base pairs is looped. Each histone has an amino-terminal tail that protrudes from the surface of the nucleosome and which can be subjected to various posttranscriptional modifications such as phosphorylation, sumoylation, ubiquitination, methylation, ADP-ribosylation, proline isomerization, deamination and acetylation.¹² These modifications lead to conformational changes in the chromatin resulting in altered gene expression⁴⁵ depending on whether DNA becomes accessible (euchromatin) or inaccessible (heterochromatin) for transcription (**Figure 2.1**).

Histone acetylation

Histone acetylation occurs at the lysine residues of the histone tails resulting in de-condensation of the chromatin structure and serving as a binding site for bromodomain proteins and transcriptional activators, and leading eventually to transcriptional activation.^{14,15} Conversely, histone deacetylation induces chromatin condensation and therefore transcriptional repression^{46,47} (**Figure 2.1**). Acetylation of histones is a dynamic process mediated by two counteracting enzyme families, the histone acetyltransferases (HATs) and histone deacetylases (HDACs). The harmony between the activities of these two sets of enzymes is a crucial element during regulation of gene expression and its deregulation is linked to several pathological conditions varying from cancer to cardiovascular diseases.^{48,49}

Histone methylation

Other key modulator of posttranslational regulation is histone methylation which can occur on all basic amino acid residues of the histone tail; arginine, lysine and histidine.⁵⁰ In addition, different amino acids can be methylated to a different extent and while lysine can be subjected to mono-, di- and trimethylation, arginine residues can only become mono- or dimethylated.⁵⁰ Methylation of histones is a dynamic process mediated by histone methyltransferases (HMTs) and histone demethylases (HDMs)⁵¹ and, unlike acetylation, histone methylation

can induce either activation or repression of gene expression depending on the target sites and degree of methylation⁵² (**Figure 2.1**). In contrast to histone acetylation, histone methylation governed mainly by histone methyltransferases SUV39H1 and G9a,^{53,54} has long been considered to be a permanent epigenetic mark.⁴⁴ However, the discovery of new players such as histone demethylases has shifted the paradigm and, in fact, several studies showed that histone methylation is tightly regulated in inflammatory and metabolic disorders.⁵⁵⁻⁵⁷

4. RNA-based mechanisms

It is now proven and accepted that the majority of the genomic DNA is transcribed as non-coding RNAs and that such RNA species play pivotal regulatory roles during development,⁵⁸ in response to environmental adversity,⁵⁹ and at the onset and progression of disease.⁵⁸ In this context, myriad studies were directed at revealing the role of non-coding RNAs in physiological and pathological processes.

There are two main classes of non-coding RNAs: infrastructural (small nuclear and nucleolar RNAs, ribosomal RNAs) and regulatory RNAs (microRNAs, long non-coding RNAs, small interfering RNAs and Piwi-interacting RNAs). To date, only miRNAs have been associated with epigenetic regulatory mechanisms in HF. Epigenetic regulation through long non-coding RNAs have been extensively studied in cancer but have also been associated to cardiovascular disease, mainly in maintenance of vascular homeostasis.^{60,61}

MicroRNAs

MiRNAs were first described in the nematode *Caenorhabditis elegans*, in the early 1990s.⁶² From then on, a multitude of miRNAs have been identified and investigated, and presently there are ~1600 human miRNA sequences annotated at miRBase19.⁶³

MiRNAs are transcribed as primary transcripts (pri-miRNA) from intergenic, intronic or exonic regions in the genome, by RNA polymerase II. These pri-miRNAs fold into an hairpin shape with five prime (5') capped (mGpppG) and a polyadenylated tail which is subsequently cleaved by an enzyme complex composed of the RNase III endonuclease Drosha and the dsRNA binding protein Pasha (also known as DiGeorge critical region 8 (DGCR8)).^{64,65} The resulting shorter (70-100 nucleotide in length) hairpin-shaped precursor miRNA (pre-miRNA) is transported from the nucleus into the cytoplasm by Ran-GTP and exportin-5.⁶⁶ In the cytoplasm, pre-miRNAs are further processed by an RNase III enzyme, Dicer, into a short (20-25 nucleotides in length) transient double stranded RNA molecule. At this stage, the formed mature RNA molecule is included in a protein complex – the so-called RNA-inducing silencing complex

(RISC), while the passenger strand is degraded.⁶⁷ The RISC-miRNA complex specifically targets mRNA sequences leading to negative regulation of protein synthesis or mRNA degradation.⁶⁷ One miRNA can regulate a vast number of mRNAs simultaneously⁶⁸ by predominantly acting through destabilization of target mRNAs and subsequently leading to reduced protein output.⁶⁹ Therefore, decreased protein production can result from a combination of mRNA destabilization and translational inhibition. MiRNAs have been shown to be involved in different pathological processes such as cancer and cardiovascular diseases.^{70,71} While in cancer epigenetic mechanisms have been widely associated to silencing of miRNA-encoding genes and thus recognized to greatly influence the expression of genetic information, only recently the importance of such mechanisms has started to be addressed in cardiovascular disease, and more specifically in HF.

Epigenetics and arrhythmia

Recent technological advances in DNA sequencing have enabled epigenome mapping and provided unprecedented insight into the distribution, interplay, and potential novel functions of chromatin modification and associated proteins. Remarkably, when using such technologies in evaluating the heart rhythm prominence of selected gene networks epigenetic modulators, not previously associated with arrhythmia, were identified as relevant under particular circumstances. A first evidence for epigenetic regulation of cardiac rhythm was raised from a study conducting microarrays on heart rhythm determinants (HRD) on tissue from mice exposed to either intermittent or chronic hypoxia and untreated wild type mice. A different environment (hypoxia) profoundly restructured the HRD web by changing the hierarchy of the composing genes and by identifying new role players. This was the case for the epigenetic modulators HDAC5, Mef2b and Mef2c.⁷²

1. Chromatin remodeling and arrhythmia

Postural tachycardia syndrome (POTS) has multiple symptoms including tachycardia. Dysfunction of the norepinephrine transporter (NET) gene has previously been implicated with POTS, with a reported coding mutation in the norepinephrine transporter gene (SLC6A2).⁷³ Head-up tilt experiments in POTS patients and found that the expression of norepinephrine transported was lower in POTS patients compared to healthy subjects. In the absence of altered SLC6A2 gene sequence or promoter methylation, the observed reduced expression of norepinephrine was directly correlated with chromatin modifications. Changes in expression were attributable to increased binding of

the repressive methyl CpG-binding protein 2 (MeCP2) regulatory complex, in association with an altered histone modification composition at the promoter region of the SLC6A2 gene.⁷³

2. DNA methylation and arrhythmia

The KCNQ1 gene is located on chromosome 11 in a region that contains a cluster of 6 genes that are expressed from either only the maternal or the paternal allele. In mice, the KCNQ1 overlapping transcript (KCNQ1ot1) is transcribed from a promoter located in intron 10 of the KCNQ1 gene. This promoter region is a CpG island and undergoes methylation on the maternal chromosome, preventing transcription, and therefore allowing expression of the gene cluster. However, this promoter region is not methylated on the paternal chromosome allowing expression of the regulatory transcript and suppressing the expression of the gene cluster.⁷⁴ The maternal allele is transcribed in early embryogenesis with the paternal allele being progressively methylated and therefore only activated during late embryogenesis.

Variable imprinting of the KCNQ1 gene provides a possible explanation for the existence of long QT syndrome (LQTS) in the absence of a coding sequence mutation in KCNQ1. Paternal imprinting is probably relieved in cardiac tissue, meaning that during differentiation methylation of the paternal chromosome must occur to block production of the suppressive KCNQot1 transcript. Mutations that disrupt the CpG island could prevent methylation and silence the paternal allele in the heart.^{74,75} A more recent study by Fatima and colleagues⁷⁶ associates epigenetic modifications with regulation of the ATP sensitive potassium (KATP). In cardiac myocytes, different isoform combinations of the SURx (SUR1, SUR2) and Kir6.2 (KCNJ11) will be responsible for distinct physiological and pharmacological properties, depending on the isoforms expressed. Promotor CpG methylation appears to be one of the regulators of SURx isoform expression and therefore, regulated or aberrant CpG methylation might play a role in controlling channel structure and function under different conditions.⁷⁶

3. Histone modifications in arrhythmia

HDAC-1 and HDAC-2 have important functions in regulating cardiac gene expression and cardiomyocyte differentiation. While myocardium-specific deletion of either HDAC-1 or HDAC-2 shows no apparent cardiac phenotype, when both are specifically deleted in murine myocardium, these mice die within 2 weeks after birth, due to cardiac arrhythmias and dilated cardiomyopathy.⁷⁷ This is likely caused by upregulation of genes that encode for fetal calcium channels and skeletal muscle-specific contractile proteins, including hyperpolarization-activated nonselection cation current (If) and T-type Ca^{2+}

current (ICa,T), both involved in calcium handling. Such genes are normally transcriptionally repressed by the RE1-silencing transcription factor (REST) through class I and IIa HDACs. Knockout of both HDAC-1 and -2 seems to result in incapacity of REST to repress these fetal genes, resulting in, among other things, arrhythmia.^{77,78}

Ablation of PAX-interacting protein 1 (PTIP), a key component of the histone H3 lysine 4 (H3K4me) methyltransferase complex, leads to reduced H3K4me expression levels and is sufficient to alter subsequent gene expression profiles. One of those H3K4me-regulated genes is the Kv channel-interacting protein 2 (Kcnip2), a regulator of cardiac repolarization current that is known to have functions in arrhythmogenesis. Regulation of Kcnip2 by H3K4me leads to decreased sodium current and action potential upstroke velocity and significantly prolonged action potential duration (APD), thereby increasing the risk of lethal ventricular arrhythmias. These results suggest that maintaining H3K4me marks is necessary for the stability of a specific transcriptional program and cellular homeostasis.^{78,79}

In Duchenne, muscular dystrophy (DMD) more than 30% of deaths result from a progressive deterioration in cardiac function. Ventricular arrhythmia is a common complication in DMD patients and a risk factor for sudden cardiac death. Colussi and colleagues⁸⁰ used X-chromosome-linked muscular dystrophy (mdx) mice, a model for DMD, and treated them with the histone deacetylase inhibitor suberoylanilide hydroxamic acid (SAHA). In resting state, there was no difference between treated and untreated groups, however, upon restraint, an increase was seen in ventricular arrhythmias in untreated mdx animals compared to mdx SAHA-treated animals or wild type control animals. Epicardial recordings revealed prolongation of the QRS complex in mdx-untreated mice in comparison to mdx-SAHA treated mice and WT mice, together with a significant reduction in impulse propagation velocity. Further analysis revealed that SAHA induces connexin 40 (Cx40), Cx37 and Cx32 remodeling but expression of Cx43 and Cx45 remains unaltered. Treatment with SAHA not only reversed Cx43 lateralization, which was observed in mdx-untreated animals, but also re-induced Na_v1.5 expression. This indicates that in mdx mice SAHA attenuates arrhythmias by mechanisms in which connexin-remodeling and sodium channel re-expression may play a role.⁸⁰

Atrial fibrillation (AF), induced by atrial fibrosis, seems to also be epigenetically regulated and this was suggested in a study sought to determine whether the HDAC inhibitor trichostatin A (TSA) reduces the amount of atrial fibrosis and concomitant AF.⁸¹ Transgenic mice overexpressing the homeo-domain-only protein (HopX-Tg), which recruits HDAC activity to induce cardiac hypertrophy,

were either treated or untreated with TSA and compared to control groups. Invasive electrical stimulation induced more atrial arrhythmias in HopX-Tg untreated mice than in HopX-Tg TSA-treated mice. TSA reduced atrial arrhythmia duration and atrial fibrosis in HopX-Tg animals. In the HopX-Tg untreated mice, atrial Cx40 was found to be lower than in WT mice, a phenomenon that was abrogated by introducing TSA in these mice. Myocardial angiotensin II levels were similar between groups, suggesting that HDAC-inhibition reverses atrial fibrosis, Cx40 remodeling and atrial arrhythmia vulnerability, rendering the atrium almost refractory to arrhythmia inducibility, independent of angiotensin II in cardiac hypertrophy.⁸¹

4. Non-coding RNA in arrhythmias

Several studies have been conducted to look at the association between microRNAs and arrhythmias. MiRNA expression profiles were shown to differ in right atrial disease, with 47 microRNAs being differentially expressed between diseased and control states, whereas similar changes in expression could not be found in left atrial disease.^{82,83} In a different study, miRNAs that were differentially expressed between atrial fibrillation and sinus rhythm in patients with mitral stenosis were showed by microarrays.^{83,84} These data indicate that microRNAs play a role in regulating cardiac conduction and in the induction of arrhythmias.

Multiple studies have shown that miR-208a plays an important role in action potential conduction. Overexpression of miR-208a leads to arrhythmia, cardiac fibrosis and hypertrophy, and is a strong predictor of cardiac death.⁸⁵ Genetic deletion of miR-208a, on the other hand, also leads to an increased risk of atrial fibrillation (AF) and other arrhythmias, due to aberrant conduction mainly caused by dysregulation of Cx40.^{85,86} Similarly, also miR-328 is upregulated not only in animal models of atrial fibrillation but also in human tissue samples from AF patients. Overexpression of miR-328 in mice increased vulnerability to AF as confirmed by diminished L-type Ca^{2+} current and shortened atrial action potential duration. AF vulnerability could be reversed by concomitant inhibition of the miRNA by an antagomir.^{83,87}

The most well established cardiac conduction-related miRNA is by far, miR-1. This miRNA plays a role in myotonic dystrophy, a disease where degeneration of the conduction system occurs and increased CACNA1C (CAV 1.2) expression, a cardiac L-type Ca^{2+} channel gene, is observed.⁸³ The involvement of miR-1 in electrocardiophysiology was further confirmed by a targeted deletion of miR-1-2 by Zhou *et al.*,⁸⁸ which lead to a high rate of sudden death, caused by conduction blockade due to direct targeting of *Irx5*, a transcription factor that regulates cardiac repolarization.⁸⁸ In rats, induction of myocardial infarction by

occlusion of the left anterior descending artery results in miR-1 upregulation and arrhythmia exacerbation but treating the animals with an antisense inhibitor could abrogate these effects. Furthermore, miR-1 also directly targets KCNJ2, which encodes for the calcium channel subunit Kir 2.1, providing a possible mechanism for increase of arrhythmias in myocardial infarction.⁸⁹ The role of miR-1 in arrhythmogenesis was further confirmed in humans where atrial cells from AF patients display a 86% decrease in miR-1 expression, a subsequent increased Kir 2.1 protein expression and an increase in I_{K1} density.^{83,90} MiR-1 is also involved in cardiac electrical remodeling in viral myocarditis where it is upregulated, resulting in decreased Cx43, which is required for transfer of electric activation, and indicating that miR-1 plays a role in intercellular communication.

Another prominent miRNA in the regulation of cardiac conduction is miR-133. Matkovich and colleagues⁹¹ showed that an increase in miR-133a leads to prolonged QT intervals. This miRNA is highly and preferentially expressed in cardiac and skeletal muscle and is known to regulate cardiac ion channels such as Kv4- encoded $I_{to,f}$ (Kcnp2).^{83,91} Furthermore, the catalytic and regulatory subunits of protein phosphatase 2A (PP2A) are decreased in cardiomyocytes in chronic heart failure and were shown to be targets of both miR-1 and miR-133. Because pharmacologic inhibition of PP2A leads to altered diastolic Ca^{2+} waves this indicates a role for these two microRNAs in calcium handling.^{83,92}

Interestingly, a relation between nicotine abuse and cardiac arrhythmias has been suggested by several studies. Nicotine treatment of canine atrial fibroblasts, resulted in upregulation of transforming growth factor beta-1 (TGF- β 1) and TGF- beta receptor type II levels (TGF- β RII), with concomitant decreased levels of miR-133 and miR-590, both directly targeting TGF- β 1 and TGF- β RII. This effect was abolished by synthetic downregulation of both miRNAs.^{83,93}

Apart from miR-1 and miR-133, there are several other miRNAs that have been associated with regulation of cardiac conduction to some extent. This is the case for miR-212 that seems to regulate inward rectifier K^+ current density by targeting Kir 2.1,⁸³ and for miR-21 that is increased in the left atria of patients with AF and which abrogation leads to repression of atrial fibrosis and AF.^{83,94,95} Furthermore, conditional overexpression of miR-17-92 in cardiac and smooth muscle tissue results in both dilated, hypertrophic cardiomyopathy as well as in arrhythmias. An increase in atrial and ventricular ectopy was observed in homozygous and heterozygous animals compared to wildtype, as well as increased susceptibility to arrhythmia. After programmed electrical stimulation all transgenic animals developed sustained and lethal ventricular tachycardia (VT)

or ventricular fibrillation (VF) and these effects were mainly caused by dysregulation of two downstream targets of miR-17-92, the lipid phosphatase and tensin homolog PTEN and Cx43.⁹⁶ Likewise, also miR-155 and miR-181 have been associated to cardiac conduction defects. Circulating levels of miR-155 are upregulated in patients with specific angiotensin receptor type 1 (AT1R) polymorphisms that have been shown to be associated with an increased risk of ventricular tachyarrhythmia and sudden death.⁹⁷ On its turn, miR-181a seems to play a role in ventricular tachycardia after myocardial infarction.⁹⁸ Altogether, the data available regarding the relation between microRNAs and arrhythmias establish microRNAs as crucial players in regulating cardiac electrophysiology and electric potential conduction through an array of different mechanisms.

Epigenetic control of heart failure

Recent genetic and biochemical studies indicate that epigenetic changes play a crucial role in the development of cardiac hypertrophy and heart failure, with dysregulation in histone acetylation status being directly linked to impaired contraction of cardiac myocytes. In fact, it has been shown that there is a cardiac chamber –specific histone acetylation pattern suggesting that cardiac ventricular chambers are epigenetically distinct.⁹⁹

1. ATP-dependent enzymes and chromatin remodeling in HF

ATP-dependent chromatin remodeling complexes play crucial roles in vertebrates, mainly in organ development and adaptation. Most of them have been associated to heart development and only a few were implicated in heart disease. The BAF (brahma-associated factor) complex is the vertebrate homolog of the yeast SWI/SNF family of chromatin remodelers. In mammals, this complex contains 12 protein components from which an ATPase subunit encoded by either *Brm* (brahma) or *Brg1* (brahma-related gene 1). These two subunits, although highly homologous, exhibit distinctive functions in vivo. While several studies have demonstrated that individual subunits of the BAF complex are essential during heart development¹⁰⁰⁻¹⁰² and may be implicated in human congenital diseases,^{103,104} BRG1 was recently involved in cardiac disease.¹⁰⁵ In embryos, *Brg1* promotes myocyte proliferation and it preserves fetal cardiac differentiation by interacting with HDACs and poly (ADP ribose) polymerase (PARP) to repress α -MHC to β -MHC shift. *Brg1* (also known as *Smarca4*) is not expressed in the adult heart but it is reactivated by stress conditions such as pressure overload. Once reactivated, Brg1 forms a complex with its embryonic partners (HDAC and PARP), to induce the pathologic α -MHC to β -MHC shift. Adult myocardial gene deletion of Brg1 inhibited cardiac hypertrophic growth and

reversed the MHC switch. Accordingly, Brg1 is activated in patients with hypertrophic cardiomyopathy, correlating with disease severity and MHC changes.¹⁰⁵ PPAR is a nuclear enzyme known to respond to DNA damage and facilitate repair. Besides DNA repair, PPAR-1 also modulates chromatin to control the transcriptional machinery in response to diverse stimuli. Such stimuli induce PPAR activation and PAR-dependent stripping of histones from chromatin, thereby favoring the opening of chromatin to allow transcriptional regulation.^{106,107} PARP is activated in cardiac hypertrophy and its activity is increased in murine and human failing hearts.^{108,109} Deletion of PARP-1 in mice or pharmacological inhibition of PARP activity decreases cardiac hypertrophy induced by angiotensin II¹¹⁰ or pressure overload,^{108,111} delays the progression from hypertensive cardiomyopathy to HF,¹¹² decreases cell death and HF after MI¹¹³ and diminishes myocardial ischemia/reperfusion injury.¹¹⁴

Although very preliminary, there seems to be a link, at the chromatin level, between fetal hearts and adult diseased hearts, and in the future, targeting the regulation of chromatin remodeling processes may become a promising approach to prevent or maybe even reverse pathological cardiac hypertrophic growth and HF.

2. DNA methylation in heart failure

Unlike in other diseases such as cancer, the role of DNA methylation in HF remains elusive. Movassagh et al. compared genome-wide methylation profiles of left ventricle tissue from HF patients and healthy controls by methylated DNA immunoprecipitation-chip (MeDIP-chip), in which immunoprecipitation of methylated DNA is followed by microarray hybridization and further validation by bisulfite sequencing.¹¹⁵ As a result, three differentially methylated angiogenesis-related loci have been identified and correlated to differential expression levels of the corresponding gene.¹¹⁵ Hyper-methylation of the 5' regulatory region of platelet endothelial cell adhesion molecule 1 (PECAM-1) and hypo-methylation of the angiomin-like protein 2 (AMOTL2) in failing hearts correlated with reduced expression of those genes, while hyper-methylation within the Rho GTPase activating protein 24 gene (ARHGAP24) is correlated with increased expression of ARHGAP24 in failing hearts.¹¹⁵ Moreover, a follow up study⁸ generated a genome-wide DNA methylation map of human hearts and revealed a significant decrease in global promoter methylation of genes with increased expression in failing hearts.⁸ The genome-wide methylation profile of patients with idiopathic dilated cardiomyopathy was recently generated.¹¹⁶ In an attempt to validate the methylation profiling of the twenty most differentially methylated genes, MassARRAY and Bisulfite sequencing were used in a large independent cohort (thirty patients).¹¹ Interestingly, twelve (out of twenty) genes showed

similar methylation patterns between the two independent studies. Such approach allowed the identification of two novel genes with differential methylation profiles between patient and control subjects, lymphocyte antigen 75 (Iy75) and adenosine A2a receptor (adora2a). Curiously, downregulation of those genes in zebrafish by using specific morpholino technology resulted in reduced ventricular contractility and HF.¹¹⁷ More recently, DNA methylation was found to be responsible for the hypermutability of distinct cardiac genes. This is the case for the cardiac isoform of the myosin binding protein C gene (Mybpc3) that has a significantly higher level of exonic methylation of CpG sites than the skeletal isoform (Mybpc2).¹¹⁸ This suggests that there are unique aspects of the Mybpc3 gene or its epigenetic environment that are prone to generate genetic mutations.

Very recently, a report in the Journal of the American Heart Association¹¹⁹ provided evidence for the effects of ambient particulate-matter (PM) on blood pressure (BP). In humans, exposure to fine and coarse concentrated ambient particles (CAPs) induce blood hypomethylation of Alu, a transposable repeated element, and Toll-like receptor 4 (TLR4). Hypomethylation of both factors was found to be associated with increased systolic BP after exposure. This is of great interest since many epidemiological studies^{120,121} have reported a correlation between PM exposure, cardiovascular disease and death, and may, therefore, represent a novel mechanism that mediates environmental effects on BP and indirectly cardiovascular disease and HF.

3. Histone modification in heart failure

Histone acetylation

Cardiac hypertrophy is the initial response to cardiac stress leading to adverse cardiac remodeling and eventually to HF. In order to elucidate the underlying mechanisms behind the development of cardiac hypertrophy, the role of histone acetylation/deacetylation has been extensively studied. Gusterson *et al.*¹⁸ demonstrated that overexpression of the transcriptional co-activators CREB binding protein (CRB) or p300, individually, could induce hypertrophic growth of cardiomyocytes depending on their histone HAT activity. Consequently, inhibition of these co-activators repressed phenylephrine (PE)-induced cell hypertrophic growth.¹²² High expression and induced activity of HAT were observed in animals subjected to pressure overload, compared to sham operated animals, while heterozygous p300 transgenic animals revealed limited cardiac hypertrophy with preserved cardiac function when subjected to pressure overload.¹⁸ Intriguingly, another study showed that p300 transgenic animals develop HF at baseline, as indicated by high mortality, adverse remodeling and

impaired cardiac function.¹²³ Although these studies indicate that p300 is a crucial modulator of cardiac remodeling they do not specifically address the importance of its HAT activity *in vivo*. To assess this question, studies with transgenic animals carrying a mutant form of p300, with no HAT activity, were performed revealing a rescue of myocardial infarction (MI)-induced pathological remodeling as well as preserved cardiac function compared to intact p300-carrying transgenic animals.¹²⁴ These responses to p300 modulation *in vivo* are, most likely, related to the fact that p300 can directly acetylate non-histone proteins such as hypertrophy-responsive transcriptional factors like MEF2¹²⁵ and GATA-4.^{123,124}

The regulation of gene expression by HDACs seems to be more complex. HDACs are divided into four different classes (class-I, -IIa, -IIb and -IV) based on differences in their structure, enzymatic function, expression patterns and subcellular localization. Class I HDACs (HDAC1, 2, 3 and 8) are expressed ubiquitously and predominantly localized in the nucleus. Class IIa HDACs (HDAC4, 5, 7, and 9) shuttle between the nucleus and the cytoplasm and are strictly expressed in muscle, heart and brain tissues.¹²⁶ A first demonstration of the relevant role of HDAC activity in cardiomyocytes derived from a study where myocardial differentiation of monkey ES cells was facilitated by TSA, an HDAC inhibitor.¹²⁷ Furthermore, differential chromatin scanning (DCS) is a technique used to genome-widely profile HDAC targets enabling the isolation of genomic fragments associated with histones and, therefore, carrying different acetylation marks.¹²⁸ Such studies provide a basis for all following studies into the role of epigenetic modifications in cardiac disorders (Table 2.1). Interestingly, the two classes of HDACs show opposite roles in cardiac hypertrophy with class I HDACs being pro-hypertrophic and class IIa HDACs being anti-hypertrophic.¹²⁹⁻¹³¹ Induced expression of HDAC2 in cardiomyocytes mimics hypertrophic growth in an Akt-dependent manner. *In vivo*, class I HDAC2 overexpressing transgenic animals develop cardiac hypertrophy whereas HDAC2-null animals are protected from cardiac hypertrophic response after stimulation either by pressure overload or isoproterenol (ISO) administration.¹³² Similar to HATs, HDACs also interact with DNA binding proteins regulating their activity. For instance, class IIa HDACs (HDAC4, -5, -7 and -9) can directly interact with MEF2 leading to inhibition of MEF2 activity and subsequent reduced cardiac hypertrophy.¹³³ On the other hand, when MEF2 is discharged of HDACs, it may become an available target for HATs binding which in turn leads to enhanced activity of MEF2.¹³³

Table 2.1 Role of HDACs in heart disease.

| Class | Chromatin Modifying Factor | Modulation | Phenotype | Mechanism |
|-----------|----------------------------|------------------------------|---|---|
| Class I | HDAC2 | Germline deletion | Lethal at birth, Surviving adults are resistant to hypertrophy. | Suppression of SRF and GATA4-dependent gene expression; Inhibition of hypertrophic Akt/GSK3 β pathway |
| | | Overexpression in myocardium | Cardiac hypertrophy | Activation of hypertrophic Akt/GSK3 β pathway |
| | | Deletion in myocardium | No cardiac phenotype | Redundancy with HDAC1 |
| | | Deletion of HDAC1 and HDAC2 | Lethal at 2 weeks after birth: arrhythmias, dilated cardiomyopathy | Interaction with REST: repression of fetal genes involved in calcium handling and contractility |
| | HDAC3 | Overexpression | Cardiac hyperplasia without hypertrophy | Suppression of Cdk inhibitors: promotion of cardiomyocyte proliferation |
| | | Deletion in myocardium | Lethality at 3-4 months of age: cardiac hypertrophy, fibrosis, defects in fatty acid metabolism and lipid accumulation in the heart | Suppression of PPAR α activity on gene promoters involved in metabolic regulation |
| Class II | HDAC5/HDAC9 | Germline deletion | Enhanced hypertrophic response to cardiac stress; female hearts are protected from ischemia injury | Suppression of Mef2 and CAMTA2; suppression of Mef2-ER α -VEGF α pathway |
| Class III | SIRT1 | Overexpression in myocardium | Low-moderate expression of SIRT1 reduces cardiac hypertrophy; High levels induces cardiac hypertrophy and apoptosis | SIRT1 expression is activated by cardiac stress and regulates the response to stress in a dose-dependent manner |
| | SIRT3 | Germline deletion | Cardiac hypertrophy and fibrosis at 2 months of age | Inhibition the proapoptotic activity of Bax |
| | | Overexpression in myocardium | Resistant to stress-induced cardiac hypertrophy | Activation of FOXO3a-dependent pathways; attenuation of the prohypertrophic MAPK/ERK and PI3K/Akt pathways. |
| | SIRT7 | Germline deletion | Cardiac fibrosis, hypertrophy and shortened lifespan | Deacetylation of p53; protection from stress-induced apoptosis |

Besides transcriptional factors, HATs and HDACs can also interact with sarcomeric proteins. PCAF, a HAT, class II HDAC4 co-localize with cardiomyocyte sarcomere in the Z-disc whereas class I HDAC3 localizes mainly in A-band.^{134,135} In addition, inhibition of HDAC4 results in altered calcium sensitivity and therefore altered contractility. HDAC4 has a unique docking site for the binding of calcium/calmodulin-dependent kinase II (CaMKII), which is absent in other class IIa HDACs. Phosphorylation of HDAC4 by CaMKII promotes nuclear export and de-repression of HDAC target genes, which, in cardiomyocytes, will lead to hypertrophic growth,¹³⁶ indicating a central role for CaMKII-HDAC4 signaling pathways in the development of cardiac hypertrophy. From the HDAC class IIb, HDAC6 catalytic activity seems to be consistently increased in stressed myocardium and is activated by different extracellular stimuli in cultured cardiac myocytes.¹³⁷ Recently, Cao *et al.* showed that inhibition of HDAC by TSA (HDAC inhibitor) treatment limits cardiac hypertrophy by suppressing autophagy. Further in vitro experiments, by selectively downregulation of HDAC isoforms in cardiomyocytes, indicated HDAC1/2 as responsible for PE- induced autophagy.¹³⁸ Autophagy is a self-degradative process during development and in response to nutrient stress and can be altered under pathological conditions.¹³⁹ Increasing evidence suggests more distinctive roles for HDACs then only acting as histone deacetyltransferases.

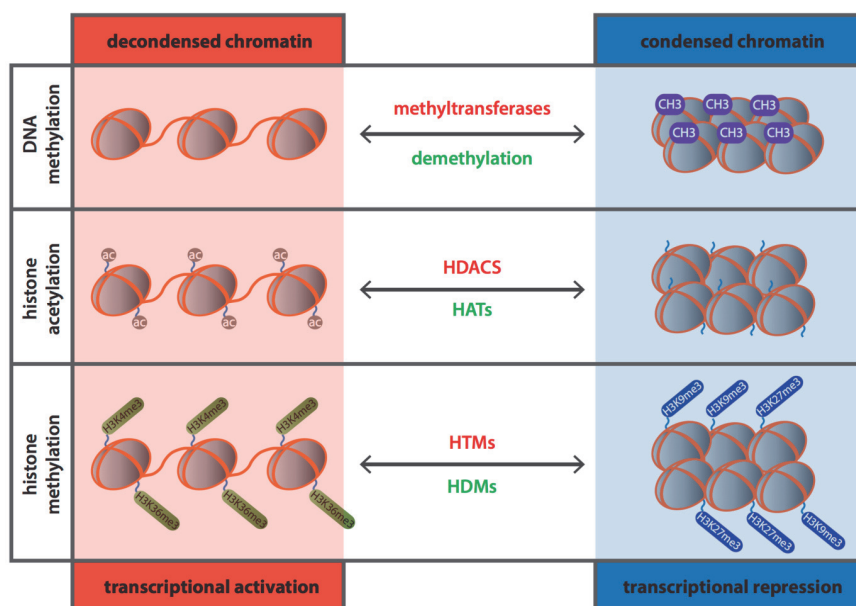


Figure 2.1 Schematic representation of the role of DNA methylation and histone modifications in transcriptional gene regulation.

Histone methylation

The most widely studied histone methylations are lysine methylations: histone H3 lysine 4 (H3K4), H3K9, H3K27, H3K36, H3K79 and H4K20.¹⁴⁰ There is limited information about the function of histone methylation in heart failure. It is known that differential methylation patterns for H3K4 and H3K9 occur in the vicinity of various gene clusters of failing human hearts.¹⁴¹ Because such sets of genes encode proteins that function in the same signal transduction pathways and H3K9 mark-profile seems to be less sensitive to disease status, this indicates differential H3K4 marking during the development of HF.¹⁴¹ Furthermore, in a Dahl salt-sensitive rat model of congestive heart failure (CHF), genome-wide histone methylation analysis revealed H3K4me3 and H3K9me3 as the most abundant histone methylation marks.¹⁴² Interestingly, mapping of H3K4me3 and H3K9me3 enriched regions in the genome of human CHF samples compared to controls revealed many HF-associated genes located in these regions.¹⁴² Moreover, histone methylation has been shown to mark not only protein coding genes but also non-coding RNA regions.⁸ The genome wide mapping of H3K36me3 in end-stage failing human hearts allowed to identify novel 4-non-coding RNA regions, which have active transcription and might involve in heart failure.⁸ This differential profile of histone methylation marks found in both human and animal samples suggests a potential role for HTMs and HDMs in HF. Accordingly, JMJD2A, a histone trimethyl demethylase,¹⁴³ is found to be upregulated in human hypertrophic cardiomyopathy (HCM) patients compare to control.¹⁴⁴ Moreover, transgenic mice with cardiac-specific overexpression of JMJD2A develop exaggerated cardiac hypertrophy compare to control following transverse aortic constriction (TAC) whereas jmjd2a-null animals seem to be protected against TAC induced cardiac stress.¹⁴⁴ All in all, these experiments indicate a potential modulator function for histone modification in heart failure; however, there are still a lot of missing pieces.

4. Non-coding RNA in heart failure

Post-transcriptional regulation of gene expression is mainly achieved by non-coding RNA molecules including microRNAs (miRNAs) and, based on rather recent findings, long-noncoding RNAs (lncRNAs).

Comparison of miRNA expression profiles in healthy and failing heart samples from human or animal models revealed differential miRNA expression patterns indicating their potential involvement in the development and progression of heart failure. In this regard, miRNA microarray analysis of cardiac tissue from mouse models of cardiac hypertrophy and congestive heart failure detected five upregulated miRNAs (namely miR-24, miR-125b, miR-195, miR-199a and miR-214), which were further confirmed in idiopathic end stage failing human

hearts.¹⁴⁵ Furthermore, mice overexpressing miR-195 developed pathological remodeling, impaired cardiac function and subsequently heart failure.¹⁴⁵ Besides distinct expression signatures of miRNAs in healthy and failing hearts, the differential miRNA expression profile among failing hearts is dependent on the underlying heart failure etiology.^{146,147} Ikeda and colleagues,¹⁴⁶ found thirteen aortic stenosis-specific miRNAs while a set of other eight miRNAs were mainly expressed in a cardiomyopathic form of heart failure. In a similar study, different sets of miRNAs were found for idiopathic dilated and ischemic cardiomyopathy.¹⁴⁷ Furthermore, the expression levels of miRNAs can vary as the disease progresses.¹⁴⁸ This was shown in a double transgenic mouse model, harboring mutations in both the myosin heavy chain gene and the cardiac troponin I gene, resulting in severe hypertrophic cardiomyopathy and premature mortality by age 21 day. Global miRNA profiles in those mice, at age of 10 and 16 days, revealed stable downregulation of specific miRNAs such as miR-1 and miR-133 and therefore, suggesting a functional role for these miRNAs throughout the progression to heart failure. Counterwise, miR-31 was upregulated at the end-stage of HF which points to a specific function for this miRNA during final phase of the disease.¹⁴⁸

Another miRNA microarray profiling study has been carried out in human end-stage congestive heart failure (CHF) with or without left ventricular assist device (LVAD) compared to healthy subjects.¹⁴⁹ Twenty-eight miRNAs were differentially expressed in diseased hearts regardless of LVAD support and, interestingly, the expression levels of twenty out of those miRNAs were either normalized or reversed in CHF group after LVAD support suggesting an eventual value of such miRNAs as prognostic tools for end-stage CHF patients.¹⁴⁹ Recent data also emphasizes the variations between adult and child idiopathic dilated cardiomyopathy patients, regarding their miRNA expression profile.¹⁵⁰ Naga Prasad *et al.*¹⁵¹ performed miRNA microarrays in end stage dilated cardiomyopathic hearts (with >15 ejection fraction) followed by in silico network analysis in order to obtain a global picture of the molecular networks and key proteins regulated by the dysregulated miRNAs. As a result, eight miRNAs displayed different expression levels in DCM subjects compared to controls and two out of this eight miRNAs, namely miR-7 and miR-378, were novel miRNAs, shown for the first time to be downregulated in end stage failing hearts.¹⁵¹ Confirmation of network analysis revealed upregulation of erythroblastic leukemia viral oncogene homolog 2 (ERBB2) and collagen, type I, alpha 1 (Col1A1) which are predicted targets of miR-7 and thus, confirming that the regulatory function of miRNAs results in alterations of global signaling networks during development and progression towards cardiac hypertrophy and heart failure.¹⁵¹

A more recent study, besides showing that miRNA expression profiles differ between healthy and failing hearts, in consensus with previous findings, also demonstrated that failing adult hearts and fetal hearts display similar miRNA profiles supporting the paradigm of reactivation of a fetal gene program^{152,153} at onset and/or during the development of heart failure.

On top of these profiling studies, a myriad of selected miRNAs was associated to cardiac disease-specific roles. MicroRNAs have also become a research focus on defining novel biomarkers of HF by characterizing miRNA patterns in easy accessible sources such as serum, plasma and even whole blood, and specific miRNA signatures have been identified as biomarkers of myocardial infarction.¹⁵⁴

Interestingly, but not yet studied in the context of cardiovascular diseases, miRNA genes can be subject of DNA methylation with direct impact on the miRNA expression levels. Epigenetic-regulation of miRNA genes was mainly showed, so far, for different types of cancer. MicroRNAs such as miR-127 and miR-137 are sensitive to DNA methyltransferase inhibitors and chromatin-modifying drugs. Interestingly, the miR-127 gene is embedded in a CpG island and is subject of epigenetic silencing.¹⁵⁵ Because miR-127 is physiologically expressed as a member of a miRNA cluster together with miR-136, -431, -432 and -433 not only in normal tissues but also in cultured fibroblasts this could hint for a role of epigenetic regulation of this miR in cardiovascular disease, e.g. fibrosis, but this remains to be clarified.¹⁵⁵ Similarly, the promoter region of miR-137 is heavily methylated in cancer cell lines and this is reversible after treatment with DNA methyltransferase inhibitors.¹⁵⁶

To date, the epigenetic regulation of microRNA expression through methylation of CpG islands or other modifications in the promoter regions that encode for specific miRNAs has not been assessed in the context of cardiovascular diseases. Nevertheless, the above-mentioned studies strongly suggest a crucial role for such mechanisms at the onset of cardiovascular pathologies.

Pharmacoepigenetics in heart failure

The existent therapies for heart failure seem to be insufficient since heart failure remains the leading cause of death in the developed countries. Therefore, there is an increasing necessity for finding novel therapeutic targets. Because the wide variability in an individual's disease

predisposition and response to treatment is only partially ascribed to heritable factors, epigenetically modifications diverging from DNA methylation to non-coding RNAs have gained much attention in several diseases, including HF.^{4,157}

Therefore, epigenetic changes are currently being considered as therapeutic approaches in synergy with nucleotide variations at the drug response level.¹⁵⁸ This rapidly emerging new discipline, so-called pharmacoeugenomics, assesses the influence of epigenetic factors in the interindividual variation to drugs with the ultimate goal of discovering novel therapeutic targets.¹⁵⁹ To date, the most advances have been made in the oncology field.¹⁵⁹ However, the knowledge obtained from such studies combined with the knowledge on the role of epigenetic modifications is being applied to other complex forms of disease including HF.

In this context, several studies performed in animal models of disease endorse modifiers of epigenetic marks as therapeutic target points. Curcumin, a natural compound found in the spice turmeric, has an HAT inhibitory activity with specificity to p300/CREB-binding protein. It has been shown to rescue pathological cardiac remodeling and preserve cardiac function in two different rat models of heart failure, namely the salt-sensitive Dahl rats and in rats that were subjected to myocardial infarction (MI).¹⁶⁰ An analogous study suggests that administration of curcumin in combination with a conventional therapy such as angiotensin conversion enzyme inhibitors (ACEi), in MI-induced rats results in a beneficial additive effect on cardiac function.¹⁶¹ Additionally, trichostatin A (TSA), an HDAC inhibitor, was showed to blunt the hypertrophic response of cardiomyocytes to PE-treatment in a dose dependent manner and excluding the eventual cytotoxic effect of TSA.¹²⁹ Moreover, treatment with TSA and valproic acid (VPA), another HDAC inhibitor, was able to attenuate cardiac hypertrophic growth in transgenic mice with cardiac overexpression of the atypical homeodomain protein Hop, known to be able to inhibit certain cardiac-gene expression by blocking serum response factor (SRF) transcription activity on a HDAC dependent way.¹⁶² TSA is also able to attenuate pathological cardiac remodeling in other mouse models such as isoproterenol-, angiotensin II- and pressure overload-induced hypertrophy.^{162,163}

Considering that epigenetics regulates phenotypic variation in health and disease, it is conceivable to expect that understanding and controlling the epigenome will prime great developments in the prevention and treatment of common diseases, including heart failure.

Conclusions

The dynamic aspects of epigenetics may not only provide more accurate evidences to the role of changing environmental factors in the drug response, associating the environment with the genome, but also offer a way to reactivate

silenced genes. While pharmacogenetics has been very valuable in the identification of interindividual variation in drug uptake and metabolism, epigenomics offers yet another layer of information that may help developing more personalized therapy. In the oncology field, epigenetic drugs have already entered the clinical arena and methylation patterns are used as biomarkers to subtype and stage various cancers as a critical and more personalized care.^{164,165}

It is clear that epigenetic modifications such as DNA methylation, histone modifications and RNA-based mechanisms are the molecular targets for disadvantageous environment stimuli and may lead to the onset of other complex and heterogeneous diseases such as arrhythmia heart failure. However, additional research is obviously necessary to further clarify how epigenetic mechanisms impact in the onset and development of heart disease, to eventually identify new drugable targets in HF and allowing disease classification or risk stratification.

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Chapter 3

Targeting microRNAs in heart failure

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Abstract

MicroRNAs play pivotal roles in cardiac disease and their therapeutic modulation raises exciting and unique opportunities as well as challenges in the path toward clinical development and implementation. In this review, we provide a detailed overview of recent studies highlighting the important role of microRNAs in heart failure (HF) and the potential use of microRNA-based technology for diagnosis, prevention, and treatment of HF. We will focus on the strategies presently used for microRNA-based therapy by discussing their use and drawbacks as well as the challenges and future directions for their development in the context of human HF.

Introduction

Heart failure (HF) is one of the major worldwide causes of death and disability. HF is a complex and progressive disease composed of several clinical syndromes, which result from the inability of the heart to provide adequate amount of blood to the organism and maintain its metabolic requirements. Moreover, HF can develop from several pathological conditions including myocardial infarction (MI), pressure overload (aortic stenosis, hypertension), inflammatory heart muscle disease (myocarditis) and volume overload (valvular regurgitation).¹ Within these different etiologies, prolonged stress stimulates a ventricular remodeling process involving diverse molecular and cellular events such as genetic alterations, hypertrophic growth, fibrosis, apoptosis and endothelial dysfunction with subsequent weakened cardiac structure and impaired contractile function.²

MicroRNAs constitute a growing class of non-coding small RNAs that act as molecular switches of gene expression and are known to regulate complex cardiac signaling and transcriptional circuits during cardiac development and disease. The global significance of microRNAs during cardiac development was elucidated by the generation of cardiac specific Dicer knockout mice. Since Dicer is an RNase III endonuclease responsible for cleavage of the precursor microRNA into an active mature microRNA, depletion of Dicer leads to disruption of the global regulation of microRNA expression and subsequent alterations in target gene expression levels. Not surprisingly, Dicer ablation resulted in embryonic lethality due to double outlet right ventricular and ventricular septum defects.³ Whereas, in the adult heart, conditional Dicer depletion resulted in adverse cardiac remodeling manifested by cardiac hypertrophy and fibrosis, upregulation of fetal cardiac gene expression and cardiac dysfunction suggesting a global requirement of microRNAs to maintain homeostasis in the adult myocardium.⁴

Besides exhibiting developmental stage- and tissue-specific expression patterns, microRNAs also regulate distinct cellular processes such as proliferation, differentiation, cell metabolism, apoptosis and angiogenesis. Presently, advances in microRNA-based technology allow researchers to modulate the cellular levels of specific microRNAs in order to ameliorate cardiac remodeling and ultimately improve or design microRNA-based therapeutic tools. In the present review, we provide an overview of recent studies highlighting the important role of microRNAs in HF and the potential use of microRNA-based technology for diagnosis, prevention, and treatment of HF.

microRNAs in heart failure

The myocardial tissue is composed of different cell types including heart muscle cells, endothelial cells, smooth muscle cells and fibroblasts, each of which contribute to the distinct structural, mechanical, biochemical and electrical properties of the heart (**Figure 3.1**). In response to cardiac injury or stress, cellular alterations such as interstitial fibrosis, angiogenesis, cellular hypertrophy and inflammation occur, which can lead to the onset of cardiac disease and/or progression to HF, and may also determine the severity of clinical outcomes. As crucial regulators of pathological cardiac remodeling, microRNAs constitute attractive therapeutic targets and several tools have been developed to specifically and efficiently modulate microRNA levels *in vivo* and to directly target the different cellular processes associated with cardiac disease (**Figure 3.1**).

Fibrosis

Cardiac fibroblasts contribute to adverse cardiac remodeling in response to stress or injury via secretion of matrix metalloproteinases and collagen leading to extracellular matrix modulation and interstitial fibrosis formation. Fibrosis is an important part of the healing process and when excessive it hampers contractility and increases the risk for arrhythmias. Therefore, blocking or reversing the formation of fibrosis may constitute an important therapeutic avenue for the treatment of HF and a number of microRNAs have previously been identified to critically affect fibrosis regulation.

microRNA-21: miR-21 is highly expressed in cardiac fibroblasts compared to other cardiac cell types and is upregulated in failing human myocardium as well as in myocardium from murine models of HF.⁵ There are, however, controversial findings concerning the importance of miR-21 in HF. miR-21 regulates fibroblast proliferation and survival by inhibiting Sprout homologue 1 (Spry1) and subsequently activating ERK-MAP kinase signaling. Inhibition of miR-21 by cholesterol-conjugated antagomirs in a pressure overload-induced cardiac disease model reduced ERK-MAP kinase activity, decreased interstitial fibrosis and improved cardiac function. Remarkably, inhibition of miR-21 three weeks after aortic banding, as a model of established cardiac hypertrophy, could still attenuate cardiac fibrosis and dysfunction.⁵

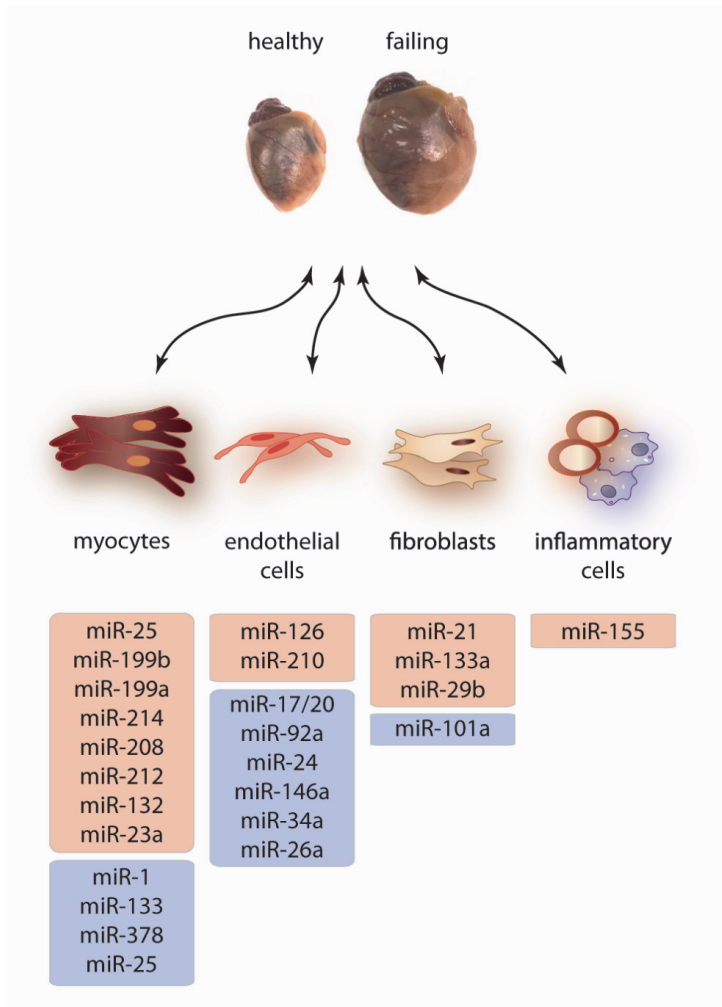


Figure 3.1 microRNAs regulate different molecular processes during heart failure. MicroRNA exert their regulatory roles in the different cardiac cell types (cardiomyocytes, endothelial cells, fibroblasts and inflammatory cells) being able to induce (red boxes) or repress (blue boxes) different pathophysiological processes that are associated with cardiac pathological remodeling in heart failure (cardiac hypertrophy, inflammation, angiogenesis and fibrosis).

In contrast, others demonstrated that miR-21 inhibition by genetic deletion or systemic administration of locked nucleic acid-modified (LNA) anti-miR oligonucleotides does not reduce pathological myocardial remodeling nor prevents cardiac dysfunction in different mouse models of HF.⁶ These findings suggest that caution is needed when interpreting studies using antisense

approaches to elucidate the function of individual microRNAs *in vivo*. Moreover, following acute MI the expression levels of miR-21 in the infarcted areas was reduced and overexpression of miR-21 in this setting even decreased myocardial infarct size.⁷ These contradictory results raise questions regarding not only the discrepancy between data obtained from antisense and genetic deletion techniques but, maybe more important, the therapeutic potential of miR-21 in HF. Although several, mainly technical, possibilities to justify the controversial findings were suggested by the different authors, it is clear that future studies are required to clarify the biology of miR-21 and its role in HF, preferably including cell type-specific genetic deletion strategies for miR-21.

microRNA-29: miR-29 family members are selectively expressed in cardiac fibroblasts and target mRNAs that promote extracellular matrix deposition.⁸ All different family members are downregulated in areas adjacent to the infarct, thereby de-repressing their targets and resulting in increased cardiac fibrosis.⁹ In a recent study¹⁰ where a set of microRNAs was measured in the plasma of patients with hypertrophic cardiomyopathy to identify which microRNAs can be regarded as biomarkers of the disease, only miR-29a levels were found to correlate with cardiac fibrosis, along with a set of microRNAs related to cardiac hypertrophy. This finding may help to correctly diagnose patients who are at risk of developing cardiac fibrotic remodeling.

microRNA-133: miR-133, is one of the most abundant microRNAs in the heart. The importance of miR-133 in cardiac fibrosis has been highlighted in several reports that miR-133-a1 and miR-133-a2 knockout mice develop a severe myocardial fibrosis response accompanied by increased cardiomyocyte apoptosis and HF¹¹ which could be explained by the fact that miR-133 directly repressed connective tissue growth factor (CTGF), an important secreted protein during the process of fibrosis.¹² *In vivo* and *in vitro* mechanistic studies showed that increased expression of miR-133 has cardiac protective effects not only by direct targeting the TGF- β 1 receptor,¹³ but it can also act together with miR-29b to upregulate collagen 1A1 and exacerbate the levels of fibrosis in Angiotensin II-induced hypertension.¹⁴ Interestingly, a recent study using human foreskin fibroblasts revealed that miR-133a, in concert with several transcription factors, contributes to reprogramming of fibroblasts into cardiomyocytes.¹⁵

microRNA-101a: This fibroblast-enriched microRNA is involved in cardiac fibroblast proliferation and inhibits FBJ osteosarcoma oncogene (FOS), known to activate specific profibrotic pathways, such as those involving miR-21. While miR-101a is downregulated in hypertrophic and post-infarcted hearts,¹⁶ its overexpression in infarcted rat hearts revealed positive effects on cardiac function mostly caused by reduced fibrotic tissue formation. Interfering with miR-

101a expression may present therapeutic value even though unresolved issues regarding its mechanism of action still remain.

Angiogenesis

Angiogenesis, the complex process of blood vessel formation, involves synergistic effects of distinct growth factors that can either be physiological or pathological. Pathological cardiac hypertrophy correlates with reduced capillary density, subsequent myocardial ischemia and eventually HF. Ameliorated blood flow, revascularization and myocardial function by means of therapeutically induced angiogenesis may promote new repairing mechanisms and myocardium survival and is therefore, a promising therapy for cardiovascular disease.

Studies aimed to better understand the angiogenic mechanisms in the heart have revealed a subset of microRNAs with the potential to regulate pro- or anti-angiogenic factors and proper endothelial cell function.¹⁷ While a wealth of discoveries regarding the action of angiogenic microRNAs in cancer identified signaling pathways used to promote or inhibit angiogenesis, their participation and mode of action during vascular remodeling induced by cardiac pathological insults remains to be clarified. Understanding those mechanisms in various cardiac angiogenic settings could introduce new microRNA-based therapies, such as mimics and antagomirs, to manage and/or cure HF.

microRNA-17~92: Gain or loss of function studies targeting individual members of the miR-17~92 cluster, revealed miR-17, miR-18, miR-19 and miR-20 as the ones with anti-angiogenic function while both *in vitro* and *in vivo* assays revealed mir-17/20 inhibition to exert the most potent effect on neovascularization.¹⁸ Furthermore, miR-17 inhibition in rat and mouse models of pulmonary hypertension beneficially affects lung and heart remodeling¹⁹ by resulting in lower number of muscularized vessels, increased pulmonary artery acceleration time, decreased wall thickness of pulmonary vessels and subsequent improved cardiac outcome. miR-92a, mostly upregulated under cardiac ischemia, inhibits angiogenesis by targeting a pro-angiogenic factor, integrin $\alpha 5$ (ITGA5) and treatment of infarcted mice hearts with a specific antagomir improved cardiac function due to enhanced angiogenesis.²⁰ A similar therapeutic approach but administrating a locked nucleic acid modified anti-sense (LNA)-miR-92a to a porcine model of ischemia reperfusion also resulted in reduced infarct area, increased capillary formation and consequently, cardiac function recovery.²¹

microRNA-126: this endothelial cell enriched microRNA is crucial for maintenance of vascular integrity, endothelial cell proliferation, migration and sprouting during embryonic development as well as in cardiac response to

injury.²² As such, 40% of miR-126 null-mice display embryonic lethality while 50% of the surviving animals died one week after MI due to vascular deficiency. Furthermore, circulating miR-126 levels were decreased in time, in acute MI patients, suggesting its potential utility as novel biomarker for clinic diagnosis of acute MI.²³

microRNA-24: this endothelial cell-enriched and pro-apoptotic microRNA is strictly elevated in the peri-infarct zone endothelium, following MI.^{24,25} *In vitro* overexpression of miR-24 impairs endothelial cell angiogenic functions including proliferation, sprouting, and tube formation whereas its inhibition promotes angiogenesis.^{24,25} Although these effects are attributed to inhibition of the endothelium-enriched transcription factor GATA2, the p21-activated kinase PAK4 and their respective downstream targets,²⁴ miR-24 is also known to target e-NOS, a pro-angiogenic factor.²⁵ *In vivo* inhibition of miR-24 resulted in preserved cardiac function, decreased infarct size and enhanced vascularization after MI, as endothelial cell apoptosis was inhibited.^{24,25}

microRNA-210: Unlike the previously mentioned microRNAs, miR-210 directly exert its pro-angiogenic function via the cardiomyocytes by inducing the release of angiogenic factors such as leptin, interleukin-1- α and tumor necrosis factor- α (TNF- α).²⁶ Overexpression of miR-210 in a mouse model of MI enhanced capillary formation, reduced apoptosis and infarct size, and consequently improved cardiac function.²⁶ As diagnostic tool, patients with improved plasma brain natriuretic peptide (BNP) profiles are classified in a subgroup of patients with low plasma miR-210 levels, suggesting that plasma miR-210 levels may reflect a mismatch between cardiac pump function and oxygen demand in the peripheral tissues, and therefore be a biomarker for chronic HF in addition to plasma BNP concentrations.²⁷

microRNA-146a: this microRNA is abundantly expressed in the heart and is upregulated during development of HF. In mice with cardiomyocyte-restricted genetic deficiency for the Dicer protein, miR-146a was found to be upregulated at juvenile and adult ages, suggesting a non-cardiomyocyte origin of miR-146a.⁴ A recent study identified miR-146a as key player in cardiomyocyte response during peripartum cardiomyopathy (PPCM), with increased levels being triggered by the activation of endothelial cells and their subsequent exosome-mediated miR-146a release.²⁸ Targeting of miR-146a by LNA and antagomir strategies in a mouse model of PPCM, prevented the development of the disease by reducing cardiac fibrosis, increasing capillary density and improving cardiac function.²⁸ In contrast, overexpression of miR-146a in a mouse model of ischemia reperfusion conferred cardiac protection by indirect decrease of NF- κ B activity.²⁹

microRNA-34: The miR-34 family consists of three members: miR-34a, miR-34b and miR-34c, all of them displaying increased expression levels in response to cardiac stress and in the ageing heart.³⁰ *In vivo* ablation of miR-34a reduced age-associated cardiomyocyte death, reduced fibrosis and improved myocardial function in a mouse model of MI. The observed effects were attributed to angiogenesis induction in the border zone of the infarcted area and reduced DNA damage in cardiomyocytes.³⁰ In a study where all miR-34 family members were inhibited and a common seed region was targeted, greater beneficial cardiac outcomes were observed in mouse models of MI and pressure overload, compared to inhibition of miR-34a alone.³¹ The later study suggests a greater therapeutic potential by targeting whole microRNA families rather than single members.

microRNA-26a: miR-26a is an anti-angiogenic microRNA with a dynamic expression pattern in response to ischemia/reperfusion. Its expression is increased just one hour after forty-five minutes of ischemia-reperfusion induced myocardial injury whereas it was decreased twenty-four hours later.³² Furthermore, therapeutic inhibition of miR-26a by LNA technology in mice after acute injury induced by left arterial descending artery banding (LAD) resulted in protective cardiac effects with decreased infarct size and improved cardiac function.³² This outcome was attributed to improved angiogenesis observed within two days following LAD.³² Moreover, miR-26a seems to act as a previously unrecognized crucial regulator of pathological angiogenesis by inhibiting both the expression and phosphorylation of SMAD1 and subsequently downregulating its pro-angiogenic downstream target Id1.³²

Hypertrophy and metabolic balance

Cardiac hypertrophy is the thickening of the ventricular walls in response to chronic cardiac stress. Intrinsic changes including re-expression of fetal genes, alterations in excitation-contraction coupling, and changes in energetic and metabolic balance induce cardiomyocyte size growth. Left ventricular hypertrophy (LVH) is the most potent predictor of adverse cardiovascular outcomes in the cardiovascular disease (CVD) population, and is an independent risk factor for coronary heart disease, sudden death, HF and stroke. Hence, targeting hypertrophy may significantly reduce adverse clinical endpoints and aid in the treatment of HF. Studies from the past decade have demonstrated that microRNAs are atypically expressed in hypertrophic hearts and gain- and loss-of-function studies using appropriate disease models have revealed distinct roles for specific microRNAs in pathological cardiac hypertrophy.

microRNA-25: The role and therapeutic potential of miR-25 in HF is supported by recent but somewhat controversial findings. Cardiac expression of miR-25 decreases during the development of cardiac hypertrophy and HF in rodents, while its inhibition with an antagomir approach exacerbates the pathological remodeling response and accelerates progression to HF.³³ The observed effects were ascribed to increased levels of a basic helix-loop-helix (bHLH) transcription factor, HAND2, which besides being a central player during right ventricle development, is also activated in the stressed, adult heart as part of the embryonic gene program, a hallmark of HF.³³ Paradoxically, others reported increased levels of miR-25 in rodent and human HF, which by directly targeting SERCA2a cause diminished Ca^{++} uptake and impaired cell contractility.³⁴ The divergences between these studies likely relate to different antisense chemistries, mode of delivery or degree of silencing achieved. Although other studies could confirm miR-25 downregulation in HF^{35,36} such conflicting reports probably reflect our poor understanding not only of the complexity of microRNA biology, regulation and function but also of the variations between different animal models of disease.

microRNA-199b: miR-199b is a very solid example that microRNAs can incite the cardiac hypertrophic program. Cardiac expression levels of miR-199b are increased in LVH and HF and its overexpression strongly promotes cardiac hypertrophic growth. miR-199b is directly regulated by the calcineurin/NFAT pathway³⁷ and is able to regulate its own signaling strength by promoting translocation of NFAT to the cytoplasm and indirectly inactivate its own regulator, Dyrk1a. Antagomir-mediated knockdown of miR-199b in a mouse model of cardiac pressure overload, prevented development of HF and, more interesting, reversed later stage cardiac failure phenotypes and dysfunction, bringing miR-199b forward as a very promising therapeutic target for the treatment of hypertrophic heart disease and HF.

microRNA-214: the miR-199a/214 cluster is encoded by a large non-coding RNA, DNMT3os, which is located in the opposite strand of the DNMT3 gene. Among this cluster, miR-214 plays a regulatory role in the metabolic switch from mitochondrial fatty acid oxidation towards glucose metabolism in the heart in response to hemodynamic stress.³⁸ Silencing miR-214 in a mouse model of cardiac pressure overload normalized mitochondrial fatty acid oxidation, attenuated cardiac pathological remodeling and preserved cardiac function due to the de-repression of its target gene PPAR β .³⁸

microRNA-1: this anti-hypertrophic cardiomyocyte-enriched microRNA is suppressed in different murine models of HF and negatively controls the calcium signaling members calmodulin and myocyte enhancer factor 2A (MEF2A).³⁹

MiR-1 can effect Ca^{++} extrusion from cardiomyocytes via its direct targets Na^{+} - Ca^{++} exchanger 1 (NCX1) and AnxA5. Because AnxA5 binds to NCX1 and controls its activity, once increased during HF AnxA5 impairs NCX1 function leading to reduced Ca^{2+} extrusion.^{40,41} This regulatory loop is controlled by the serum response factor (SRF) transcription factor and balances the translation of NCX1 and AnxA5 proteins.⁴⁰ The AKT/FoxO3a pathway can also exert a regulatory role on miR-1 during HF.^{41,42} This happens either through insulin like growth factor 1 (IGF-1), a direct target of miR-1 that induces phosphorylation of AKT which, in turn, inactivates the FoxO3a transcription factor causing miR-1 downregulation in HF,⁴² or through increased Ca^{++} levels in HF causing calcium/calmodulin-dependent protein kinase (CaMKK)-dependent activation of AKT, inactivation of FoxO3a and subsequent decline in miR-1 levels.⁴² Conversely, induced expression of miR-1 by adenoviral gene therapy or cardiotropic adeno-associated 9 vector (AAV9) not only rescued the hypertrophic phenotype induced by isoproterenol administration in mice³⁹ or by chronic pressure overload in rats, respectively, but also attenuated disease progress. The observed effects were long lasting suggesting long-term therapeutic effectiveness of miR-1 overexpression in pathological cardiac remodeling.⁴³ Moreover, an inverse correlation between the expression of miR-1 and circulating levels of heart-type fatty acid binding protein 3 (FABP3), responsible for fatty acid uptake in cardiomyocytes, was described, suggesting that FABP3 levels can be useful in determining miR-1 expression levels in patients with heart or metabolic disease.⁴⁴

microRNA-133: Despite some controversial findings about miR-133 and its correlation with cardiac hypertrophy, miR-133 is an established muscle-enriched microRNA that is highly expressed in the healthy heart. This microRNA is clustered with miR-1 and both are encoded from two genomic loci (mir-1-1/133a-2 on mouse chromosome 2 and miR-1-2/miR-133a-1 on mouse chromosome 18). Genetic deletion of both copies of this cluster leads to increased mortality of neonatal mice as a result of ventricular-septal defects (VSDs) associated with enhanced proliferation of neonatal cardiomyocytes and abrupt expression of smooth muscle genes in the heart, mainly the direct target genes cyclin D and SRF.¹¹ Although deletion of a single genomic loci results in vital mice with normal cardiac morphology and contractility,⁴⁵ these single mutants develop long QT durations at low heart rates pointing to the importance of miR1/miR-133a cluster during cardiac repolarization.⁴⁵ Such phenotype could be abrogated after inhibiting β -adrenergic signaling and L-type calcium channels by pharmaceutical interventions suggesting miR-1/miR-133a cluster to be a regulator of cardiac repolarization via adrenergic signaling.⁴⁵ Moreover, inhibition of miR-133 expression by a specific antagomir spontaneously induces cardiac hypertrophy and impairs cardiac function by direct targeting of RhoA, Cdc42, both being part

of the Rho subfamily of small GTP binding proteins, and NELF-A/Whsc2, a negative regulator of RNA polymerase II.⁴⁶

Conversely, gain of function studies showed that inducing cardiac-specific-miR-133 expression decreased cardiomyocyte apoptosis and collagen deposition and promoted cardiac function following chronic pressure overload. The underlying mechanism involves the direct inhibition of multiple components of the β 1AR signaling pathway including β 1AR itself and its downstream effectors adenylyl cyclase type VI (ACVI) and cAMP-dependent protein kinase catalytic subunit beta (PKA Cbeta), a key modulator of the β 1AR-mediated accumulation of cAMP.⁴⁷ In another study, a similar approach to induce miR133 expression in cardiac tissue also resulted in less fibrosis, less apoptosis and improved diastolic function after pressure overload but did not affect cardiac hypertrophic growth⁴⁸ despite the long QT intervals demonstrated in the ECG. Whether overexpression of miR-133 can diminish cardiac dysfunction in the failing heart remains disputable. Nevertheless, it is clear that this microRNA has a multidisciplinary role during pathological cardiac remodeling by acting on different cellular processes. In agreement, a recent report shows miR-133a to be attenuated and contribute to cardiac hypertrophy in diabetic hearts⁴⁹ while overexpression of miR-133a attenuates cardiac fibrosis in diabetics.⁵⁰ These effects are related to control of DNA methylation by miR-133 via direct regulation of DNA methyl transferases in diabetic cardiomyocytes.⁵¹ The role of miR-133 in regulating cardiac hypertrophy, fibrosis, epigenetic modification, and β -AR signaling points miR-133 as a promising therapeutic target in managing HF.

microRNA-208: The microRNA-208 family is composed of miR-208a and miR-208b, encoded by intronic regions in the α -MHC and β -MHC genes respectively. They show a similar expression pattern in rodents as their host genes with miR-208a being expressed in the adult heart while miR-208b is enriched in embryonic hearts. Gain- and loss-of-function studies in rodents showed that miR-208a is required for the expression of β -MHC in stressed hearts, and therefore directly implicated in the isoform switch from α -MHC to β -MHC that characterizes pathologic hypertrophy and HF. In fact, inhibition of miR-208a levels in Dahl hypertensive rats, a hypertension-induced model of HF, not only prevents pathologic myosin switching and cardiac remodeling but also improves cardiac function and survival.⁵² miR-208 was recently identified circulating outside of cells, in body fluids such as blood, saliva and urine. Whether miR-208 is released from damaged cardiomyocytes into the bloodstream remains unknown but the fact that this microRNA is enriched in the heart and detected in peripheral blood makes it potentially useful for the diagnosis and treatment of HF.

microRNA-23: the two isomers, miR-23a and miR-23b are part of the miR-23a/27a/24–2 cluster⁵³ and are both upregulated under conditions of cardiac stress. miR-23a is involved in cardiac hypertrophy as a downstream target of the calcineurin/NFAT pathway and targets the anti-hypertrophic protein, muscle ring-finger protein 1 (MURF1).⁵³ Therapeutic studies in rodents using antagomir to silence miR-23a showed attenuation of cardiac hypertrophic growth in different models of HF^{53,54} with the underlying mechanism involving direct targeting of the forkhead box O3 gene (FOXO3A), a transcription factor involved in the regulation of cardiac hypertrophy.⁵⁴ Moreover, miR-23a, similar to miR-199b, is regulated by the calcineurin/NFAT signaling pathway and it will be interesting to know how both microRNAs integrate to mediate calcineurin/NFAT signaling during cardiac hypertrophy and HF.

microRNA-378: this anti-hypertrophic microRNA was identified in a functional high-throughput screen for morphological changes in neonatal rat cardiomyocytes after transfection with a library of microRNA precursor molecules.⁵⁵ MiR-378 exerts its anti-hypertrophic function by targeting four different components of the MAPK pathway: MAPK1 (also called extracellular regulated kinase 2), kinase suppressor of ras 1 (KSR1), growth factor receptor-bound protein 2 (GRB2), and IGF1R. Thus, miR-378 sets a good example of the ability of microRNAs to target multiple hits in a single pathway, which also augments their therapeutic value. Furthermore, in vivo restoration of miR-378 expression levels in a mouse model of chronic pressure overload resulted in attenuation of pathological remodeling and cardiac dysfunction,⁵⁵ which could be an effective therapeutic strategy in myocardial disease.

microRNA-212/132: The miR-212/132 microRNA family is upregulated in both murine and human failing hearts.⁵⁶ Not only hypertrophic stimuli cause increased expression levels of miR-212 and miR-132 in cardiomyocytes, but also expression of both is necessary and sufficient to induce their hypertrophic growth. Genetic targeted deletion of miR-212 and miR-132 conferred protection from pressure-overload induced HF while their overexpression caused pathological hypertrophy, HF and death. Curiously, pharmacological approaches to inhibit miR-132 alone were sufficient to preserve cardiac function and attenuate hypertrophy and fibrosis in mice subjected to pressure overload.⁵⁶ These therapeutic effects are attributed to increased expression levels of FOXO3A, a miR-132 target gene, and subsequent blunted calcineurin/NFAT signaling. Although decreased expression levels of miR-212/132 inhibit starvation-induced autophagy in cardiomyocytes,⁵⁶ the study does not correlate this anti-autophagic function to the therapeutic effects of antagomir-mediated miR-132 knockdown.

Inflammation

Inflammation is the process of immune cell influx to the side of injury or infection. Increasing evidence point to the importance and the therapeutic potential of inflammatory pathways in the treatment of HF. Although several microRNAs have been identified to regulate the immune system and inflammation in the context of other pathological conditions (as extensively reviewed in⁵⁷), very few microRNAs have been suggested as main regulators of the chronic inflammatory processes that accompany HF and so far only miR-155 has been extensively studied in this context.

microRNA-155: Two different studies demonstrated the involvement of miR-155 in cardiac hypertrophy via diverse mechanisms. Recently, miR-155 was shown to be enriched in cardiomyocytes and whole-body loss of miR-155 to be protective against cardiac injury induced by pressure overload,⁵⁸ partly due to repression of jumonji AT rich interactive domain 2 (Jarid2).⁵⁸ On the other hand, the cardio-protective effect of miR-155 silencing has been associated to its decreased expression levels in macrophages rather than in cardiomyocytes.⁵⁹ Silencing of miR-155 in leukocytes markedly reduced cardiac inflammation, hypertrophy and cardiac dysfunction following pressure overload in mice. Moreover, *in vivo* cardiomyocyte-specific modulation of miR-155 did not affect cardiac remodeling. In macrophages, miR-155 is responsible for the repression of suppressor cytokine signaling 1 gene (Socs1), which inhibits paracrine hypertrophic stimulation.⁵⁹ The cardioprotective effect of miR-155 silencing supports the causative significance of inflammatory signals in hypertrophic cardiac disease, positioning miR-155 forward as a potential therapeutic target for cardiac hypertrophy.

Advantages and disadvantages of microRNA therapeutics

Intensive research in recent years aimed at targeting differential microRNA expression as a novel therapeutic approach. *In vivo* modulation of microRNAs with antisense oligonucleotides as microRNA inhibitors or with modified microRNA mimics such as plasmid or lentiviral vectors carrying microRNA sequences to increase the expression of microRNAs was proven successful in the aforementioned preclinical studies (Table 3.1 and Table 3.2) but to date no clinical trials were initiated yet for cardiovascular diseases.

Table 3.1 Overview of preclinical studies applying microRNA-based therapeutics to inhibit specific microRNAs in relevant models in heart failure.

| Inhibitors | miRNAs | Doses | Delivery | Target | Model | Outcome | References |
|------------|----------|-------------|---------------------------------|-------------|---|---|---------------------------------------|
| Antagomir | miR-199b | 80 mg/kg/bw | IP | Dyrk1a | TAC (6 wk) | decreased fibrosis and hypertrophy, improved cardiac function | Da Costa Martins et al. ³⁷ |
| | miR-21 | 80 mg/kg/bw | implanted jugular vein catheter | Spry1 | TAC (3 or 6 wk) | decreased fibrosis, improved cardiac function | Thum et al. ⁵ |
| | miR-29b | 80 mg/kg/bw | IP | IGF-1, LIF | TAC (2 wk) | no effect on cardiac function, excess amount of perivascular fibrosis | van Rooij et al. ⁹ |
| | miR-17 | 8 mg/kg/bw | IV | p21 | hypoxia (10% O ₂ , 28 days) or monocrotaline (36 days)-induced pulmonary hypertension (PH) | decreased right ventricular systolic pressure and total pulmonary vascular resistance index, increased pulmonary artery acceleration time, normalized cardiac output, and decreased pulmonary vascular remodeling | Pullamsetti et al. ¹⁹ |
| | miR-92a | 8 mg/kg/bw | IV | ITGA5 | MI (2 wk) | improved cardiac function, enhanced capillary density, decreased apoptosis | Bonauer et al. ²⁰ |
| | miR-24 | 5 mg/kg/bw | RO | PAK4, GATA2 | MI (2 wk) | increased capillary density and improved cardiac function | Fiedler et al. ²⁴ |
| | miR-24 | 80 mg/kg/bw | IV | N/A | TAC (25 wk) | no effect on hypertrophy, improved cardiac function, protected E-C coupling | Li et al. ⁷³ |
| | miR-146a | 8 mg/kg/bw | IV | NRAS | Cardiomyocyte-restricted stat3 KO model for peripartum cardiomyopathy | attenuated cardiac dysfunction and reduced fibrosis | Halkein et al. ²⁸ |
| | miR-34a | 8 mg/kg/bw | IV | PNUTS | MI (2 wk) | improved cardiac function, increased capillary density and reduced apoptosis and fibrosis | Boon et al. ³⁰ |
| | miR-25 | 80 mg/kg/bw | IP | Hand2 | TAC (4 wk) | enhanced cardiac dysfunction, increased hypertrophy and fibrosis | Dirkx et al. ³³ |
| | miR-23a | 25 mg/kg/bw | implanted osmotic minipumps | MuRF1 | Isoproterenol infusion (1 wk) | improved cardiac function and reduced hypertrophy | Lin et al. ⁵³ |
| | miR-132 | 80 mg/kg/bw | RO | FoxO3 | TAC (3 wk) | decreased hypertrophy and fibrosis, preserved cardiac function | Ucar et al. ⁵⁶ |

Table 3.1 (continued)

| Inhibitors | miRNAs | Doses | Delivery | Target | Model | Outcome | References |
|-------------------------------|---------------|-----------------|--|------------------------------------|---|--|---------------------------------|
| LNA | miR-199a~214 | 20 mg/kg/bw | IP | PPAR δ | TAC (6 wk) | decreased hypertrophy and fibrosis, preserved cardiac function | El Azzouzi ³⁸ |
| | miR-92a | 0.03 mg/kg / bw | catheter-based antegrade or retrograde local delivery to the heart | | pig ischemia/reperfusion model | improved cardiac function, increased capillary density, decreased inflammation | Hinkel et al. ²¹ |
| | miR-146a | 20 mg/kg | IV | NRAS | Cardiomyocyte-restricted stat3 KO (model for peripartum cardiomyopathy) | improved cardiac function, reduced fibrosis and enhanced capillary density | Halkein et al. ²⁸ |
| | miR-34 family | 25 mg/kg / bw | SC | Vinculin, Sema4b, Pofut1, and Bcl6 | TAC (11 wk) or MI (8 wk) | improved cardiac function, reduced inflammation and fibrosis, attenuated hypertrophy | Bernardo et al. ³¹ |
| Anti-miR | miR-208a | 33 mg/kg | IV | N/A | Dahl salt-sensitive rats/high-salt diet (hypertension) | increased survival, increased body weight, reduced fibrosis and attenuated hypertrophy | Montgomery et al. ⁵² |
| | miR-155 | 10 mg/kg / bw | IV | Socs1 | Angiotension II infusion (4 wk) | improved cardiac function, decreased heart weight and reduced inflammation | Heymans et al. ⁵⁹ |
| | miR-21 | 25 mg/kg / bw | IV | Pdcd4 | TAC (3 wk) or Angiotension II infusion (14 days) | no effect on cardiac remodeling or function | Patrick et al. ⁶ |
| | miR-26a | 24 mg/kg / bw | tail-vein injection | SMAD1 | acute MI | reduced infarct size, induction of angiogenesis, improved LV ejection fraction and decreased apoptosis | Icli et al. ³² |
| Adenovirus-mediated-miR decoy | miR-25 | 300 ug | IV | Serca2a | TAC (5.5 mo) | improved cardiac function, reduced fibrosis and hypertrophy, halted established heart failure | Wahlquist et al. ³⁴ |
| | miR-24 | N/A | local | e-NOS | MI (2 wk) | Increased capillary density, improved cardiac function and reduced infarct size | Meloni et al. ²⁵ |

Table 3.2 Overview of preclinical studies applying microRNA-based therapeutics to induce specific microRNAs in relevant models in heart failure.

| Inducers | miRNAs | Doses | Delivery | Target | Model | Outcome | References |
|---|----------|---|---|------------------------------|---|--|--------------------------------|
| Adenovirus | miR-101a | 1X 10 ⁹ plaque-forming units | injection to left ventricular cavity | N/A | MI (4 wk) | decreased fibrosis and improved cardiac function | Pan et al. ¹⁶ |
| | miR-1 | 2X 10 ⁹ infectious units | intramyocardial injection | calm1 and calm2 | Isoproterenol infusion (2 wk) | no hypertrophy | Ikeda et al. ³⁹ |
| | miR-133 | N/A | transcoronary delivery | Rhoa, Cdc42 and Whsc2 | Akt transgenic mice (hypertrophy) | decreased hypertrophy | Care et al. ⁴⁶ |
| | miR-210 | 25 ug | intramyocardial injection | Ena3, Ptp1b | MI (4 and 8 wk) | increased angiogenesis, decreased apoptosis and improved cardiac function | Hu et al. ²⁶ |
| microRNA precursors (minicircles) | | | | | | | |
| Lentivirus | miR-146a | 1X 10 ⁸ plaque-forming units | micro-catheter in common carotid artery | N/A | Ischemia/Reperfusion | reduced infarct size, improved cardiac function, reduced apoptosis, reduced inflammation | Wang et al. ²⁹ |
| Adeno associated virus (AAV) serotype 9 | miR-1 | 5X10 ¹¹ vg | intravenous injection | Fbln2 | ascending aortic banding (AAB) (2 and 9 wk) | improved cardiac function, decreased hypertrophy and fibrosis | Karakikes et al. ⁴³ |
| | miR-378 | 1X10 ¹² vg | IV | Grb2, Igfr1, Ksr1, and Mapk1 | TAC (3wk) | improved cardiac function, reduced hypertrophy and fibrosis | Ganesan et al. ⁵⁵ |
| Mimics | miR-26a | 1nmol/mouse | tail-vein injection | SMAD1 | exercise (nocturnal running, 9 days) | decreased physiological angiogenesis induced by exercise | Icli et al. ³² |

Two companies are currently developing inhibitors of miR-122 for the treatment of Hepatitis C virus (HCV). While Regulus Therapeutics developed a GalNAC-conjugated antimir-122 that recently entered a phase I study on healthy volunteers (<http://www.regulusrx.com>), Santaris Pharma developed another miR-122 targeting drug, miravirsen, for which phase I and phase II trials have been completed.^{60,61} Such achievements confirm that pharmacological inhibition of microRNA expression and activity can be achieved and is a feasible therapeutic strategy in patients. Nevertheless, several challenges still remain to implement the clinical use of microRNA-targeting drugs. Although microRNA-based therapeutics can provide stable and sustained effects in various HF models, issues related to delivery, dosage and specificity still remain to be addressed in the clinical use of these therapeutic tools. For instance, cell-type or tissue-specific delivery of microRNA-based therapeutics to prevent unintended off-target effects is an important issue in developing an efficient microRNA-based therapy. To overcome this issue, there are ongoing studies with ultrasound-mediated microbubble technique to provide organ-specific delivery of miR antimirs/mimics.^{62,63} Importantly, this technique has been shown to cause no functional or histological damage in the swine hearts.⁶² Additionally, to obtain efficient *in vivo* delivery and tissue distribution, several synthetic vehicles including cationic liposomes, polymers, inorganic (gold) nanoparticles, dendrimers and micelle have been generated and are being investigated (extensively reviewed in^{64,65}).

Another important aspect is the dosage and administration frequency of microRNA-based drugs. AntimiRs have often been administered at high concentrations in order to obtain prolonged stable knockdown but further assessment is necessary regarding the effect of long-term inhibition of microRNAs *in vivo* in order to develop effective microRNA therapeutics.

Additionally, while decreased microRNA expression levels after antimiR treatment is often assessed as a measure of effectiveness, question remains whether this is the most reliable evaluation to determine microRNA inhibition. For example, binding of an antimiR to its target microRNA may interfere with detection but, depending on the chemistry, antimirs are able to inhibit microRNAs without inducing their degradation.⁶⁶ The de-repression levels of microRNA targets as a secondary endpoint can also be a measure of antimiR efficacy. The strength of microRNA therapeutics may be explained by the fact that unlike conventional drugs (one drug, one target), microRNAs have multiple targets involved in various cellular processes. Targeting one microRNA may lead to alterations in several cellular pathways and while this may result in undesired side effects, so far these were not reported. To date, preclinical studies have demonstrated long lasting and reversible effects of microRNA-

based therapeutics without adverse side effects or histopathological changes in the experimental animals. Their new mechanism of action, their ability to function as master regulators of the genome and the lack of adverse events in healthy cells or tissue make microRNAs promising therapeutic targets for current and future technology and product development. While generation of adjuvant carrier or delivery systems that increase stability, prevent renal clearance and enhance cellular uptake by target tissues is scientifically and technically challenging, it will ultimately be clinically rewarding.

Next to the therapeutic value, microRNAs are emerging as potential diagnostic and prognostic biomarkers in cardiovascular diseases including atherosclerosis, myocardial infarction, heart failure and hypertension.⁶⁷ Secretion of microRNAs into apoptotic bodies, micro vesicles, exosomes and in association with RNA binding proteins not only protects them from degradation but also enables their detection in the circulation.⁶⁸⁻⁷¹ However, whether these microRNAs are involved in the disease process or simply serve as biomarkers remain elusive and further studies including large patients cohorts are needed.

Future directions and conclusion

Along with understanding the importance of microRNAs in various cellular functions and pathophysiologic conditions, they are emerging potential therapeutic targets in cardiac diseases. Despite the need for better understanding of microRNA function, tissue- and cell type-specific delivery of microRNA-based therapeutics and safety to avoid off-target effects, the first clinical trials (phase I and II) using antimiR-122 against chronic hepatitis have been successful.⁷² Besides antimiR technology, also strategies to increase microRNA expression at specific sites are being developed. In fact, MIRNA therapeutics (<http://www.mirnarx.com>) recently initiated a new phase I clinical trial to induce expression of miR-34 in primary and metastatic liver cancer. miR-34 is a naturally occurring microRNA tumor suppressor that is lost or downregulated in tumors of patients with a variety of cancers. Administration of the developed miR-34 mimic (MRX34), encapsulated using liposomal formulation induced tumor regression, enhanced the survival of mice carrying hepatocellular carcinomas, and inhibited the growth of other non-hepatic tumors.

Despite the fact that only one decade has passed since the identification of the first human microRNA, microRNAs have emerged as promising targets for therapeutic interventions in treating many types of pathologies including cardiovascular diseases. The next decade should focus on increasing knowledge and improving technology to solidify microRNA biology and establish

microRNA-based therapies as the most effective therapeutic approaches for different human diseases, including HF.

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Chapter 4

Contribution of miR-199b to right ventricular remodeling and failure

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In preparation

Abstract

Despite its association with high mortality and morbidity, research on the pathophysiology of right ventricle (RV) failure has remained behind in regard to the left ventricle (LV). Similar to what happens in the LV upon chronic pressure overload, calcineurin activation also contributes to RV remodeling under conditions of increased pressure overload. We have previously identified miR-199b as a pro-hypertrophic microRNA during LV remodeling, which, in response to pressure overload, induces calcineurin/NFAT-signaling activity leading to exaggerated LV remodeling and cardiac dysfunction. Therefore, we aimed at understanding the contribution of miR-199b to RV remodeling in response to pressure overload induced by pulmonary artery banding (PAB). In our study, however, the effect of increased miRNA-199b expression in the RV does not seem to overrule the effect of PAB under baseline expression levels of miRNA-199b, and thus suggesting a minor contribution of this microRNA to the process of RV remodeling induced by pressure overload.

Introduction

Sustained pressure overload of the right ventricle (RV) is a major pathophysiological factor in several cardiovascular disorders, including pulmonary hypertension (PH).¹⁻³ Noteworthy, RV failure due to pressure overload is the main determinant of the outcome of congenital heart diseases⁴ and the most common cause of death in patients with severe pulmonary artery hypertension (PAH), a form of PH where pathological changes in the pulmonary arteries result in elevated resistance and pressure in the pulmonary circulation.⁵⁻⁷ Long term increase in pressure will eventually culminate in RV hypertrophy. Hypertrophic cardiac growth is an initial beneficial response to reduce wall stress, improve contractility, preserve cardiac output^{8,9} and enhance capillary density in order to comply with the increased oxygen demand in the hypertrophied tissue.¹⁰ However, as the disease progresses, transition from RV adaptation to failure is inevitable.³ RV failure is characterized by reduced RV capillary density, increased RV inflammation and profound fibrosis, leading to impaired contractility and decreased cardiac output.^{11,12}

Despite its association with high mortality and morbidity, research on the pathophysiology of RV failure has remained behind in regard to the left ventricle (LV).¹³ Notwithstanding its worse prognosis, the impact of right ventricular function on the outcome of cardiovascular diseases has been neglected due to its less frequent and less obvious involvement in disease processes. As a consequence of being connected to low impedance pulmonary circulation, RV has thinner walls, lower oxygen demand and lower wall stress compared to LV.¹³ Thereby, even minor alterations in total pulmonary resistance may have a great impact on RV function in contrast to LV, which is less affected by larger changes in afterload.¹⁴ However, pathological RV remodeling seems to be reversible as lung transplantation results in decreased pulmonary pressure, smaller RV and normalized septal shape.^{15,16} These functional and structural differences between RV and LV highlight the fact that the current comprehensive knowledge on LV function and pathology cannot be directly applied to RV and that a better understanding of RV function and RV failure pathology is crucial in order to develop efficient and specific therapeutics for this cardiac condition.

PH is a complex disease with several etiologies and its remodeling can result from the interaction of different factors such as genetic background, epigenetic modifications and pathobiological environmental factors.¹⁷ In the past decade, microRNAs emerged as small, non-coding RNA molecules with the ability to repress or induce degradation of mRNAs and thereby to regulate gene expression during various cellular processes, in many different tissues, including the myocardium.¹⁸ Numerous studies have elucidated the role of microRNAs

throughout cardiovascular development and remodeling.^{19,20} Abnormal expression and dysregulation of numerous miRNAs have been associated to the onset and development of PAH. Studies using animal models of chronic hypoxia- (mice and rats) or monocrotaline- (MCT, rats) induced PAH mainly focused on alterations in microRNA expression patterns of the pulmonary artery smooth muscle or endothelial cells. In concordance, microRNAs such as miR-17/92,^{21,22} miR-27a,²³ miR-96,²⁴ miR-126,²⁵ miR-130,²⁶ miR-143/145²⁷ and miR-210,^{28,29} all known to be involved either in cell proliferation, vascular remodeling or apoptosis, were identified as playing important roles in the pathogenesis of PAH. miR-126 is downregulated in skeletal muscle of PAH patients and its lower expression levels seem to decrease specifically the RV vascular endothelial growth factor (VEGF)-induced angiogenesis and exercise tolerance observed in these patients.³⁰ Correcting miR-126 low levels resulted in improved RV function and increased microvascular density in experimental PAH.³⁰ By having mainly concentrated on the vascular alterations, presently little is known about the alterations in microRNA expression patterns in the RV upon remodeling, although evidence points to distinct gene expression profiles of both ventricles under stress conditions.³¹ Similarly, also miR-208 is downregulated via myocyte enhancer factor-2 (Mef2) during the compensatory phase of RV hypertrophy in MCT-induced PH.³² MiR-208 downregulation potentiates the effects of tumor necrosis factor- α (TNF- α) leading to Mef2 inhibition and consequent suppression of its crucial metabolic angiogenic and contractile adaptation of the RV to pressure overload.³² This inhibition by such feedback loop leads to rapid RV decompensation and heart failure.

During LV remodeling, calcineurin activation results in pathological hypertrophy³³ and modulation of calcineurin-nuclear factor of activated T-cells (NFAT) signaling activity results in reduced LV hypertrophy and improved function.³⁴ Similarly, calcineurin activation also contributes to RV remodeling induced by pulmonary artery banding (PAB) in mice.³⁵ We have previously identified miR-199b as a pro-hypertrophic microRNA during LV remodeling, which, in response to pressure overload, induces calcineurin/NFAT-signaling activity leading to exaggerated LV remodeling and cardiac dysfunction.³⁶

Since we have successfully targeted the calcineurin/NFAT pathway via modulation of miR-199b in experimental left-sided heart failure,³⁶ in this study we aimed at understanding the contribution of miR-199b to RV remodeling in response to pressure overload induced by PAB.

Materials and methods

Animal models and pulmonary artery banding surgery

Animal models employed in this study consist of mice carrying murine miR-199b transgene³⁶ under control of alpha-myosin heavy chain promoter (α -MHC) in C57BL/6 background and non-transgenic littermates (WT). Pulmonary artery banding (PAB) was performed, as described below, in mice older than 8 weeks from both genders. Animals were anesthetized with ketamine (75 mg/kg BW) and medetomidine (1.0 mg/kg BW) via intra-peritoneal injections and placed in a supine position on a heating pad (37°C). Then animals were intubated with a 20G needle and ventilated with room air using a MiniVent mouse ventilator (Hugo Sachs Elektronik, Germany; stroke volume 250 μ L, respiratory rate 210 breaths per minute). The chest was entered and the pericardium was opened in order to isolate the pulmonary artery. Then the pulmonary artery (PA) was subjected to constriction with 27-gauge needle. After PAB surgery, the chest was closed and the effect of medetomidine was antagonized with atipamazole (1-2.5 mg/kg BW) via subcutaneous injections. Post-operative pain relief was provided with buprenorphine (0.1 mg/kg) twice daily for 2-3 consecutive days. Sham-operated animals underwent the same procedure without the constriction of PA. All protocols were reviewed and approved by the Animal Care and Use Committee of the University of Maastricht and were performed according to the rules formulated in the Dutch law on care and use of experimental animals.

Transthoracic eEchocardiography

High-resolution echocardiography (Vevo 2100, VisualSonics, Toronto, Canada), using a single-element mechanical transducer with a center frequency of 30 MHz, was performed on self-breathing mice under anesthesia (2% isoflurane and 98% oxygen) to acquire two dimensional images of pulmonary infundibulum using the parasternal short axis view at the level of aortic valve and pulsed-wave Doppler recording of the pulmonary flow.³⁷ The following parameters were obtained after averaging 3 or more cardiac cycle per mouse: pulmonary acceleration time (PAT, defined as the time from the onset of flow to peak velocity), right ventricular ejection time (ET, the time from the onset to the termination of pulmonary flow) and the ratio of PAT to ET.

Hemodynamic studies

Mice were anaesthetized by inhalation of 8% sevoflurane. After endotracheal-intubation, anesthesia was maintained with 2.5-3% sevoflurane. Mechanical ventilation with 100% O₂ was set at 150.min⁻¹ with tidal volume adjusted for body

weight, and positive end-expiratory pressure (PEEP) held at 2 cmH₂O (MouseVent™ - Automatic Ventilator, Physiosuite, Kent Scientific). Mice were placed on a heating pad and temperature kept at 38°C (RightTemp™ - Temperature Monitor & Homeothermic Controller, Physiosuite, Kent Scientific). Electrocardiogram (Animal Bio Amp, FE136, ADInstruments), peripheral oximetry (MouseSTAT™ - Pulse Oximeter & Heart Rate Monitor, Physiosuite, Kent Scientific), capnography, minute ventilation (CapnoScan™ - End-Tidal CO₂ Monitor, Physiosuite, Kent Scientific) and body temperature were recorded throughout. The right jugular vein was catheterized with a 24G intravenous catheter after surgical microdissection (Wilde M651, Leica microsystems, Cambridge, UK) for infusion of 16 ml/Kg/h warmed saline solution (NE-1000, New Era Pump Systems). A left thoracotomy was performed in right lateral decubitus. A silk thread was passed around the inferior vena cava (IVC) to allow transient occlusion. Pressure-volume (P-V) 1F catheters were inserted through the apex and positioned along the long axes of the LV and RV (models PVR-1045 and PVR-1035, Millar Instruments, respectively). After 15 minutes of stabilization, baseline and IVC occlusion recordings were obtained at end-expiration. Volume channel was changed between catheters allowing alternate recording the LV or RV signal. P-V signals were continuously acquired (MPVS 300, Millar Instruments), digitally recorded at a sampling rate of 1000Hz (ML880 PowerLab 16/30, Millar Instruments), and analyzed by software (PVAN 3.5, Millar Instruments). Analysis included stroke volume, ejection fraction, cardiac output, stroke work (SW), arterial elastance and load-independent indices derived with software (PVAN) from P-V relationships obtained with IVC occlusions, such as preload-recrutable SW, and end-systolic and end-diastolic P-V relationships. Catheters parallel conductance was calculated after 10μL hypertonic saline (30%) injection. Upon completion of experiments, animals were euthanized by exsanguination under anesthesia (8% sevoflurane) and heparinized blood was kept for volume calibration with standard cuvettes (PVAN).

RNA isolation, cDNA conversion and real-time RT-PCR

Total RNA was isolated from mouse heart tissue using TRIzol reagent (Invitrogen) according to manufacture's instructions. Then RNA (1 ug) was reverse-transcribed with either M-MLV reverse transcriptase (Promega, Madison, WI, USA) or for miRNA transcript detection with miScript Reverse Transcription Kit (Qiagen). Real-time PCR was performed on a BioRad iCycler (Biorad) using SYBR Green (VWR). Transcript quantities were compared using the relative Ct method, where the amount of target normalized to the amount of endogenous control (L7 for mRNAs and U6 (miScript Primer Assays) for

miRNAs) and relative to the control sample is given by $2^{-\Delta Ct}$. Primer sequences for both miRNA and mRNA detection are available on request.

Histology, immunohistochemistry and immunofluorescence

For histological analysis, hearts were arrested in diastole, perfusion-fixed with 4% paraformaldehyde, embedded in paraffin and cut into 4- μ m sections. Paraffin sections were stained with: Sirius Red for detection of fibrillar collagen; and FITC-labelled wheat-germ-agglutinin (WGA, Sigma) to visualize and quantify the cell cross-sectional area. Collagen deposition, cell surface areas and capillary density were determined using ImageJ software. Slides were visualized by using a Leica DM2000 and a Leica DM3000 microscope for bright field and fluorescence imaging, respectively.

Statistical analysis

All data are presented as mean \pm standard error of mean (SEM). The variables were analyzed using Student's t-test and two-way analysis of variance (ANOVA) to assess statistical significance between groups. The significant effects evaluation was conducted using Tukey's multiple comparison tests, with an adjusted calculation of *p*-value. Probability values *p*<0.05 were considered statistically significant.

Results

Cardiac expression of miR-199b in RV remodeling induced by PAB

To assess whether miR-199b is involved in RV failure, we subjected wild-type (WT) mice and transgenic mice with cardiac-specific overexpression of miR-199b (MHC-199b or TG)³⁶ to sham or PAB surgery for 6 weeks. Real-time PCR to determine the expression levels of miR-199b in the four different animal groups revealed upregulation of miR-199b in WT mice after PAB (**Figure 4.1a**). As expected, MHC-199b animals express high levels of miR-199b but this is not further exacerbated after PAB, when compared to sham-operated animals (**Figure 4.1a**).

Effect of heart-specific overexpression of miR-199b on RV remodeling after PAB

Hypertrophy of the right heart, which occurs as a consequence of impaired hemodynamics in PAB, was confirmed by wheat-germ agglutinin staining showing increased cardiomyocyte size in the hearts of the animals that were

subjected to PAB (**Figure 4.1b, 4.1d**). RV hypertrophy was also determined by the Fulton index, the ratio of right ventricular weight to left ventricular plus septum weight (RV/LV+S). An increased Fulton index was observed 6 weeks after PAB in both WT and MHC-199b animals, however, the effect in the TG animals did not reach significance (**Figure 4.1c**). In agreement, the hearts of both WT and MHC-199b animals subjected to PAB displayed deposition of collagen and formation of fibrotic lesions (**Figure 4.1b, 4.1e**) even though this effect was less predominant in the TG animals (**Figure 4.1e**), 6 weeks post-PAB. We assessed expression levels of transforming growth factor beta (TGF- β) as a gene involved in fibrotic lung disease and as a potential target gene of the miR-199 family. No significant differences were observed between the different groups (**Figure 4.1f**). Similarly, we also determined expression levels for notch1, member of the NOTCH signaling pathway and a validated target of miR-199b but not clear differences between the different animal groups were observed (**Figure 4.1g**). Although not statistically significant, we observed a tendency for the NFATc regulatory gene *Rcan1-4* to increase after PAB in WT animals (**Figure 4.1h**). miR-199b transgenic mice (TG) however, did not reveal differences as response to pressure overload (**Figure 4.1h**).

In line with the very moderate increase in RV hypertrophy in WT mice upon PAB, expression levels of typical markers of cardiac hypertrophy including natriuretic peptide A (*nppa*) and beta myosin heavy chain (β -MHC) were not changed among the different groups (**Figure 4.1i, 4.1j**). All in all, these data evidence that increased miR-199b expression does not add to the effect of the RV pressure overload to which the mice were exposed to during our study.

Effect of miR-199b overexpression on cardiac performance in pressure overload-induced RV remodeling

Although miR-199b TG mice do not display an obvious pathological baseline phenotype nor cardiac dysfunction, these animals are more sensitive to cardiac stress than WT mice.³⁶ Here, we evaluated whether increased cardiac miR-199b expression levels also result in higher susceptibility to RV biomechanical stress. The effect of PAB on pathological RV remodeling was assessed by echocardiography and hemodynamic characterization of the RV through pressure-volume measurements.

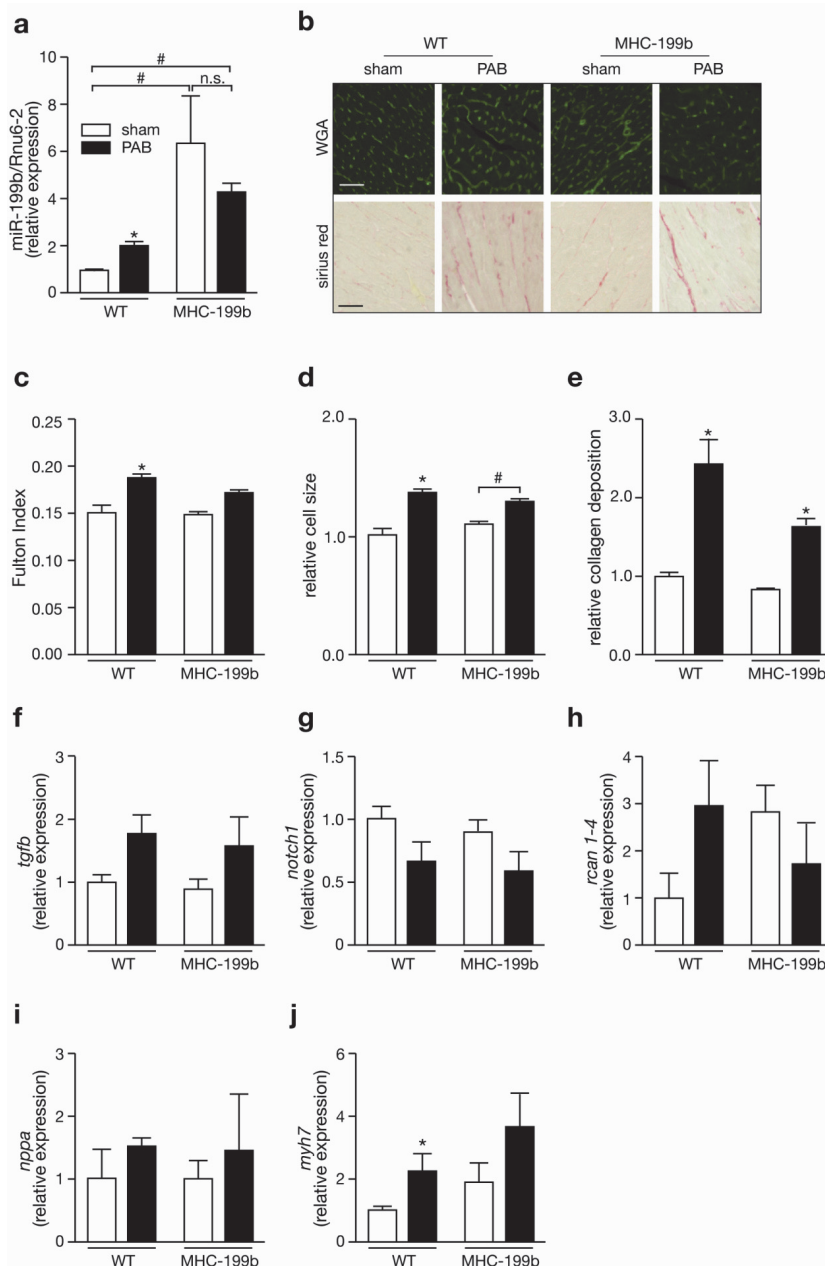


Figure 4.1 Increased expression levels of miR-199b does not further affect cardiac function under conditions of right ventricular pressure overload. a) Real-time PCR analysis of miR-199b abundance in hearts from WT or TG (MHC-199b) animals subjected to either sham or PAB surgery; *Rnu6-2* was used as a reference gene for normalization. b) Representative images of wheat-germ agglutinin (WGA, upper panels) or Sirius Red-

stained RV sections (upper panels) from WT or TG (MHC-199b) animals subjected to either sham or PAB surgery; c) Fulton index calculated as ratio of RV free wall weight over septum plus LV free wall weight; d) Quantification of cardiomyocyte surface area from conditions in B; e) Quantification of collagen deposition from conditions in B by using ImageJ software; f-j) Real-time PCR analysis of transcript abundance for transforming growth factor beta (*tgfb*), *notch1*, natriuretic peptide atrial natriuretic factor (*nppa*), β -myosin heavy chain (*myh7*) and regulator of calcineurin 1 isoform 4 (*rcan1-4*) respectively in hearts of WT or TG (MHC-199b) animals subjected to either sham or PAB surgery. * $P < 0.05$, compared with the corresponding control group; # $P < 0.05$, compared with the experimental group (mean \pm s.e.m.). N=3-7 in all groups.

Echocardiographic measurements revealed a decrease in cardiac output (CO) of the RV in hearts from both WT and TG animals subjected to PAB (4.21 ± 0.96 vs. 3.29 ± 0.74 , WT-sham vs. WT-PAB, $P < 0.05$; 3.78 ± 0.86 vs. 3.08 ± 0.87 , $P = 0.053$, **Table 4.1**). Pulsed-wave Doppler was used to measure pulmonary artery acceleration time (PAAT) and the ratio of pulmonary acceleration time (PAT) to ejection time (ET, time interval between the onset and end of the systolic flow velocity) and their ratio (PAT/ET), which have previously been described to be applied to estimate pulmonary artery systolic pressure in mice.³⁷ We observed slightly shortened PAAT and ET as well as decreased PAT/ET in WT animals after PAB confirming elevated RV pressure in these animals. While TG animals showed a trend to decrease these parameters, the effects were not statistically significant (**Figure 4.2a, 4.2b** and **Table 4.1**).

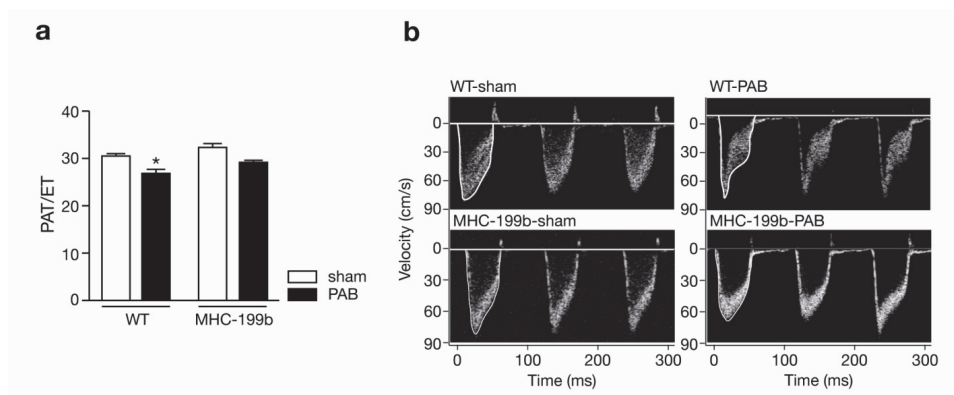


Figure 4.2 Echocardiographic assessment of the effect of miR-199b overexpression under right ventricular pressure overload conditions on cardiac function. a) Pulsed-wave Doppler was used to determine the ratio of pulmonary acceleration time (PAT) to ejection time (ET); b) Representative images of pulsed-wave Doppler tracings across the pulmonary valve in WT or TG (MHC-199b) mice subjected to either sham or PAB surgery. * $P < 0.05$, compared with the corresponding control group. N=7-11 in all groups.

Table 4.1 Echocardiographic and hemodynamic parameters/indexes of systolic and diastolic function derived from right ventricular pressure-volume relationships in WT and MHC-miR-199b subjected to sham or PAB surgery.

| Parameter | Units | WT | | MHC-199b | |
|-------------------------|---|-----------------|------------------|-----------------|-----------------|
| | | sham | PAB | sham | PAB |
| BSA | cm ² | 92.34±2.68 | 91.19±1.14 | 94.22±3.48 | 92.39±2.37 |
| HR | beats/min | 468.57±22.07 | 513.22±20.48 | 529.78±38.32 | 562.00±9.31 |
| <i>Echocardiography</i> | | | | | |
| CO | μL·min ⁻¹ | 4.21±0.96 | 3.29±0.74* | 4.81±0.86 | 4.68±0.87 |
| TAPSE | mm | 1.16±0.21 | 1.21±0.18 | 1.43±0.32 | 1.38±0.27 |
| PAAT | ms | 18.44±1.38 | 14.07±0.70* | 16.68±1.01 | 15.45±2.33 |
| PAT/ET | % | 30.16±2.03 | 26.78±1.07* | 32.89±1.23 | 28.98±1.46 |
| <i>Hemodynamics</i> | | | | | |
| RV-Pmax | mmHg | 26.28±2.26 | 32.36±3.16 | 25.46±1.91 | 26.09±1.29 |
| RV-EDP | mmHg | 3.16±0.51 | 4.59±0.53 | 2.53±0.52 | 3.01±0.57 |
| RV-ESP | mmHg | 24.98±1.20 | 30.35±2.80* | 23.45±1.24 | 23.97±0.92 |
| RV-dP/dT _{max} | mmHg·s ⁻¹ | 1720.86±208.36 | 2174.78±263.64 | 2101.67±338.40 | 2224.50±206.51 |
| RV-dP/dT _{min} | mmHg·s ⁻¹ | -1685.29±267.94 | -2508.89±402.61* | -1766.97±264.68 | -2028.00±161.64 |
| ESVI | μL·cm ⁻² | 0.09±0.02 | 0.11±0.02 | 0.07±0.01 | 0.06±0.01 |
| EDVI | μL·cm ⁻² | 0.17±0.04 | 0.17±0.02 | 0.16±0.02 | 0.14±0.02 |
| EF | % | 52.88±2.55 | 40.15±2.97* | 61.16±4.31 | 64.20±3.97# |
| t (weiss) | ms | 9.18±1.30 | 8.75±1.02 | 10.09±1.56 | 6.38±0.39 |
| t (glantz) | ms | 16.68±2.19 | 23.76±6.92 | 20.75±8.98 | 21.34±4.77 |
| SWI | mmHg·μL·cm ⁻² | 1.56±0.35 | 1.35±0.26 | 1.72±0.35 | 1.75±0.42 |
| CI | μL·min ⁻¹ ·cm ⁻² | 43.06±10.33 | 36.30±7.84* | 51.49±8.85 | 50.72±8.96 |
| Eal | mmHg·μL ⁻¹ ·cm ⁻² | 0.05±0.02 | 0.07±0.02 | 0.03±0.01 | 0.04±0.01 |
| ESPVR E _{esl} | mmHg·μL ⁻¹ ·cm ⁻² | 299.24±90.07 | 264.91±66.41 | 281.84±133.59 | 300.25±99.95 |
| EDPVRI β _i | μL ⁻¹ ·cm ⁻² | 22.26±0.91 | 20.59±0.31 | 21.82±0.92 | 21.20±0.66 |

HR, heart rate; CO, cardiac output; TAPSE, tricuspid annular plane systolic excursion; PAAT, pulmonary artery acceleration time; PAT/ET, ratio pulmonary acceleration time to ejection time (ET); ESV, end systolic volume; ESD, end diastolic volume; Pmax, maximal pressure; Pmin, minimal pressure; SV, stroke volume; EF, ejection fraction; Ea, effective arterial elastance; dP/dt max and dP/dt min, peak rate of right ventricular pressure rise and decline; t, time constant of isovolumetric relaxation. Parameters indexed to body surface area (BSA was estimated as 9.1*(body weight in g)^{2/3}): SWI, stroke work index; CI, cardiac index; ESVI, end systolic volume index; EDVI, end diastolic volume index; Eal, arterial elastance index; ESPVR, end systolic pressure-volume relation; EDPVR, end diastolic pressure-volume relation. Values are mean±SEM. *p<0.05 vs. WT sham.

At week 6 after PAB, WT animals displayed a significant increase in RV overload assessed by augmented end systolic pressures (RVESP) but no differences were observed between sham- and PAB-operated miR-199b TG animals (**Table 4.1**). In agreement, the differences in dP/dt_{\max} and dP/dt_{\min} between WT animals subjected to sham or PAB seem larger than in TG animals. However, although these results indicate a clear trend, the effects observed were not statistically significant (**Table 4.1**).

PAB-induced right ventricular stress in WT animals resulted in a mild reduction of systolic function reflected by decreased ejection fraction (EF, **Figure 4.3a**), but no RV dilation, as reflected by no significant changes in ventricular volumes (ESVI and EDVI), or arterial elastance (Eal, **Figure 4.3b**) after PAB. Although cardiac index (CI) seems to decrease in WT animals after PAB (43.06 ± 10.33 vs. 36.30 ± 7.84 , WT-sham vs. WT PAB), only a trend was observed for the TG animals (51.49 ± 8.85 vs. 43.76 ± 8.96 , MHC-199b-sham vs. MHC-199b-PAB, Table 4.1). Regarding diastolic function, while relaxation was similar between all groups, the tendency of increased stiffness observed in WT animals after PAB was not observed in miR-199b TG mice as assessed by EDP and EDPVR (**Table 4.1** and **Figure 4.3a-c**). In conclusion, these results suggest that overexpression of miR-199b in the myocardium has little or no effect to RV remodeling under the pressure conditions applied in our study..

Discussion

The pro-hypertrophic function of miR-199b in the LV has been extensively described in a previous study from us.³⁶ Relatedly, miR-199b, when overexpressed in the heart, has been shown to induce exaggerated cardiac dysfunction and pathological remodeling in the left side of the heart in response to pressure overload.³⁶ In order to generate comprehensive understanding regarding to the role of miR-199b in the whole heart under stress conditions, in this study we aimed at demonstrating the contribution of miR-199b during RV remodeling induced by pressure overload. However, in our PAB model and experimental conditions, overexpression of miR-199b failed to induce additional effects to the RV remodeling process. This can partly be explained by the fact that our animal model has developed mild pressure increase in the pulmonary artery upon banding as explained below.

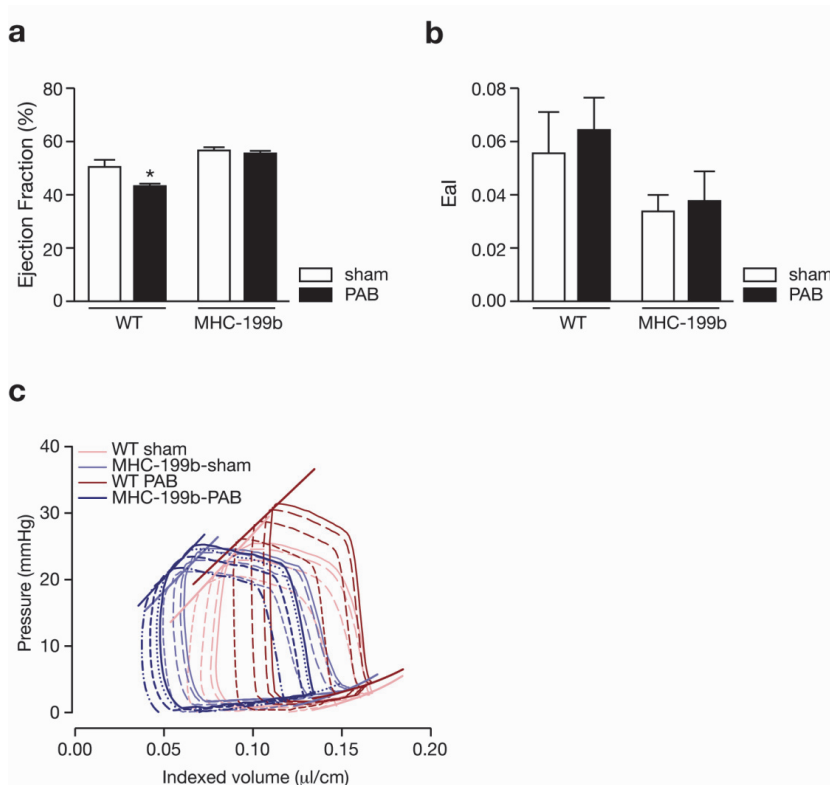


Figure 4.3 Hemodynamic assessment of the effect of miR-199b overexpression under right ventricular pressure overload conditions on cardiac function. Hemodynamic assessment of parameters such as a) RV ejection fraction (EF) and b) arterial elastance index (Ea); c) Representative pressure-volume loops of hearts of WT or TG (MHC-199b) mice subjected to either sham or PAB surgery. * $P < 0.05$, compared with the corresponding control group. $N = 7-11$ in all groups.

Knowledge obtained from studies on LV adaptation cannot be directly inferred to the RV since both ventricles are morphologically and genetically different.^{38,39} Although the RV is functionally different by being coupled to the low-resistance pulmonary vasculature,⁴⁰ it remains unknown whether it generates a distinctive responses to common stressors.⁴¹ In the LV, calcineurin/NFAT activation is a central inducer of pathological hypertrophy³³ and targeting of this particular signaling pathway has been successfully achieved in both experimental and clinical LV failure.³⁶ Noteworthy, functional adaptation of the RV to abnormal loading conditions seems to be associated with increased levels of modulatory calcineurin interacting protein 1 (MCIP1) expression, suggestive of calcineurin activation during pathological RV remodeling.³⁵ We have previously shown that miR-199b is a direct calcineurin/NFAT target gene that increases in expression

in mouse and human heart failure. Elevated cardiac miR-199b levels is associated with left ventricular hypertrophic growth and failure by targeting the nuclear NFAT kinase dual-specificity tyrosine-(Y)-phosphorylation regulated kinase 1a (Dyrk1a) in a feed-forward mechanism that affects calcineurin-responsive gene expression. Mice overexpressing miR-199b or deficient for Dyrk1a, are sensitized to pressure overload and display stress-induced cardiomegaly through reduced Dyrk1a expression. Despite its clear role during stress-induced LV remodeling, the role of miR-199b during the RV pathological response to sustained stress is not established.

Under normal physiological conditions, the RV is coupled to the pulmonary vascular bed, characterized by low resistance and high compliance. Upon stress conditions as a result of pulmonary hypertension or congenital heart diseases, the RV responds by increasing contractility and hypertrophic growth and if RV stress continues, these initial adaptive changes will progress into RV dysfunction and failure. In order to understand RV adaptation different models of RV deviant pressure loading conditions have been developed mainly in the rat.^{42,43} In this study, we used a model of RV pressure overload in mice, developed by subjecting the animals to pulmonary artery banding (PAB) surgery.³⁵ We demonstrated that WT mice with increased RV pressure load display increased levels of miR-199b expression in the RV suggesting involvement of this microRNA during pathological remodeling of the RV. Induction of miR-199b expression in WT animals upon PAB may be related to the hypertrophic response observed in these animals as pressure overload of the RV in WT animals resulted in elevated Fulton index and cardiomyocyte size, both indicative of cell hypertrophic growth. These results resemble the observations upon subjecting the LV to pressure overload³⁶ by transverse aortic constriction (TAC) where increased miR-199b expression levels were accompanied by cardiomyocyte hypertrophic growth and elevated heart to body weight ratios.

Also at the level of fibrotic lesions, miR-199b TG animals display less collagen deposition after PAB than WT animals subjected to stress. Transforming growth factor beta (TGF- β) has been implicated in the pathophysiology of PAH⁴⁴ and seems to be a target of the miRNA-199 family.⁴⁵ Alterations in TGF- β expression levels could very well explain the reduced levels of fibrosis observed in the MHC-199b animals after PAB, however, we were not able to detect differences in expression after PAB compared to sham in both WT and TG animals, suggesting that the observed effects on fibrosis are a secondary response to stress and independent from miR-199b expression levels. One of the limitations of our study is the lack of mechanistic insights by identifying target genes that could explain the differences in stress response of TG compared to WT hearts. In this regard, NOTCH signaling has been implicated in the development of PH, with clear effects on the vasculature.⁴⁶ Moreover, several members of the

NOTCH signaling pathway have been validated as direct target genes for miR-199b⁴⁷ and several others are predicted to be targets.⁴⁸ Although we have assessed the expression levels of several of NOTCH signaling genes we could not find significant changes between the different groups and therefore were not able to associate the observed phenotypes with NOTCH signaling.

The fact that many hemodynamic parameters did not significantly change between the different groups is another down aspect of our study. Although for most of the parameters assessed there were clear change trends between WT-sham and WT-PAB groups, these effects did not reach statistical significance. Because both PAB hemodynamic assessment of cardiac function are technically very challenging, the size of our animal groups may be reflecting these challenges by showing high variation between animals within the different groups. Increasing the groups considerably may allow for statistical significance.

Because our surgical banding seems to only mildly increase RV end systolic pressure when compared to previous studies³⁵ this supports a moderate banding of the pulmonary artery in our study. However, as this increase was sufficient to impair contractility and decrease cardiac output in WT animals, we believe to have generated an animal model mimicking a mild version of pulmonary artery banding (PAB). This could partly explain why the additional effect of miR-199b overexpression is overruled in our animal model. As previously indicated, the modulation of microRNA levels can reveal different effects on the heart depending on the severity of the disease induced in an animal model.⁴⁹ Besides, miRNAs can have differential expression patterns during development of a disease suggesting that a microRNA can reveal a stage-specific regulatory function.⁵⁰ Therefore, it remains necessary to investigate the role of miR-199b in a severe model of RV failure before drawing a firm conclusion on the function of this miRNA during RV remodeling.

Acknowledgments

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Chapter 5

miR-199b is a regulator of left ventricular remodeling following myocardial infarction

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Abstract

Myocardial infarction (MI), the globally leading cause of heart failure, morbidity and mortality, involves post-MI ventricular remodeling, a complex process including acute injury healing, scar formation and global changes in the surviving myocardium. The molecular mechanisms involved in adverse post-infarct left ventricular remodeling still remain poorly defined. Recently, microRNAs have been implicated in the development and progression of various cardiac diseases as crucial regulators of gene expression. We previously demonstrated that in a murine model of pressure overload, a model of heart failure secondary to aortic stenosis or chronic high blood pressure, elevated myocardial expression of miR-199b-5p is sufficient to activate calcineurin/NFAT signaling, leading to exaggerated cardiac pathological remodeling and dysfunction. Given the differences in left ventricular remodeling secondary to post-infarct healing and pressure overload, we evaluated miR-199b function in post-MI remodeling. We confirmed that the expression of miR-199b is elevated in the post-infarcted heart. Transgenic animals with cardiomyocyte-restricted overexpression of miR-199b-5p displayed exaggerated pathological remodeling after MI, reflected by severe systolic and diastolic dysfunction and fibrosis deposition. Conversely, therapeutic silencing of miR-199b-5p in MI-induced cardiac remodeling by using an antagomir to specifically inhibit endogenous miR-199b-5p *in vivo*, resulted in efficient suppression of cardiac miR-199b-5p expression and attenuated cardiac dysfunction and dilation following MI. Mechanistically, miR-199b-5p influenced the expression of three predicted target genes in post-infarcted hearts, dual specificity tyrosine-phosphorylation-regulated kinase 1A (Dyrk1a), the notch1 receptor and its ligand jagged1. In conclusion, here we provide evidence supporting that stress-induced miR-199b-5p participates in post-infarct remodeling by simultaneous regulation of distinct target genes.

Introduction

Acute myocardial infarction (MI) is one of the major causes of mortality and morbidity in humans.¹ MI occurs when blood supply to the left ventricular (LV) wall of the heart is hindered due to an occlusion in the coronary arteries² and consequently leading to massive cardiomyocyte death. A complex remodeling process is then initiated involving scar formation in the infarct zone, interstitial fibrosis in the border zone between the infarct and non-infarct area, cardiomyocyte hypertrophy and capillary rarefaction in the non-infarct remote zone. Eventually, these changes provoke impaired cardiac contractility and, ultimately, heart failure.³ Moreover, post-infarction remodeling is associated with a higher incidence of arrhythmia and sudden cardiac death.⁴ Hence, therapeutic strategies targeting pathological remodeling following MI could provide a promising strategy for post-MI management and heart failure. In this regard, we and others have identified a variety of stress-induced microRNAs (miRNAs) as regulators of crucial mechanisms in the development of heart failure and acting as promising therapeutic targets.⁵⁻¹⁰ These short (~22 nucleotide) single stranded RNA molecules modulate gene expression by binding to 'seed regions' on protein-coding transcripts and leading to translational inhibition or degradation of mRNAs.¹¹ Importantly, a single miRNA likely simultaneously targets dozens of mRNAs, while individual mRNAs display several seed regions for different miRNAs, providing an enormous regulatory capacity for post-transcriptional gene regulation.

Previously, stress-induced miR-199b-5p was identified as a potent regulator of pathologic cardiac hypertrophy by functioning as an activator of calcineurin/nuclear factor of activated T-cell (CnA/NFAT) signaling.⁵ MiR-199b-5p exerts its function by targeting Dual-specificity tyrosine-phosphorylation regulated kinase 1a (*Dyrk1a*), a nuclear kinase responsible for NFAT rephosphorylation and translocation from the nucleus to the cytoplasm, essentially acting as an inhibitor of CnA/NFAT signaling. Therapeutic inhibition of miR-199b-5p by using a cholesterol-conjugated antagomir provoked inhibition of cardiac CnA/NFAT activity, attenuated pathological remodeling and preserved cardiac contractility after pressure overload, identifying miR-199b-5p as a therapeutic target to treat hypertension-induced forms of heart failure.⁵ In the present study, we aimed at exploring the function of miR-199b-5p (referred to as miR-199b), if any, in post-infarct remodeling in the mouse using gain-of-function and therapeutic silencing approaches. We confirmed that the expression of miR-199b is elevated in the post-infarcted heart. Transgenic animals with cardiomyocyte-restricted overexpression of miR-199b displayed exaggerated pathological remodeling after MI, reflected by severe systolic and diastolic dysfunction and fibrosis deposition. Conversely, therapeutic silencing of miR-

199b in MI-induced cardiac remodeling by using an antagomir to specifically inhibit endogenous miRNA-199b *in vivo*, resulted in efficient suppression of cardiac miR-199b expression and attenuated cardiac dysfunction and dilation following MI. Mechanistically, miR-199b influenced the expression of three predicted target genes in post-infarcted hearts, dual specificity tyrosine-phosphorylation-regulated kinase 1A (Dyrk1a), the notch1 receptor and its ligand jagged1. In conclusion, here we provide evidence supporting that stress-induced miR-199b participates in post-infarct remodeling by simultaneous regulation of distinct target genes.

Materials and methods

Mouse models

Mice used in this study were male and female B6129S2F1 wild-type mice (Charles River Laboratories) of 2–6 months of age. Other mice used in this study were transgenic mice overexpressing miR-199b-5p in the postnatal myocardium under control of the 5.5 kb murine alpha-myosin heavy chain (MHC) promoter (miR-199b TG).⁵ Sample size was determined by a power calculation based on an echocardiographic effect size. Randomization of subjects to experimental groups was based on a single sequence of random assignments. Animal caretakers and investigators were blinded to group allocation during the experiment and/or when assessing the outcome. All protocols were performed according to institutional guidelines and approved by local Animal Care and Use Committees. All mice were housed on a 12hr:12hr light:dark cycle in a temperature-controlled environment with *ad libitum* access to water and chow at Innosor Netherlands BV, a commercial mouse breeding company with a quarterly animal health monitoring system that complies with FELASA guidelines and recommendations.

Myocardial infarction and transthoracic echocardiography

Myocardial infarction (MI) was performed in 2–3 month-old mice by permanent ligation of the left coronary artery as previously described.¹³ Sham-operated animals underwent the same procedure without the occlusion of the left coronary artery. For Doppler-echocardiography, mice were shaved, lightly anaesthetized with isoflurane (mean 1% in oxygen) and allowed to breathe spontaneously through a nasal cone. Non-invasive, echocardiographic parameters were measured using a digital cardiac ultrasound platform (Vevo 770, VisualSonics, Toronto, Canada), and employing a single-element mechanical transducer with a center frequency of 30 MHz, (M – and B-mode), on self-breathing mice under

anesthesia (2% isoflurane and 98% oxygen) to evaluate left ventricular dimensions and function.⁵ In M-mode, the following parameters were obtained: AWthd, anterior wall thickness in diastole; LVIDd, left ventricular internal diameter in diastole; PWthd, posterior wall thickness in diastole; AWths, anterior wall thickness in systole; LVIDs, left ventricular internal diameter in systole; PWths, posterior wall thickness in systole; PWths, posterior wall thickness in systole; LVmass, left ventricular mass and FS, fractional shortening.

Antagomir administration

Chemically modified antisense oligonucleotides designed to target *mmu-miR-199b-5p* (5'-GAACAGGUAGUCUAAACACUGGG//3CholTEG-3'; antagomir-199b) with a 3' cholesterol conjugation and 2 phosphorothioate (PT) bonds at the very first 5' end and 4 PT bonds between the last 3' bases¹⁴ was synthesized at Integrated DNA Technologies (IDT, Leuven, Belgium).

As control we generated an antagomir against *C. elegans miR-39-5p* (5'-AAGGCAAGCUGACCCUGAAGUU-3'/3CholTEG-3'), which does not target mammalian sequences (antagomir-ctrl). Female and male B6129S2F1 mice were first subjected to sham or MI surgery and injected intraperitoneally with antagomir-199b (80 mg/kg body weight dissolved in sterile PBS) or antagomir-ctrl for 3 consecutive days.

Real time RT- PCR

Total RNA (1 µg) was applied to either miR-based or mRNA-based reverse transcription (RT). Real-time PCR was performed on a BioRad iCycler (Biorad) using SYBR Green. Transcript quantities were compared using the relative Ct method, where the amount of target normalized to the amount of endogenous control (L7 or U6 for mRNAs or miRNAs, respectively) and relative to the control sample is given by $2^{-\Delta\Delta C_t}$. Primers used for protein-coding transcripts are provided in Supplementary Table 5.1. For microRNA real-time PCR, miRNAs were isolated with TRIzol reagent (Invitrogen) and cDNA was generated with the miScript Reverse Transcription Kit (Qiagen). For real-time PCR detection of miRNAs, miScript Primer Assays and the miScript SYBR Green PCR Kit (Qiagen) were used. Primers for miRNA detection included miR-199b-5p, 5'-CCCAGTGTTTAGACTACCTGTTC and Universal reverse 5'-GAATCGAGCACCAGTTACGC.

Western blotting

SDS PAGE electrophoresis and blotting were performed as previously described.¹⁵ In short, whole tissue or cell lysates were produced in RIPA buffer supplemented with PhosSTOP (Roche) and Protease inhibitor cocktail (Roche).

Subsequently samples were boiled in 4× Laemmli buffer, including 2% β-mercaptoethanol, for 5 min at 95 °C. SDS-PAGE and Western blotting were performed using the Mini-PROTEAN 3 system (Bio-Rad). Blotted membranes were blocked in 5% BSA/TBS-Tween. Primary antibody labeling was performed overnight at 4°C. Secondary IgG–horseradish peroxidase (HRP)-conjugated antibodies were applied for 2 h at room temperature. Following incubation with an antibody, blots were washed for 3 × 10 min in TBS-Tween. Images were generated using Supersignal West Dura Extended Duration ECL Substrate (Pierce) and the LAS-3000 documentation system (FujiFilm Life Science). Stripping was performed with Restore Western blot stripping buffer (Pierce). Outputs were normalized for loading and results are expressed as an n-fold increase over the values of the control group in densitometric arbitrary units. Primary antibodies that were used included rabbit polyclonal anti-Dyrk1A (Santa Cruz, 1:500), mouse monoclonal anti-α-tubulin (Sigma, 1:1000). Secondary antibodies included polyclonal rabbit anti-mouse IgG–HRP (DAKO, 1:5000) and polyclonal swine anti-rabbit IgG–HRP (DAKO, 1:5000).

Histological analysis and (immunofluorescence) microscopy

For histological analysis, hearts were arrested in diastole, perfusion-fixed with 4% paraformaldehyde, embedded in paraffin and cut into 4-μm sections. Paraffin sections were stained with haematoxylin and eosin (H&E) for routine histological analysis; Sirius Red for detection of fibrillar collagen and FITC-labeled wheat-germ-agglutinin (WGA) to visualize and quantify the cell cross-sectional area. Cell surface areas and infarct size were determined using ImageJ software. Sirius Red stained sections were used to determine the infarct size. Epicardial infarct ratio was obtained by dividing the epicardial infarct lengths by the epicardial circumferences from all sections. Endocardial infarct ratio was calculated similarly. Infarct size derived from this approach was calculated as $[(\text{epicardial infarct ratio} + \text{endocardial infarct ratio})/2] \times 100$.¹⁶ Slides were visualized using a Leica DM 2000 for bright field and Leica DM3000 for fluorescence imaging.

microRNA target prediction

Putative microRNA-199b target genes were identified using the microRNA databases and target prediction tools miRBase (<http://microrna.sanger.ac.uk/>), PicTar (<http://pictar.mdc-berlin.de/>) and TargetScan (<http://targetscan.org/index.html>).

Statistical analysis

The results are presented as mean \pm standard error of the mean. Statistical analyses were performed using Prism software (GraphPad Software Inc.), and consisted of ANOVA followed by Tukey's test when group differences were detected at the 5% significance level, or Student's *t*-test when comparing two experimental groups. Differences were considered significant when $P < 0.05$.

Results

Cardiac overexpression of miR-199b exacerbates LV remodeling following MI

We have previously established the therapeutic potential of targeting miR-199b in a murine model of pressure overload-induced heart failure.⁵ In the present follow-up study we aimed at determining the involvement of miR-199b, if any, during pathological cardiac remodeling induced by myocardial infarction (MI). To this end, wild-type (WT) and cardiac-specific miR-199b transgenic mice (miR-199b TG or TG),⁵ were subjected to either sham surgery or MI for 4 weeks. WT animals displayed increased cardiac miR-199b expression levels when subjected to MI compared to the sham group (**Figure 5.1a**). As expected, higher miR-199b expression was observed in transgenic hearts compared to WT mice. All animals subjected to 4 weeks of MI developed severe cardiac dysfunction as evidenced by decreased fractional shortening (FS), a parameter of systolic function, and increased left ventricular internal diameters (LVIDd), indicating a profound dilation post-infarction (**Figure 5.1b and 5.1c; Table 5.1**). TG mice developed severe systolic dysfunction compared to WT animals indicating that increased miR-199b expression levels sensitized the heart to MI-induced dysfunction (**Figure 5.1b**). We also observed diastolic dysfunction in all experimental post-MI groups as evidenced by an increased E/A ratio ('E' early; 'A' late ventricular filling velocity measured by mitral valve Doppler; **Figure 5.1d; Table 5.1**), but only post-infarcted TG animals displayed an E/A ratio above 2, indicating restrictive filling of the LV. The observed cardiac phenotypes correlated with increased transcript abundance of cardiac stress genes (**Figure 5.1e**) including atrial natriuretic factor (*Nppa*), α -skeletal actin (*Acta1*) and β -myosin heavy chain (*Myh7*). Taken together, these results indicate that cardiac miR-199b overexpression sensitizes the myocardium to geometric post-infarction remodeling, cardiac dysfunction and the induction of stress marker gene expression.

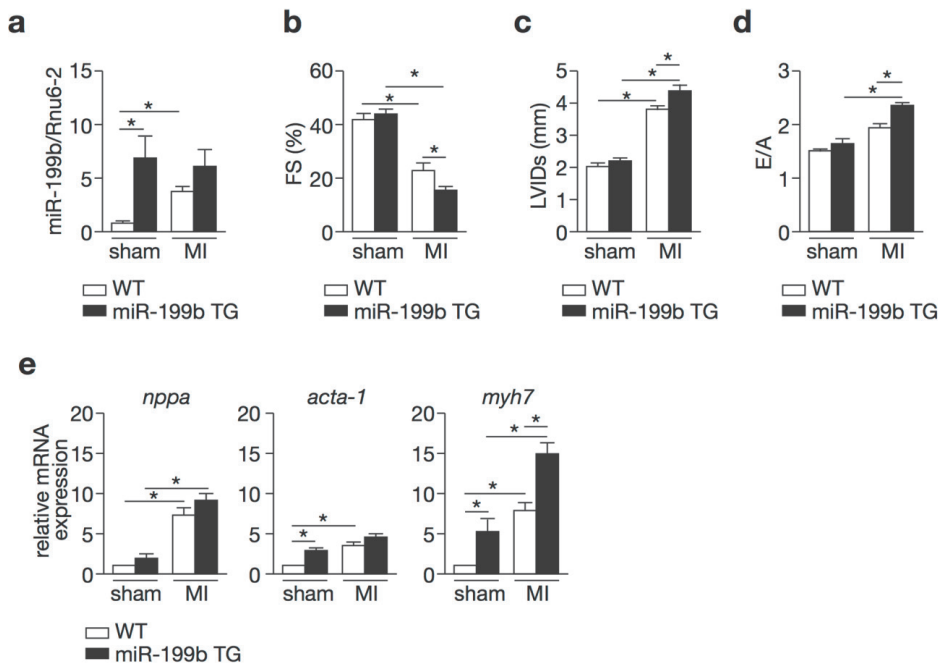


Figure 5.1 Cardiac specific overexpression of miR-199b sensitizes the heart to MI. a) Real-time PCR analysis of *miR-199b* transcript abundance in hearts from wild type (WT) or miR-199b transgenic mice (TG) subjected to either sham or MI. *Rnu6-2* was used as a reference gene for normalization. (b-d) Assessment of cardiac functional parameters by cardiac echocardiography, in WT and miR-199b transgenic mice (TG) after 4 weeks of either sham or MI surgery: b) fractional shortening (FS), c) LV internal diameter at systole (LVIDs) and d) early to late ventricular filling velocity (E/A). e) Real-time PCR analysis of transcript abundance for the fetal gene markers natriuretic peptide atrial natriuretic factor (*nppa*), α -skeletal actin (*acta1*) and β -myosin heavy chain (*myh7*) in the hearts from WT or miR-199b transgenic mice (TG), 4 weeks after either sham or MI operation. $n=6-9$, * $P<0.05$ (mean \pm s.e.m.).

Next, we evaluated the extent of cardiac hypertrophic growth and fibrotic scar formation following MI.^{3,17} Although post-infarcted hearts displayed increased heart weights, this effect was exacerbated in TG animals. Accordingly, cross-sectional cardiomyocytes surface areas were increased after MI with the cells being slightly more hypertrophic in the TG animals (**Figure 5.2a, 5.2c**). This finding of mild increase in cardiac cell hypertrophy in the TG animals after MI, in combination with the observed exaggerated dilation response secondary to MI suggests that miR-199b overexpression promotes a switch from concentric to eccentric hypertrophy response in the viable myocardium after MI. Next, we investigated infarct scar size, a main determinant of outcome after MI.¹⁸ Although the scar size on the left anterior wall distal to the occluded LAD was comparable between WT and TG hearts (**Figure 5.2d**), the amount of fibrosis in

the border zone of TG hearts was more extensive compared to WT hearts (**Figure 5.2e and 5.2f**). Conclusively, miR-199b overexpression promotes, on the one hand, a mild cardiac concentric hypertrophic phenotype in the viable remote myocardium, and on the other hand, LV dilatation. Furthermore, it does not influence infarct healing but stimulates exaggerated fibrotic deposition in the remote myocardium in post-infarcted hearts.

Table 5.1 Morphometric and echocardiographic characteristics of WT and miRNA-199b TG mice subjected to 4 weeks of sham or MI.

| | Sham | | MI | |
|--------------------|----------------|----------------|----------------|-----------------|
| | WT | miR-199b TG | WT | miR-199b TG |
| n | 11 | 8 | 7 | 13 |
| HW/BW | 4.61 ± 0.09 | 4.31 ± 0.18 | 6.30 ± 0.59* | 6.10 ± 0.25* |
| HW/TL | 6.82 ± 0.21 | 7.06 ± 0.23 | 7.99 ± 0.19* | 8.83 ± 0.21*# |
| LV mass (mg) | 187.72 ± 13.42 | 208.11 ± 19.52 | 354.71 ± 9.24* | 343.03 ± 19.48* |
| LV mass/BW (mg/g) | 5.89 ± 0.73 | 5.50 ± 0.67 | 12.48 ± 0.40* | 9.50 ± 0.56*# |
| LV mass/TL (mg/mm) | 8.5 ± 0.54 | 8.9 ± 0.81 | 14.78 ± 1.16* | 15.51 ± 0.93* |
| IVSd (mm) | 1.59 ± 0.08 | 1.64 ± 0.10 | 2.09 ± 0.07* | 2.06 ± 0.09* |
| IVSs (mm) | 1.22 ± 0.12 | 1.61 ± 0.08* | 1.87 ± 0.09* | 1.84 ± 0.11* |
| LVIDd (mm) | 3.57 ± 0.18 | 3.83 ± 0.58 | 4.58 ± 0.18* | 4.52 ± 0.22* |
| LVIDs (mm) | 2.08 ± 0.15 | 2.18 ± 0.11 | 3.85 ± 0.13* | 4.30 ± 0.15*# |
| LVPWd (mm) | 1.31 ± 0.02 | 1.40 ± 0.08 | 1.64 ± 0.06* | 1.38 ± 0.06*# |
| LVPWs (mm) | 2.29 ± 0.05 | 2.15 ± 0.11 | 1.48 ± 0.08* | 1.71 ± 0.04*# |
| FS (%) | 41.73 ± 3.25 | 43.08 ± 2.57 | 22.69 ± 2.59* | 16.01 ± 1.32*# |
| E/A (mm/s) | 1.59 ± 0.06 | 1.68 ± 0.06 | 1.79 ± 0.09 | 2.24 ± 0.06*# |

Data are expressed as means ± SEM. HW, heart weight; BW, body weight; TL, tibia length; LV, left ventricular; IVSd, interventricular septal thickness at end-diastole; IVSs, interventricular septal thickness at end-systole; LVIDd, left ventricular internal dimension at end-diastole; LVIDs, left ventricular internal dimension at end-systole; LVPWd, left ventricular posterior wall thickness at end-diastole; LVPWs, left ventricular posterior wall thickness at end-systole; FS, fractional shortening; E/A, Doppler E/A ratio. *, indicates $P < 0.05$ vs wt-sham group; #, indicates $P < 0.05$ vs experimental group.

In vivo miR-199b silencing attenuates post-infarction remodeling

Next, we evaluated post-infarction remodeling following an *in vivo* silencing approach for miR-199b. To this end, animals were randomized to either receive antagomir-control or antagomir-199b treatment for 3 consecutive days before being subjected to sham or MI surgery. We observed that while miR-199b expression was augmented in the LV after MI in antagomir-control treated mice, miR-199b was effectively silenced in the myocardium after administration of antagomir-199b (**Figure 5.3a**). As expected, antagomir-control treated mice developed severe systolic dysfunction and LV dilation following MI (**Figure 5.3b and 5.3c; Table 5.2**). Interestingly, infarcted mice receiving antagomir-199b demonstrated a clear attenuation of cardiac dysfunction accompanied by a mild suppression of myocardial dilation (**Figure 5.3b and 5.3c; Table 5.2**).

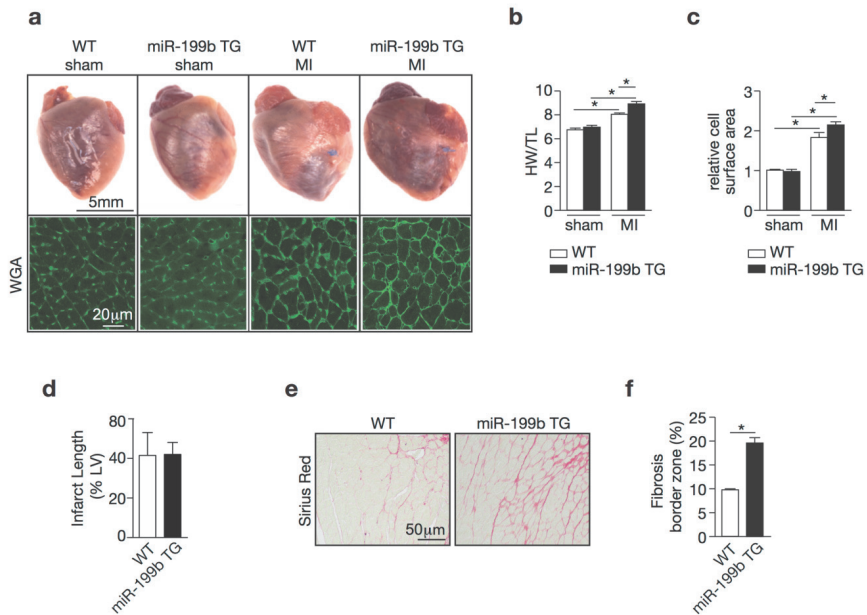


Figure 5.2 miR-199b overexpression results in exaggerated fibrosis in the border zone during post-myocardial infarct remodeling. **a**) Representative images of whole hearts (top panels) or wheat-germ agglutinin (WGA)-labeled (lower panels) histological sections, **b**) gravimetric analysis of corrected heart weights and **c**) quantification of cardiomyocyte surface area of WT or miR-199b transgenic (TG) mice hearts after either 4 weeks of sham or MI surgery. **d**) Quantification of infarct sizes in WT and miR-199b transgenic (TG) animals, 4 weeks after MI. **e**) Representative images of Sirius red-stained histological sections and **f**) quantification of collagen deposition in the border zone of WT or miR-199b transgenic (TG) hearts after MI. $n=6-10$, $*P<0.05$ (mean \pm s.e.m.).

Histological analysis demonstrated that cross-sectional surface areas of cardiomyocytes were increased to the same extent in antagomir-control or antagomir-199b-treated mice following MI (**Figure 5.3d and 5.3e**), which was also reflected at the whole organ level by measuring heart weight-to-tibia length ratios (**Figure 5.3f**). No major inhibition of stress marker gene induction was observed between infarcted mice receiving either antagomir-control or antagomir-199b (**Figure 5.3g**). As we did not detect major differences regarding scar size and collagen deposition at the histological level (data not shown), we also assessed the expression levels of several fibrosis-related genes such as collagen type I alpha 2 chain (*col1a2*), collagen type 3 alpha 1 chain (*col3a1*) and fibronectin-1 (*fn1*) (**Figure 5.3h**).

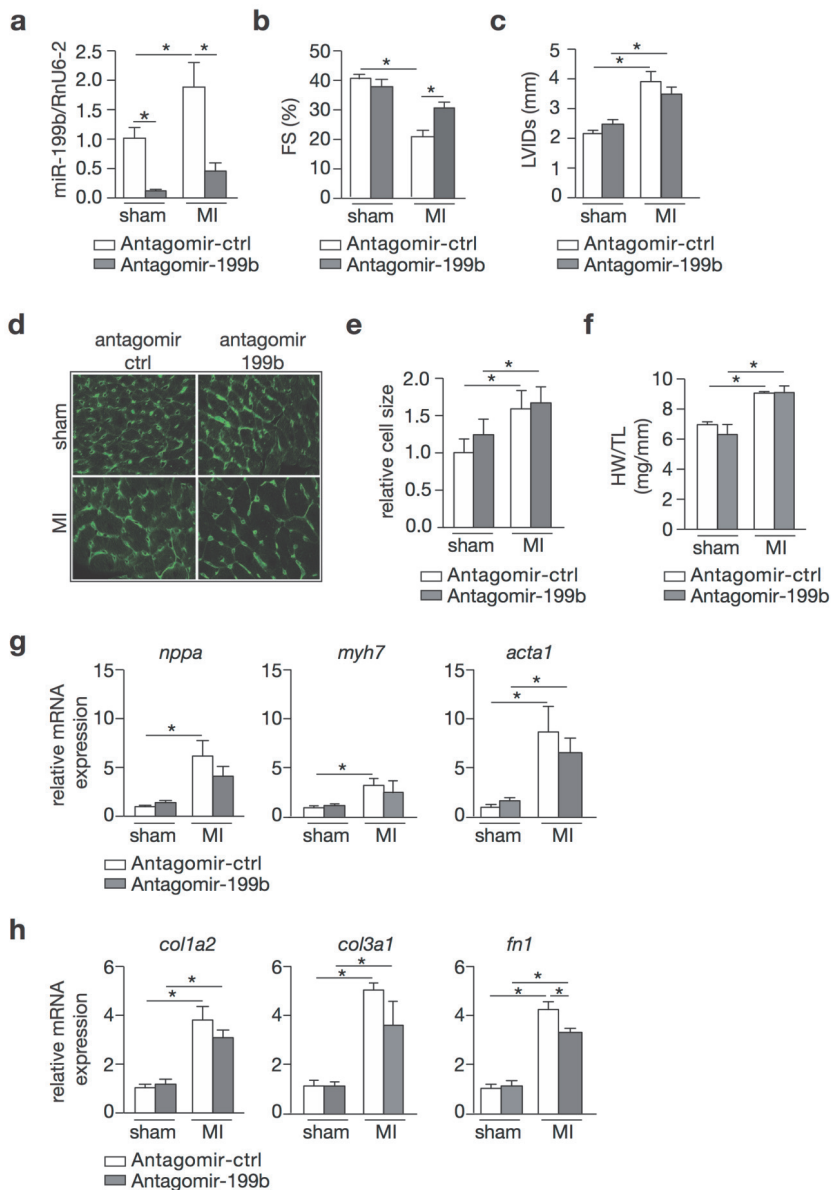


Figure 5.3 Pharmacological inhibition of miR-199b attenuates cardiac dysfunction following MI. **a)** Real-time PCR analysis of *miR-199b* expression levels in the LV of antagomir-control or antagomir-199b-treated animals after subsection to either 4 weeks of sham or MI. *Rnu6-2* was used as a reference gene for normalization. **b,c)** Quantification of **(b)** fractional shortening (FS) and **(c)** LV internal diameter at systole (LVIDs) by echocardiography in antagomir-control or antagomir-199b-treated mice after subsection to 4 weeks of either sham or MI. **d)** Representative images of WGA-labeled cardiac histological sections from mice subjected to sham or MI, and treated either with antagomir-control or antagomir-199b. **e)** Quantification of cardiomyocyte surface area in

histological sections from mice subjected to sham or MI, and treated either with antagomir-control or antagomir-199b. **f**) Gravimetric analysis of corrected hearts weights of animals that underwent sham or MI surgery and after treatment with antagomir-control or antagomir-199b. **g**) Real-time PCR analysis of transcript abundance of the fetal gene markers: natriuretic peptide atrial natriuretic factor (*nppa*), β -myosin heavy chain (*myh7*) and the sarcomeric proteins α -skeletal actin (*acta1*) in antagomir-control or antagomir-199b treated mice after subjection to 4 weeks of sham or MI. **h**) Real-time PCR analysis of transcript abundance of fibrosis related genes: collagen type I alpha 2 chain (*col1a2*), collagen type 3 alpha 1 chain (*col3a1*) and fibronectin-1 (*fn1*) in antagomir-control or antagomir-199b treated mice after subjection to 4 weeks of sham or MI. $n=8-13$ * $P<0.05$ (mean \pm s.e.m.).

Whereas for the collagens there was reduced mRNA expression in the mice treated with antagomir-199b but this effect did not reach statistical significance, we did observe significant reduction in fibronectin1 expression in the mice treated with antagomir-199b compared to the control group (**Figure 5.3h**). In conclusion, our in vivo silencing approach for miR-199b shows therapeutic effects on post-infarction remodeling in mice, with pronounced improvement of systolic contractility, reduction of LV dilation and reduced fibrosis-related gene expression, but no major differences in cardiomyocyte hypertrophy or “fetal” gene induction in the remote myocardium.

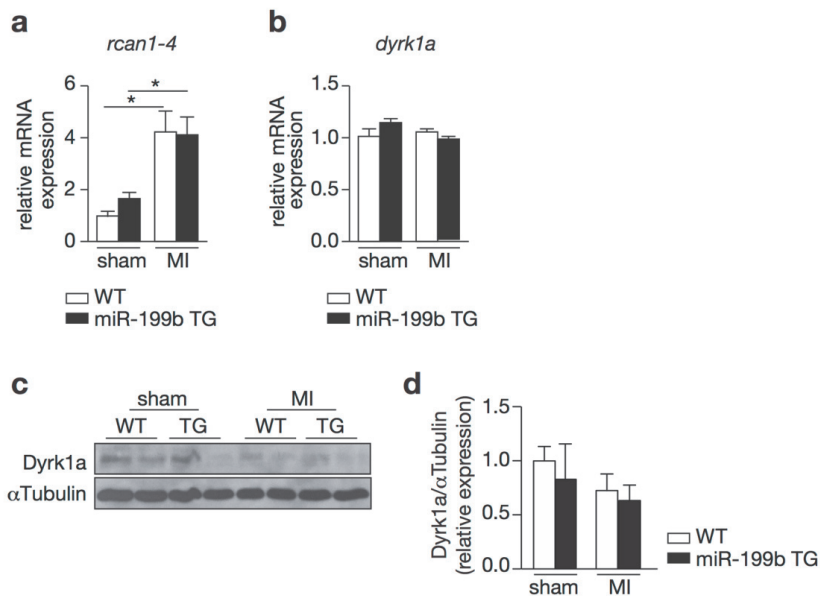
Table 5.2 Morphometric and echocardiographic characteristics of mice subjected to 4 weeks of sham or MI and treated either with antagomir-control or antagomir-199b.

| | Sham | | MI | |
|---------------------------|-------------------|-------------------|---------------------|---------------------|
| | Antagomir-control | Antagomir-199b | Antagomir-control | Antagomir-199b |
| n | 13 | 8 | 11 | 11 |
| HW/BW (mg/g) | 7.16 \pm 0.43 | 5.65 \pm 0.81 | 8.28 \pm 1.03 | 8.64 \pm 0.53 |
| HW/TL (mg/mm) | 7.07 \pm 0.28 | 6.47 \pm 0.76 | 9.01 \pm 0.43* | 9.06 \pm 0.67* |
| LV mass (mg) | 95.01 \pm 6.51 | 113.32 \pm 6.01 | 151.71 \pm 24.18* | 182.29 \pm 14.30* |
| LV mass/BW (mg/g) | 4.04 \pm 0.22 | 4.42 \pm 0.17 | 5.58 \pm 0.28* | 6.93 \pm 0.46*# |
| LV mass/TL (mg/mm) | 4.32 \pm 0.43 | 4.97 \pm 0.51 | 6.94 \pm 0.23* | 7.99 \pm 0.33* |
| IVSd (mm) | 0.99 \pm 0.03 | 1.02 \pm 0.05 | 0.84 \pm 0.08 | 0.87 \pm 0.08 |
| IVSs (mm) | 1.46 \pm 0.05 | 1.49 \pm 0.06 | 1.21 \pm 0.10* | 1.19 \pm 0.10* |
| LVIDd (mm) | 3.70 \pm 0.10 | 3.83 \pm 0.12 | 4.97 \pm 0.28* | 5.20 \pm 0.25* |
| LVIDs (mm) | 2.19 \pm 0.12 | 2.38 \pm 0.16 | 3.91 \pm 0.33* | 3.55 \pm 0.28* |
| LVPWd (mm) | 0.79 \pm 0.06 | 0.92 \pm 0.04 | 0.92 \pm 0.07 | 1.04 \pm 0.09* |
| LVPWs (mm) | 1.20 \pm 0.05 | 1.35 \pm 0.05 | 1.23 \pm 0.08 | 1.29 \pm 0.07 |
| FS (%) | 40.81 \pm 2.02 | 37.85 \pm 2.36 | 21.32 \pm 2.69* | 31.73 \pm 1.74*# |
| E/A (mm/s) | 1.40 \pm 0.05 | 1.24 \pm 0.04 | 1.36 \pm 0.07 | 1.33 \pm 0.05 |

Downstream effectors of miR-199b in post-MI remodeling

Next, we investigated the mechanisms by which miR-199b may induce an exaggerated cardiac dysfunction and LV remodeling following MI. Our group earlier established that miR-199b exerts its pro-hypertrophic function in the

pressure-overloaded heart by regulating signaling strength of the calcineurin/nuclear factor of activated T-cell (CnA/NFAT) pathway. In line, we found *Rcan1-4* transcript expression, a sensitive marker of cardiac NFAT activity, upregulated in response to MI, indicative of activation of this signaling pathway following MI. However, no additional activation was discernable in post-infarcted TG mice with miR-199b overexpression (**Figure 5.4a**). We also could not observe differences in transcript or abundance or protein expression of Dual-specificity tyrosine-phosphorylation regulated kinase 1a (*Dyrk1a*), a previously validated target gene of miR-199b in the myocardium (**Figure 5.4b–d**). Conversely, we also evaluated *Rcan1-4* and *Dyrk1a* transcript abundance in the experimental infarction groups receiving antagomir control or antagomir-199b. Although the data show no significant differences for both transcripts (**Figure 5.4e and 5.4f**), western blot analysis revealed an increase in *Dyrk1a* expression levels in infarcted hearts after antagomir-199b treatment (**Figure 5.4g and 5.4h**). Altogether, these data suggest that miR-199b silencing in the post-infarcted heart may still involve CnA/NFAT signaling by direct regulation of *Dyrk1a* expression, but to a less extent than what we previously observed in pressure-overloaded hearts.⁵ Therefore, we conclude that miR-199b expression promotes adverse remodeling and cardiac dysfunction following MI in a response that must require additional target genes to play a synergistic effect along with specific players of CnA/NFAT signaling.



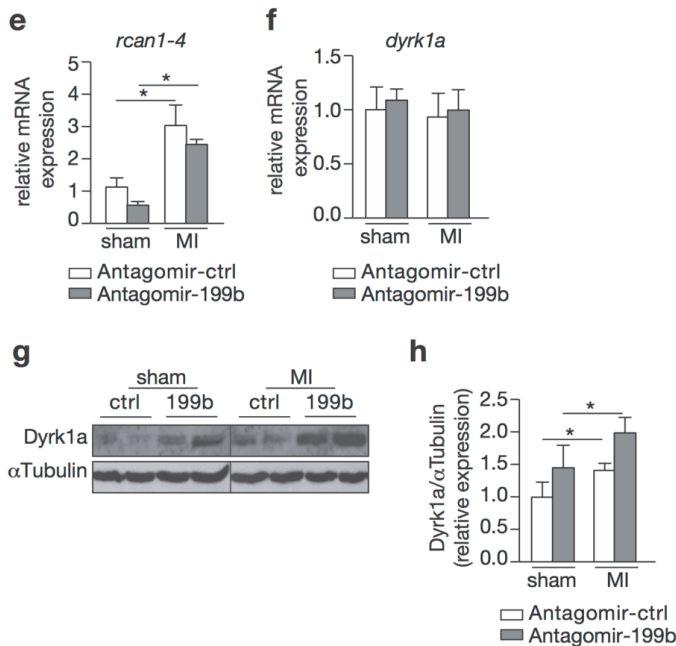


Figure 5.4 Improved cardiac function after pharmacological inhibition of miRNA-199b involves CnA/NFAT signaling. **a,b** Real-time PCR analysis of transcript abundance of (a) *rcan1-4* and (b) *dyrk1a* in WT or miR-199b transgenic (TG) murine hearts after subsection to 4 weeks of sham or MI. **c** Western blot analysis of endogenous Dyrk1a and α Tubulin in WT or miR-199b transgenic (TG) murine hearts after subsection to 4 weeks of sham or MI. **d** Quantification of α Tubulin-corrected Dyrk1A western blot signals from (c). **e,f** Real-time PCR analysis of transcript abundance of (e) *rcan1-4* and (f) *dyrk1a* in hearts from mice subsection to sham or MI, and treated either with antagomir-control or antagomir-199b. **g** Western blot analysis of endogenous Dyrk1a and α Tubulin in murine hearts subsection to sham or MI, and treated either with antagomir-control or antagomir-199b. **h** Quantification of α Tubulin-corrected Dyrk1A western blot signals from (g). $n = 5-9$ * $P < 0.05$ (mean \pm s.e.m.).

Because miRNAs target multiple transcripts by virtue of the presence of a specific seed region, we evaluated the expression of additional miR-199b target genes in post-infarcted hearts. To this end, we analyzed target prediction databases for miR-199b and identified two members of the Notch signaling pathway as potential targets of miR-199b, *Notch1* and *Jagged1*. The Notch pathway is of particular interest here because increased activity of Notch1 in the adult heart after a cardiac insult has been associated with beneficial effects on cardiac function and remodeling.¹⁹⁻²⁵ Moreover, *Jagged1* is a validated direct target of miR-199b and an inverse correlation between miR-199b and *Notch1* expression in cancer cells was previously demonstrated.²⁶⁻²⁸ The sequence alignments between mature *mmu-miR-199b-5p* and sequences in the 3'UTR of *Jagged1* and *Notch1* displayed perfect sequence complementarity between

the transcripts and miR-199b (**Figure 5.5a**). In line, we found that transcript abundance for both *Jagged1* and *Notch1* were enhanced in WT post-infarcted hearts, while in TG animals subjected to MI their expression was at control level (**Figure 5.5b and 5.5c**). Vice versa, the hearts of WT mice receiving antagomir-199b displayed a clear derepression of both *Jagged1* and *Notch1* transcripts (**Figure 5.5d and 5.5e**). Conclusively, these results demonstrate that miR-199b acts as a negative regulator of the protective Notch signaling pathway during LV remodeling following MI.

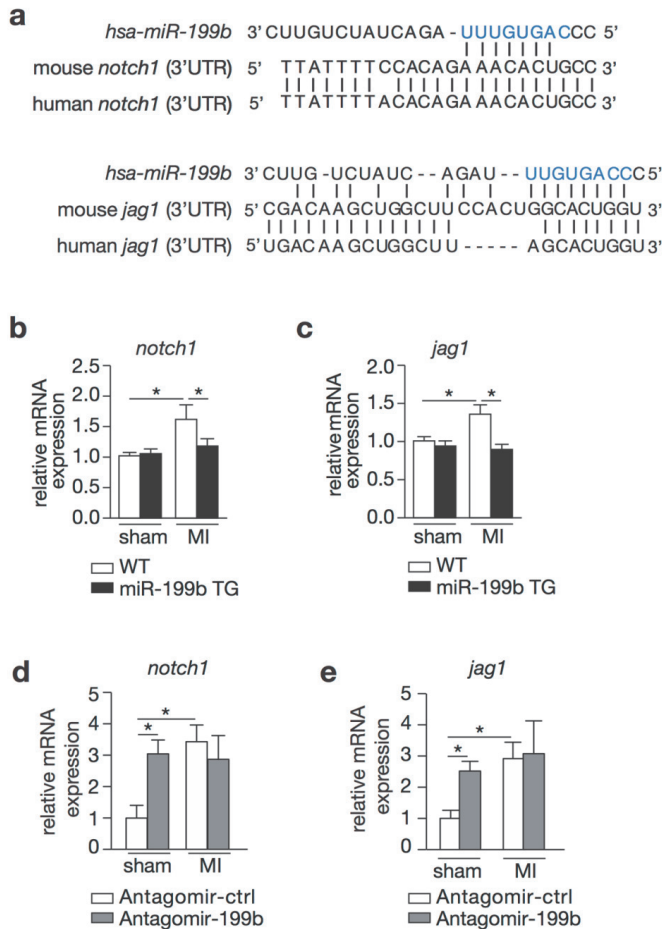


Figure 5.5 miR-199b regulation of post-MI cardiac remodeling involves the Notch signaling pathway. **a**) Sequence alignment of human miR-199b seed region and 3'UTRs of human and mouse *notch1* and *jagged1*. **b,c**) Real-time PCR analysis of transcript abundance of **(b)** *notch1* and **(c)** *jagged1* in WT or miR-199b transgenic (TG) murine hearts after 4 weeks of sham or MI. **(d,e)** Real-time PCR analysis of transcript abundance of **(b)** *notch1* and **(c)** *jagged1* in murine hearts subjected to sham or MI, and treated either with antagomir-control or antagomir-199b. $n=4-6$ * $P<0.05$ (mean \pm s.e.m.).

Discussion

Our study suggests that under volume overload conditions, miR-199b is still able to affect CnA/NFAT signaling by direct regulation of *Dyrk1A* expression but also interferes with the Notch pathway during pathological remodeling after myocardial infarction (MI), which is associated with elevated levels of fibrosis in the border zone. We detected activation of the CnA/NFAT signaling pathway in the LV of MI-subjected hearts by measuring transcript levels of calcineurin 1 isoform 4 (*Rcan1-4*), a sensitive target gene activated by CnA/NFAT and often used to evaluate signaling strength of this cascade.²⁹ We observed higher *Rcan1-4* expression levels in hearts from mice receiving myocardial infarction, suggesting that CnA/NFAT signaling is indeed activated in the post-infarcted heart as observed previously by us.¹³ In contrast, *Dyrk1a* transcript levels, a previously validated target of miR-199b, were not dysregulated in LV after MI, which in part may explain why we did not observe a further activation of pathological CnA/NFAT signaling in post-infarcted hearts from transgenic mice.⁵ In line, the cardiac hypertrophic response induced by MI was also similar in both miR-199b transgenic and WT animals providing another piece of evidence for a seemingly equal activation of CnA/NFAT signaling regardless of miR-199b overexpression.³⁰⁻³² While miR-199b knockdown does not affect *Dyrk1a* transcript levels, we observed an increase in protein expression levels in hearts that received miR-199b antagomir treatment after undergoing a myocardial infarct. Nevertheless, antagomir treatment seems to have a milder effect on *Dyrk1A* expression when compared to our previous observations in pressure overloaded hearts.⁵ All in all, our data implies that miR-199b in post-infarct remodeling may exert a slightly different function through regulation of different downstream targets compared to situations where pressure overload ensues maladaptive hypertrophy.

Target prediction databases and literature searches highlighted two additional targets of miR-199b, *Notch1* and *Jagged1*, both previously associated with MI-induced cardiac remodeling. Previously, it was demonstrated that miR-199b is involved in chemotaxis resistance of ovarian cancer via targeting *Jagged1* and thereby altering the Notch signaling pathway.²⁶ In medulloblastoma cells, miR-199b impairs the engrafting potential in the cerebellum of athymic/nude mice by influencing *Hes1* expression, a transcription factor of the Notch pathway.^{27,28} Finally, miR-199b is also a key modulator of endothelial cell differentiation derived from induced pluripotent stem cells by targeting the Notch ligand *jagged1*.³³ Evolutionarily conserved, the Notch pathway is an essential signaling cascade in the development of metazoans.³⁴ In mammals, signal transduction is initiated once one of the four Notch receptors (Notch1-4) interacts with membrane tethered ligands such as *jagged* (*jagged 1* and *2*) and the delta-like

(Delta-like 1, 3 and 4) family.³⁴ Both receptors and ligands are transmembrane proteins involving the Notch pathway in the communication between two neighboring cells.³⁵ Upon ligand binding, activation of Notch receptor is followed by proteolytic cleavage by TACE (TNF- α converting enzyme) and the multicomponent γ -secretase complex to release the intercellular domain of Notch (NICD) and allowing translocate to the nucleus. Once in the nucleus, a transcription activator complex is formed with the DNA binding protein family CSL [CBF1/RBPJ-kappa/Su (H)/Lag1]^{36,37} inducing the transcription of Notch downstream target genes such as bHLH (basic helix-loop-helix) repressors of the *Hairy/enhancer of split (Hes)* family. The *Hes* family includes *Hes-1* and *Herp* (Hairy-related transcription factors) also known as *Hey*.³⁸⁻⁴⁰

While mutations in the Notch signaling pathway have been associated with human congenital heart defects including Alagille syndrome, bicuspid aortic valve disease, calcification of the heart valves, and ventricular septal defects,⁴¹⁻⁴⁴ and the essential role of Notch pathway during cardiac development has been experimentally established,⁴⁵ the function of Notch in the adult heart is somewhat a matter of controversy.⁴⁶ Several of the aforementioned studies revealed induced Notch signaling activity in the myocardium either by direct injection of a Notch1-activating antibody in the border zone or by cardiac-specific overexpression of Jagged1, with both approaches leading to improved cardiac function following MI.^{20,25} In our study, we also observed increased Notch1 and Jagged1 expression after antagomir-199b treatment in the sham-operated animals suggesting that these two genes act downstream of miR-199b. Analysis of protein levels and target gene validation experiments are still necessary to establish these two genes as direct target genes of miR-199b involved in pathological cardiac remodeling post-MI.

In summary, we demonstrated that myocardial overexpression of miR-199b results in exaggerated pathological remodeling in a mouse model of MI, while *in vivo* pharmacological silencing of miR-199b using an antagomir approach had therapeutic functional effects. The observed effects may result from a synergism between the CnA/NFAT and Notch signaling pathways. Given that stress-induced miRNAs target a variety of genes, here we provided evidence that miRNAs may regulate different targets and act on different signaling pathways depending on the context of the stress. Previously, miR-199b was shown to exert its function via activation of the CnA/NFAT pathway in pressure overload-induced cardiac remodeling whereas upon volume overload we observe a milder effect on CnA/NFAT signaling but in addition, miR-199b also exerts its cardio-protective effects by influencing the protective Notch signaling pathway.

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Supplementary Table

Table S5.1 Primer sequences used for quantitative real time PCR.

| Gene name | Gene identification | sequence |
|----------------|---------------------|--|
| <i>Nppa</i> | (NM_008725) | 5'-TCTTCCTCGTCTTGCCCTTT3' 5'-CCAGGTGGTCTAGCAGGTTTC-3' |
| <i>Acta1</i> | (NM_009606) | 5'-CCGGGAGAAGATGACTCAAA-3' 5'-GTAGTACGGCC GGAAGCATA-3' |
| <i>Myh7</i> | (NM_080728) | 5'-CGGACCTTGGAAGACCAGAT-3' 5'-GACAGC TCCCCATTCTCTGT-3' |
| <i>Rcan1.4</i> | (NM_019466) | 5'-GCTTGACTGAGAGAGCGAGTC-3' 5'-CCACACAAGCAATCAGGGAGC-3' |
| <i>L7</i> | (NM_011291) | 5'-GAAGCTCATCTATGAGAAGGC-3' 5'-AAGACGAAGGAGCTGCAGAAC-3' |
| <i>NOTCH1</i> | (NM_008714) | 5'-TCAGGGGTGTCTTCCAGATCC-3' 5'-CAGCATCCACATTGTTCAACC-3' |
| <i>JAGGED1</i> | (NM_0138602) | 5'-CCCAACTGTGAAATTGCTGA-3' 5'-CAGCCTGGAGAACAACCTACA-3' |
| <i>COL1A2</i> | (NM_007743.3) | 5'-CCAGCGAAGAACTCATACAGC-3' 5'- GGACACCCCTTCTACGTTGT-3' |
| <i>COL3A1</i> | (NM_009930.2) | 5'-GCCCCACAGCCTTCTACAC-3' 5'-CCAGGGTCACCATTTCTC-3' |
| <i>FN1</i> | (NM_001276408.1) | 5'-CGAGGTGACAGAGACCACAA-3' 5'-CTGGAGTCAAGCCAGACACA-3' |

Chapter 6

Comparison of different chemically modified
inhibitors of miR-199b *in vivo*

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Leon J. de Windt, Paula A. da Costa Martins

In preparation

Abstract

MicroRNAs (miRNAs) have recently received great attention for their regulatory roles in diverse cellular processes and for their contribution to several pathologies. Modulation of miRNAs *in vivo* provides beneficial therapeutic strategies for the treatment of many diseases as evidenced by various preclinical studies. However, specific issues regarding the *in vivo* use of microRNA inhibitors (antimiRs) such as organ-specific delivery, optimal dosing and formulate the best chemistry to obtain efficient microRNA inhibition remain to be addressed. Here, we aimed at comparing the *in vivo* efficacy of different chemistry-based antimiR oligonucleotides to inhibit cardiac expression of miR-199b, a highly promising therapeutic target for the treatment of pressure overload-induced cardiac dysfunction. For that purpose, four different designs of oligonucleotides to inhibit miR-199b were initially developed and systemically administered to wild-type mice on three consecutive days followed by organ harvesting seven days after the first injection in order to quantify the dose-dependent changes in miR-199b expression levels. As a result, when comparing the efficiency of each inhibitor at the highest applied dose in this study, antagomir was able to completely decrease the level of miR-199b while LNA revealed 50 percent inhibition in the heart. On the other hand, the Zen-AMO and F/MOE chemistries failed to repress miR-199b in the heart at any given dosages *in vivo*. Further optimization was achieved by subjecting the antagomir and LNA nucleotides to additional chemical modifications. Interestingly, antagomir modification by replacing the cholesterol moiety from 3' to the 5' end of the molecule significantly improved the inhibitory capacity as reflected by a 75 percent downregulation of miR-199b expression already at a concentration of 5 mg/kg/day. When a LNA-RNA molecule was tested instead of LNA-DNA, similar results could be obtained but upon administration of 80mg/kg/day. These findings show that, from all the chemistries tested by us, an antagomir carrying the cholesterol group at the 5' end was the most efficient inhibitor of miR-199b in the heart, *in vivo*. Moreover, our data also emphasize the importance of chemistry optimization and best dose range finding to achieve the greatest efficacy.

Introduction

MicroRNAs (miRNAs) are a class of small, non-coding endogenous RNA molecules that regulate gene expression at the post-transcriptional level.¹ These regulatory small RNAs are first transcribed from the intron regions in the genome by RNA polymerase II as a long primary transcript (pri-miRNA). Further processing in the nucleus by a protein complex containing the RNase III enzyme Drosha generates a stem loop-shaped precursor molecule (pre-miRNA) that will be exported to the cytoplasm and processed into a transient miRNA duplex (18-22 nucleotide in length) by DICER, another RNase III enzyme.¹ Based on the thermodynamic stability of each individual strand of the duplex, one strand is the biologically functional miRNA while the other one is considered to be inactive (miRNA star, miRNA* or passenger strand).² In general, miRNA* is believed to be degraded, while the mature strand is incorporated into a protein complex – the so-called RNA-inducing silencing complex (RISC).³ Subsequently, a single stranded miRNA will be used as a guide molecule to direct negative post-transcriptional regulation by binding imperfectly to 3' untranslated region (UTR) of cognate mRNAs.⁴ This negative regulation can be achieved by inhibition of translation at different stages such as initiation, elongation and deadenylation and often leads to degradation of the target mRNA.⁴ miRNAs are estimated to regulate up to 30% of the protein-coding gene content of the human genome, mostly due to their individual capacity to bind and consequently regulate as many as 100 different mRNAs.⁵ Likewise, one mRNA can carry numerous binding sites for different miRNAs, many of them acting in similar biological processes, resulting in a complex but potent regulatory network.

In the past decade, the critical role of miRNAs in various cellular processes was recognized and the expression of numerous protein-coding genes involved in fundamental mechanisms such as cellular proliferation and differentiation, apoptosis, metabolism and immune responses have been reported to be under regulation of miRNAs.⁶⁻¹⁰ It is currently established that abnormalities in miRNA biology can contribute to diverse pathologies including cancer, neuro-degenerative disorders, cardiovascular diseases and impairment of the immune system.¹¹⁻¹⁵ Hence, miRNAs have emerged as potential therapeutic targets in many diseases.

The general aim of miRNA-based therapeutics is to restore miRNA expression levels either by increasing the downregulated miRNAs or inhibiting the upregulated miRNAs in a specific disease stage/condition. In this regard, there has been a great focus in the generation of antisense oligonucleotides - harbouring the full or partial complementary reverse sequence of a mature miRNA (antimiRs) to inhibit endogenous expression levels of a specific miRNA.

Very often, 2'-O-Methyl RNA (2'OMe) bases are used in anti-miRs to achieve high binding affinity for the target miRNA and protect the RNA molecule from nuclease cleavage.^{16,17} Furthermore, to increase oligonucleotide stability in plasma¹⁸ phosphorothioate groups (PS), which exchanges a sulfur atom for a non-bridging oxygen in the phosphate backbone, were also incorporated into anti-miRs to avoid oligonucleotide cleavage at the phosphate bonds. While PS modifications increase the stability against nucleases, they also reduce the binding affinity (T_m) of anti-miRs by 0.25°C per modified linkage.¹⁹ Another strategy is to use 2'OMe-modified nucleic acids linked with PS bonds at both ends of the molecule in order to protect against exonuclease activity together with a cholesterol group attached to the 3' end of the molecule to facilitate *in vivo* delivery.²⁰ These specifically designed oligonucleotides were first described as 'antagomirs'.²⁰ Intravenous administration of naked antagomirs has revealed sufficient uptake by target tissues at relatively high doses (80 mg/kg per body weight).²¹ Synthetic 2' modifications such as 2'-O-Methoxyethyl (2'MOE) and 2' Fluoro (2'F) were shown to further enhance binding affinity and nuclease resistance of anti-miRs.^{22,23} Another modification that confers the oligonucleotide high stability against nuclease attack while providing great target affinity (average T_m of 2.4 C per modification)²⁴ is to connect 2'O to the 4'C via a methylene bridge locking the molecule into a 3' endo sugar conformation and generate a locked nucleic acid (LNA).²⁵ It has been reported that LNA-RNA mixmers, rather than LNA-DNA mixmers, show increased silencing potency in cell culture.^{26,27} LNA-DNA mixmers consists of a molecule where the middle 10 DNA nucleotides are flanked by 3 LNA modified nucleotides on each site, while the LNA-RNA combination harbors 11 2'OMe capped RNA nucleotides with 5 LNA nucleotides distributed over the total sequence. Another type of modification was obtained by linking a novel non-nucleotide N,N-diethyl-4-(4-nitronaphthalen-1-ylazo)-phenylamine group, so-called ZEN, at or near each end of a phosphodiester 2'OMe RNA oligonucleotide.²⁸ This new design has been shown to have high potency to inhibit miRNA *in vitro* at low concentrations with high specificity and low toxicity in cell culture.²⁸

As various pre-clinical studies are ongoing to assess their therapeutic potential of miRNA inhibition in cardiovascular disease models, a consideration of optimal chemistry for the cardiovascular system is still warranted. The first clinical trial (phase I/II) using LNA-modified DNA phosphorothioate antisense oligonucleotides against miR-122 to treat chronic hepatitis has yielded successful outcomes.²⁹ miR-122, the most abundant miRNA in the liver, binds in the hepatitis C virus (HCV) genome to provide stability and viral reproduction.³⁰ Patients who received miR-122 inhibitors revealed a dose-dependent reduction in HCV levels without any major adverse effects and without any mutation on the miR-122 binding side in the HCV genome.²⁹ Despite all these promising

achievements, issues such as tissue-specific delivery, inhibitory potency of different chemistries, stability, kidney clearance and cellular uptake remain challenging and need to be addressed.

Here, we aimed at comparing the *in vivo* efficacy of six different chemistry-based antimiRs oligonucleotides to inhibit miR-199b, a promising therapeutic target for the treatment of pressure overload-induced cardiac dysfunction.³¹

Materials and methods

Animals

Adult (older than 8 weeks), B6129SF1/J female mice were used. All protocols were reviewed and approved by the Animal Care and Use Committee of the University of Maastricht and performed according to the rules formulated by Dutch law on care and use of experimental animals.

AntimiR administration

We used the following chemically-modified oligonucleotides to specifically inhibit miRNA-199b: antagomir-199b with a cholesterol group either at the 3' or 5' end of the molecule (ant-199b-3' and ant-199b-5'), synthesized by Eurogentec, and LNA-DNA-199b, LNA-RNA-199b 2'F/MOE-199b and Zen-AMO-199b, synthesized by Integrated DNA technologies (IDT). Animals received either vehicle (PBS, 0.1 ml) or the different oligonucleotides (in 0.1 ml PBS) by intraperitoneal injections on three consecutive days at a dose ranging from 0.05, 0.5, 1, 5, 10, 20, 40 and 80 mg kg body weight day⁻¹. Animals were sacrificed and tissue was harvested seven days after starting the treatment.

RNA isolation and real-time PCR

Total RNA was isolated using TRIzol reagent (Invitrogen) according to the manufacturer's instructions. 1 µg of total RNA (1 µg) was applied to either miR-based or mRNA-based reverse transcription. Real-time PCR was performed on a BioRad iCycler (Biorad) using SYBR Green. Transcript quantities were compared using the relative Ct method, where the amount of target normalized to the amount of endogenous control (L7) and relative to the control sample is given by $2^{-\Delta\Delta C_t}$. Primer sequences for all mRNAs quantified are listed in **Table 6.1**. For microRNA real-time PCR, miRNAs were isolated with TRIzol reagent (Invitrogen) and cDNA was generated with the miScript Reverse Transcription Kit (Qiagen). For real-time PCR detection of microRNAs, an

Exiqon microRNA primer (Exiqon) and the ExiLENT SYBR green master mix (Exiqon) were used.

Table 6.1 Primers sequences used to detect mRNAs.

| Gene (mRNA) | Forward sequence | Reverse sequence |
|---------------|-----------------------------|------------------------------|
| <i>notch1</i> | 5'-TCAGGGTGTCTTCCAGATCC | 5'-CAGCATCCACATTGTTACC |
| <i>jag1</i> | 5'-CCCAACTGTGAAATTGCTGA | 5'-CAGCCTGGAGAACACTCACA |
| <i>dyrk1a</i> | 5'-TGACAGAGTGGAGCAAGAATGGGT | 5'-TTTGTTTCATGAGCTCAAGCAGCCG |
| <i>gsk3b</i> | 5'-CAGTGGTGTGGATCAGTTGG | 5'-ACCTTTGTCCAAGGATGTGC |
| <i>gbc5a</i> | 5'-GAGTTTCGACAGCTCCCAAG | 5'-AGTGTGTCCCCCATCTCAAG |
| <i>myl6b</i> | 5'-CTGCTGCCAAGTCTACACCA | 5'-AATTCCTCCAGCTGGTCCTT |
| <i>cited2</i> | 5'-CATCGGCTGTCCCTCTATGT | 5'-CATATGGTCTGCCATTTC |

Statistical analysis

The results are displayed as mean \pm standard error of the mean (s.e.m). Statistical analyses were performed using Prism software (GraphPad Software), and consisted of Student's t-test when comparing two experimental groups. Differences were considered significant when $P < 0.05$.

Results

Design of four different chemically modified oligonucleotides to inhibit miR-199b expression

To compare the efficacy of different chemistry-based oligonucleotides to inhibit microRNAs (miRNAs), we initially designed four different anti-miR oligonucleotides to inhibit miR-199b *in vivo* (**Figure 6.1a and 6.1b**). These antisense oligonucleotides comprehend different chemical modifications affecting different compound properties such as stability, cellular uptake, specificity and delivery. In this regard, antagomir harbors a 2'-O-methyl (2'-OMe) backbone linked by phosphodiester and phosphorothioates bonds and is conjugated to a cholesterol group in order to increase cellular uptake.²⁰ The first antagomir contained the cholesterol group at the 3' end of the RNA molecule. 2'-F-MOE is a mix of 2' fluoro (2'F) and 2'-O-2-methoxyethyl- modified oligonucleotides bound via phosphodiester bonds.^{22,23} We also tested a ZEN ((N,N-diethyl-4-(4-nitronaphthalen-1-ylazo)-phenylamine)-modified 2'-OMe conjugated to a cholesterol group at the 3' end of the RNA molecule and the ZEN modifier linked via phosphate linkages to the ribose backbone of the oligonucleotide.²⁸ Lastly, a locked nucleic acid (LNA) combined with DNA and a full

phosphorothioate backbone²⁵ designed to target bases 2 to 17 of the 5' region of the mature miR-199b sequence was compared to a combination of LNA and OMe modified RNA nucleotides,^{26,27} designed to target the same region, regarding their potency in inhibiting miR-199b.

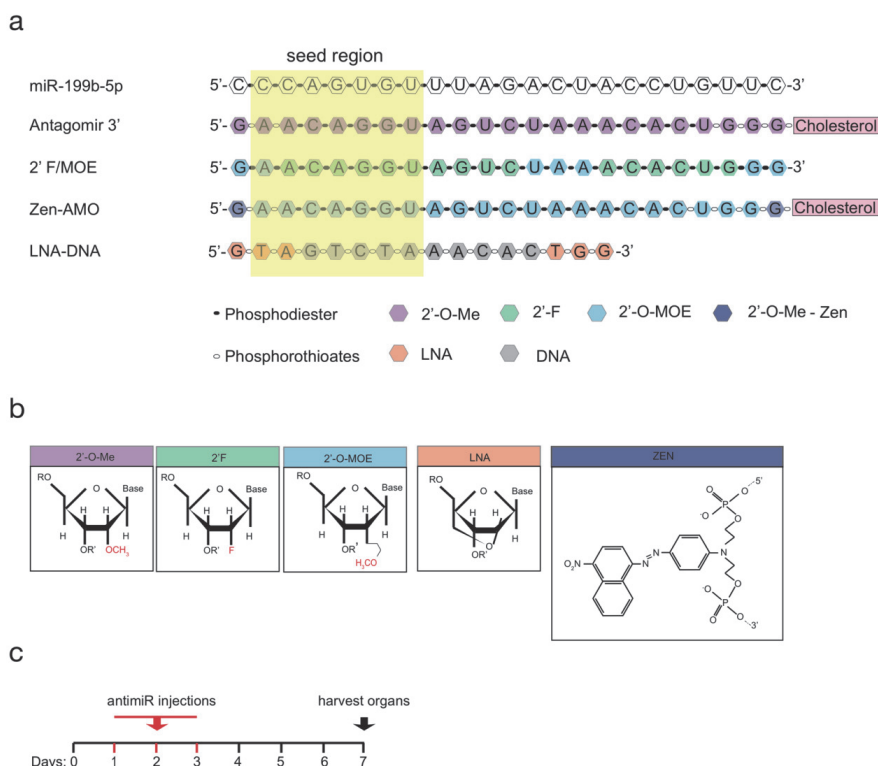


Figure 6.1 Schematic representation of chemically modified anti-miR-199b oligonucleotides and experimental design. (a) The mature sequence of miR-199b indicated on the top panel and seed sequence highlighted. Each box with different color represents one oligonucleotide carrying a specific chemical modification. (b) Each color corresponds to a specific chemical modification. (c) Schematic experimental design. Animals were administered either vehicle (control) or different anti-miRs at ranging doses for 3 consecutive days via intraperitoneal injections. 7 days after the first injection the organs were harvested for further molecular analysis.

Efficiency of different chemically modified oligonucleotides in inhibiting miR-199b expression *in vivo*

In order to compare the efficiency of the different oligonucleotides in inhibiting miR-199b expression *in vivo*, we treated animals with different doses of each anti-miR, ranging from 0.05 to 80 mg/kg body weight (BW)/day, delivered on

three consecutive days by intraperitoneal injections (**Figure 6.1c**). As control, we included a group of animals, which received vehicle (saline) in a similar delivery scheme. Seven days after the first injection, animals were sacrificed and several organs were harvested for further molecular analysis (**Figure 6.1c**). While a dose-dependent decrease in the levels of miR-199b expression in myocardial tissue was observed after treatment with antagomir-199b and LNA-199b, F/MOE-199b and Zen-AMO-199b did not inhibit miR-199b in the heart within the dose range applied, assessed one week after treatment (**Figure 6.2a-d**). Antagomir-199b showed effective inhibition already at a relatively low dose ($IC_{50}=8.1$ mg/kg/day) and completely reduced miR-199b expression at a dose of 80 mg/kg/day (**Figure 6.2a**) LNA-199b, on the other hand, displayed an IC_{50} of 16.1 mg/kg/day and achieved maximum inhibition at the dose of 80 mg/kg/day, which is the highest treatment dose in our study (**Figure 6.2b**).

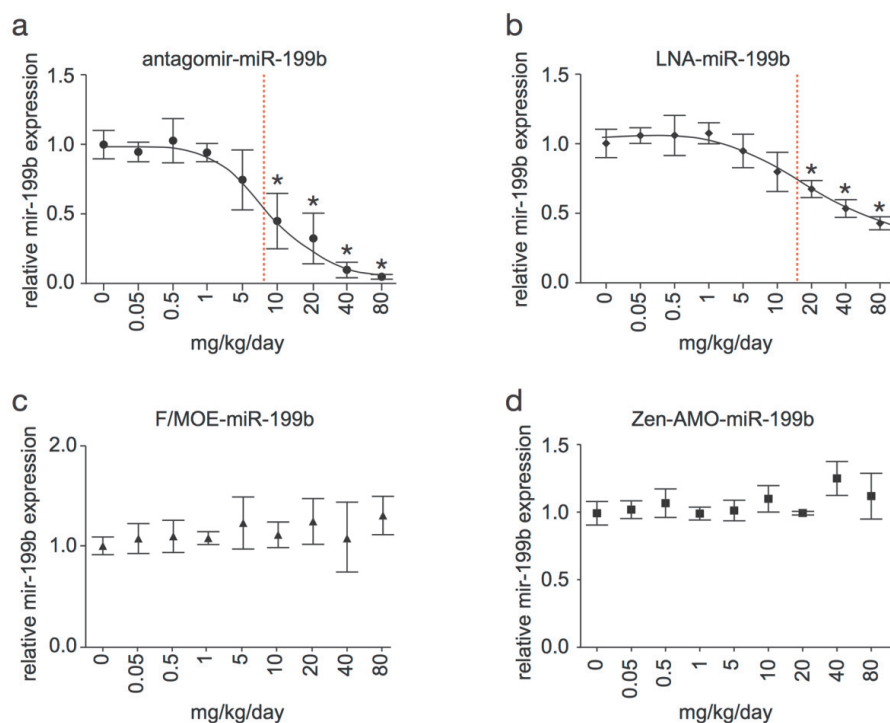


Figure 6.2 Dose-dependent inhibition of miR-199b in myocardial tissue after antagomir-199b or LNA-199b treatment. Dose response analysis of miR-199b repression in the heart 7 days after (a) antagomir-199b, (b) LNA-199b, (c) F/MOE-199b and (d) Zen-AMO-199b administration. IC_{50} is represented by the dashed red line. n:5, * $P<0.05$ (mean \pm s.e.m.).

Since Zen-AMO-199b and F/MOE-199b were unable to inhibit miR-199b levels in the heart, we questioned whether these antimiR chemistries had a general defect in entering the myocardium and analyzed whether they were able to silence miR-199b in other organs. To investigate this, we first determined the expression profile of miR-199b in organs such as liver, kidney and lung. Among these, the lung displayed the highest miR-199b expression levels, followed by the heart, kidney and liver (**Figure 6.3a**). Secondly, we compared the expression levels of miR-199b in myocardium, kidney, liver and lung after treatment of animals with each of the different antimiRs at the highest 3 doses (20, 40, 80 mg/kg/day) (**Figure 6.3b-e**). Both antagomir-199b and LNA-199b efficiently downregulated miR-199b levels and their inhibitory effect in the lung, kidney and liver was achieved at a lower dose compared to the heart (**Figure 6.3c-e**). At a dose of 20mg/kg/day, both antimiRs demonstrated approximately 98% inhibition of miR-199b (**Figure 6.3c-e**). In contrast, Zen-AMO-199b and F/MOE-199b failed to reduce miR-199b expression levels in all of the tested organs tested at the given doses (**Figure 6.3b-e**). These findings indicate that Zen-AMO and F/MOE oligonucleotides were unable to accumulate in the myocardium and achieve inhibition of their target miRNA, even at very high dosages tested. This renders the Zen-AMO and F/MOE chemistries unsuitable to achieve cardiac miRNA inhibition *in vivo*.

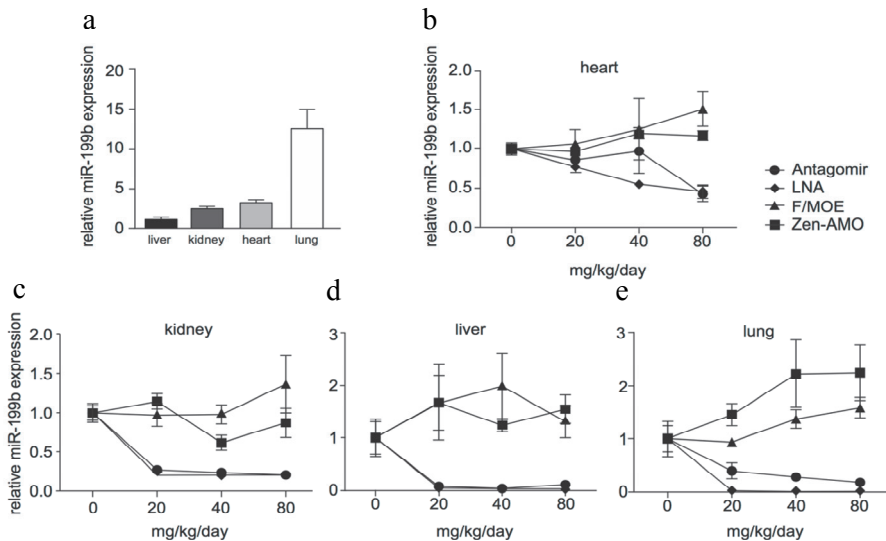


Figure 6.3 Antagomir-199b and LNA-199b treatment resulted in efficient silencing of miR-199b in the kidney, lung and liver compared to heart. (a) Real-time PCR analysis of miR-199b expression in liver, kidney, heart and lung. Rnu6-2 was used as a reference gene for normalization. Expression levels in other organs are calculated relative to liver. Dose response expression levels of miR-199b after treatment with antagomir-199b, LNA-199b, F/MOE-199b and Zen-AMO-199b in (b) the heart, (c) kidney, (d) liver and (e) lung. n:3-4, * $P < 0.05$ (mean \pm s.e.m.).

Chemistry optimization and maximal efficient doses finding

As our results indicated antagomir and LNA as the most suitable chemical modifications to increase oligonucleotide efficiency in inhibiting miR-199b *in vivo*, we next assessed whether further chemical or sequence modifications would further increase the potency of antagomir and LNA. For this purpose, we modified the antagomir so that the cholesterol group was added to the 5' instead of the 3' end (**Figure 6.4**). Due to the fact that the current and well-established solid support phosphoramidite-based synthesis of the oligonucleotides is performed in a 3' to 5' fashion, attaching the cholesterol unit only at the end stage of the synthesis process facilitates purification of the resulting oligonucleotides and increases purity, as reflected by 95.6% purity of the 5' in contrast to 85.6% of the 3' compound. Regarding the LNA oligonucleotides, we changed the sequence to RNA instead of DNA since prior studies have shown that this can increase their inhibitory potency in cell culture^{26,27} (**Figure 6.4**).

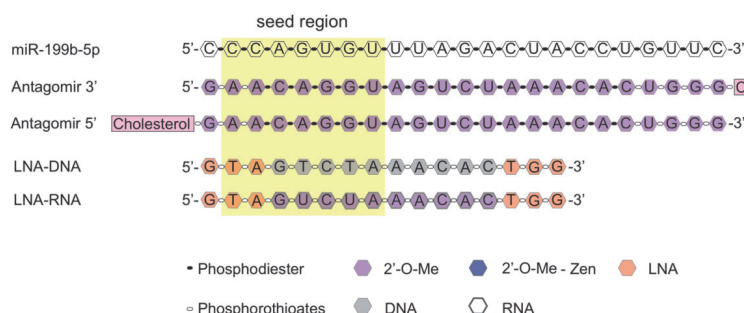


Figure 6.4 Schematic representation of chemically modified anti-miR-199b oligonucleotides. The mature sequence of miR-199b indicated on the top panel and seed sequence highlighted. Each box with different color represents one oligonucleotide carrying a specific chemical modification. Each color corresponds to a specific chemical modification.

Furthermore, we also increased the doses range by including dosages of 160 and 240 mg/kg/day to investigate whether for any of the chemistries a maximal affect can be achieved at higher concentrations than 80mg/kg/day and to test whether rodents can tolerate these high concentrations of the oligonucleotides. The results revealed that adding the cholesterol group to the 5' end substantially increased the potency of the antagomir to inhibit miR-199b expression, as reflected by IC_{50} =1.1 mg/kg/day and a 75% inhibition at 5 mg/kg/day (**Figure 6.5a, b**). In turn, LNA-RNA was more potent than LNA-DNA by showing a 60% inhibition of miR-199b expression at 20 mg/kg/day, despite a similar IC_{50} of 15 mg/kg/day (**Figure 6.5c**). While for both antagomirs, 100% inhibition was

reached at a concentration of 80 mg/kg/day, we did observe more than 75% inhibition with the LNA-RNA with the high concentrations used. As expected, with concentrations such as 160 and 240 mg/Kg/day the animals had difficulties tolerating them. For all the chemistries tested at 160 and 240 mg/kg/day concentrations, we observed that, in general, the animals showed severe signs of discomfort such as cachexia, increased respiratory rate and lack of physical activity, with increased mortality regardless of the chemistry. This data indicates that in general, anti-miR concentrations above 80 mg/Kg/day induce severe *in vivo* toxicity.

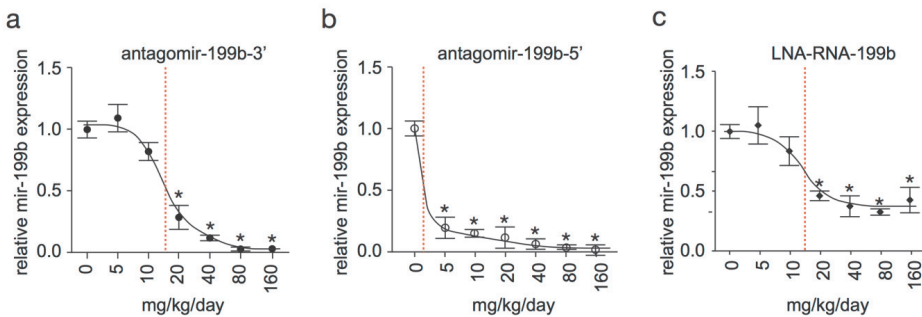


Figure 6.5 Dose-dependent inhibition of miR-199b in myocardial tissue after antagomir-199b or LNA-RNA-199b treatment. Dose response analysis of miR-199b repression in the heart 7 days after (a) antagomir-199b-3', (b) antagomir-199b-5' and (c) LNA-RNA-199b. IC₅₀ is represented by the dashed red line. n:5, *P<0.05 (mean± s.e.m.).

De-repression of various miR-199b targets

Next, we analyzed how effective the most potent chemistries are with respect to de-repression of miR-199b target genes. Since the function of a miRNA is determined by its target mRNAs, the pharmacological effect of an anti-miR directly relates to re-activation of target genes. To this end, we determined the transcript levels of 5 validated miR-199b target genes (**Figure 6.6a, b**). Since the highest tolerable dose was 80 mg/kg/day for both antagomir-199b (5') and LNA-RNA, we assessed the expression of target genes at 20, 40 and 80 mg/kg/day. Expectedly, transcriptional levels of validated target genes *Jagged 1* (*Jag1*) and Dual-specificity tyrosine phosphorylation-regulated kinase 1a (*Dyrk1a*) were increased upon inhibition of miR-199b and this was observed for each of the chemistries. Predicted targets such as *Notch1* and G-protein coupled receptor 5a (*Gpcr5a*) revealed a mild increase in mRNA expression while others such as Glycogen synthase kinase 3 beta (*Gsk3b*) and Myosin light chain 6b (*Myf6b*) remained unchanged after treatment, regardless of the chemistry of the ASO (**Figure 6a, b**). These data indicate that miR-199b binding

to the 3'UTR of Gsk3b and Myl6b may result in translational repression or deadenylation of the mRNA, only affecting protein levels, with no changes at the transcript level. Nonetheless, these data indicate that both antagomir-5' and LNA-RNA resulted in de-repression of validated miR-199b target genes, indicating that the antisense oligonucleotides indeed resulted in target engagement.

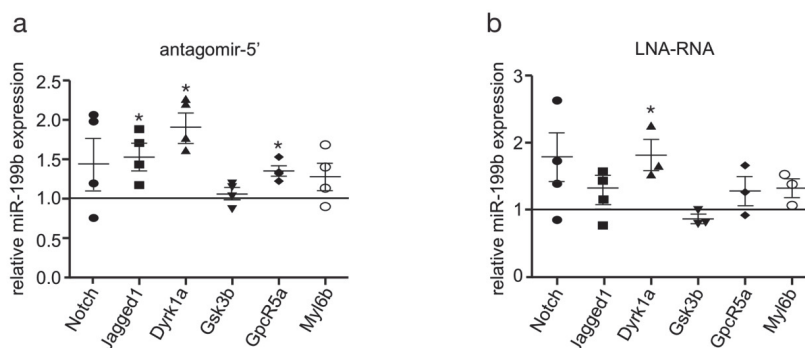


Figure 6.6 Altered expression levels of miR-199b predicted or validated target genes in the heart after antagomir-199b or LNA-199b treatment. Real-time PCR analysis of notch1, jagged1, Dyrk1a, Gsk3b, GpcR5a and Myl6b in the heart after (a) antagomir-199b or (b) LNA-199b treatment. Expression levels are calculated relative to the levels after vehicle treatment n:3-4, * $P < 0.05$ (mean \pm s.e.m.).

Discussion

In this study, we directly compared the potency of four different anti-miRs to inhibit miR-199b *in vivo* and demonstrated that different chemical modifications lead to variations in oligonucleotide inhibitory capacity. LNA and antagomir were the most potent inhibitors among the 4 different anti-miRs tested in the different organs but the degree of inhibition in different organs was highly divergent. While almost complete knockdown of miR-199b was achieved in lung, liver and kidney, anti-miR efficacy was much lower in the heart suggesting preferential accumulation of anti-miRs in kidney and liver.³² These findings point to the importance of organ-specific delivery of anti-miRs in order to avoid undesired side effects outside of the organ/cell of interest.

Unlike previous reports showing higher potency of LNA compared to antagomirs in inhibiting miR-122 in the liver, we have not observed pronounced differences between LNA-DNA and antagomir in their efficiency to antagonize miR-199b at

the given dosages, in any of the examined organs.³³ In addition, administration of lower LNA-DNA doses did not repress miR-199b expression levels in the heart in contrast to earlier findings for other miRNAs.³⁴⁻³⁶ These discrepancies suggest that the activity of antimiRs may be dependent on some characteristics of individual microRNAs such as mature sequence, availability and turnover rate.

A report by Thum *et al.*, demonstrated the variation in potency of different antimiRs to inhibit miR-21 in the heart.³⁷ By comparing the efficacy of three antimiRs; cholesterol-conjugated antimiR, F/MOE and LNA, either 2 or 19 days after systemic administration, they could conclude that cholesterol-conjugated antimiR and F/MOE have stronger long-term inhibitory effects compared to LNA-DNA. While the long-term inefficiency of LNA may be related to its small size (8 nucleotide in length whereas other antimiRs are 22 nucleotide-long), these findings are in agreement with ours in that a miRNA may display different inhibition patterns when targeted by different antimiR oligonucleotides.

Although LNA and antagomir are widely applied antimiRs in most preclinical studies, in the present study, two other relatively new antimiR modifications namely F/MOE and Zen-AMO were also evaluated for their potency *in vivo*. Zen-AMO has been generated by insertion of a novel non-nucleotide compound named 'Zen' via phosphorothioate (PS) linkages to the 2'OMe RNA backbone.²⁸ This modification was shown to increase binding affinity and prevent exonuclease degradation *in vitro*.²⁸ On the other hand, PS modifications of Zen-AMOs lowers binding affinity and subsequently potency unless short antisense oligonucleotides (such as 12-14mers) are used that can penetrate tissues and enter cells with higher efficiency than long antimiRs.³⁸ This may be the explanation for the ineffectiveness, in this study, of Zen-AMO to inhibit miR-199b, as we employed the full-length (23 nucleotide) oligonucleotide administered without any delivery vehicle, which could have increased the potency. Although this problem was overcome for the antagomirs after conjugation with cholesterol, it seems that even after cholesterol conjugation, Zen-AMO delivery and efficiency in the heart is still impaired. Therefore, further studies are required to explain possible causes driving the impotency of the Zen-AMO used in this study. Up to date, the efficacy of Zen-AMO was analyzed only in cell cultures³⁹ and so the present study is the first to assess the potency of this antimiR *in vivo*.

In contrast to Zen-AMO, *in vivo* efficacy of F/MOE has been verified in several preclinical disease models. Swarbrick *et al.* reported achieving sufficient downregulation of miR-380-5p in tumors from an orthotopic mouse model of neuroblastoma after intraperitoneal injection of a specific F/MOE, twice weekly for three weeks, and the treatment resulting in reduced tumor size and weight.⁴⁰

Later, Rayner *et al.* demonstrated that F/MOE-induced inhibition of miR-33a/b in a low-density lipoprotein (LDL) receptor-deficient mouse with established atherosclerotic plaques resulted in increased plasma high-density lipoprotein (HDL) levels and regression of atherosclerosis providing a promising treatment strategy.⁴¹ In fact, this group was the first to show the potency of F/MOE to specifically inhibit miR-33a/b in the liver of non-human primates.¹⁰ It is important to note that these therapeutic effects were obtained at low doses of F/MOE (5 to 25 mg/kg/day). However, and since the position of 2'F and 2'MOE modifications in the final oligonucleotide can affect potency, due to lack of detailed information regarding the chemical modifications used in the study by Rayner *et al.*, we cannot really compare their study with ours.⁴² The fact that we did not observe an effect after administration of either F/MOE or Zen-AMO cannot be attributed to their mechanism of action. F/MOE sequesters target miRNA in a heteroduplex in a similar manner as LNA^{42,43} and Zen-AMO most likely leads to degradation of the target miRNA as it shares a similar chemical modification (except for the Zen modifier) as an antagomir.^{20,44} In this study, F/MOE and Zen-AMO did not efficiently inhibit miR-199b *in vivo*. Although there is one report showing the efficiency of F/MOE (10 and 80 mg/kg/day) to inhibit a miR-21 in the heart,³⁷ further studies to explain the pharmacokinetic properties of F/MOE and the discrepancies observed with our study are still necessary.

Although our results indicate antagomir and LNA as the most potent oligonucleotide chemical modifications to inhibit miR-199b *in vivo*, we were able to further increase their inhibitory efficiency by changing the position of the cholesterol group on the antagomir, and by changing the DNA component of the LNA into RNA. The reason for the apparent 4 to 5-fold increase in silencing miR-199b by merely changing the local of the cholesterol unit is surprising to us. As we did not expect that moving the cholesterol unit from the 3' to the 5' position would increase the potency, the increased performance should be sought for in the ability of the resulting oligonucleotide to reach the site of action. Since the pharmacokinetic properties are known to be strongly depended on the ability of the oligonucleotide to bind to and release from plasma protein,⁴⁵ this might result in the pronounced effect.

The efficiency of antimiR treatment on miRNA inhibition can be determined by multiple approaches. In this study, the primary readout was the direct change in miRNA expression levels determined by real-time quantitative PCR (QPCR). When directly assessing miRNA expression levels, one must consider that in some instances technical burdens may lead to data misinterpretation as excessive amounts of antimiR can interfere with primer annealing or extension during real-time PCR or the antimiR may be released from subcellular compartments during RNA isolation, which in turn, may result in binding of

antimiR to the target miRNA during sample preparation.⁴⁶ Taking these aspects into consideration, we further confirmed the potency of antagomir and LNA to repress miR-199b, at a dose of 80 mg/kg/day, by assessing de-repression of target mRNAs by QPCR. High-throughput data supports increased miRNA levels result in decreased expression levels of many target mRNAs, with a positive correlation existing between mRNA and protein levels after miRNA repression.⁴⁷⁻⁵¹ In summary, there are several different antimiR designs. The choice of antimiR design is dependent on the experimental goal and in this report, we pointed out the importance of organ specific delivery as well as the characteristics of the miRNA of interest to achieve optimal knockdown after antimiR administration. Moreover, it is crucial to comprehensively assess antimiR efficacy by combining analysis of miRNA expression levels with detection of mRNA or protein levels of target genes and therapeutic effects in relevant animal models. Here, we did not characterize cardiac function since we do not expect any adverse effects related to miR-199b inhibition as previously shown by us.³¹ While issues such as target specificity, toxicity and pharmacokinetics should receive special attention when using antimiRs *in vivo*, we did not address them in the present study.

In conclusion, out of four antimiR tested here, LNA and antagomir are the most potent inhibitors of miR-199b in heart, liver, lung and kidney whereas administration of F/MOE and Zen-AMO did not repress miR-199b levels in those organs. The reason of F/MOE and Zen-AMO inefficiency remains to be explained in future studies. For the antagomir, placing the cholesterol on the 5'end resulted in a significant impact in inhibitory efficiency, suggesting increased potency or improved delivery, the latter being most likely.

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Chapter 7

Summary & General Discussion

Summary

Heart failure (HF) evolves as a result of various pathological conditions including myocardial infarction (MI), hypertension and atrial fibrillation.¹ Depending on the pathological cause, the myocardium undergoes different remodeling processes leading to deteriorated heart function.^{2,3} Despite advances in treatment strategies, prevalence and incidence of HF remain to be substantial since more than 23 million people worldwide have been diagnosed with HF.⁴⁻⁶ Therefore, a better understanding of the underlying mechanisms of development and progression to HF is required to generate novel and more efficient therapeutic strategies (Chapter 2). In this regard, microRNAs (miRNAs) have drawn great attention for their involvement in the regulation of key signaling pathways and for being beneficial therapeutic targets in preclinical models.^{7,8} In this thesis, we aimed at demonstrating the involvement of microRNA-199b (miR-199b), a previously defined pro-hypertrophic miRNA,⁹ in right ventricular remodeling after pulmonary artery banding in mice (Chapter 4) and also in left ventricular remodeling post-myocardial infarction (MI) (Chapter 5). We revealed that cardiac miR-199b expression is upregulated under stress conditions in both right and left ventricular (**Figure 7.1**). In the left ventricular, overexpression of miR-199b results in exaggerated cardiac function after volume overload and moreover, inhibition of miR-199b levels leads to partial improvement of cardiac dysfunction induced by MI, indicating that miR-199b has an important function in the left ventricular under stress conditions and thus, inhibition of miR-199b provides a promising treatment strategy for left sided heart failure.

In our study (Chapter 5), we inhibited miR-199b by treating animals with an antagomir, a widely used microRNA inhibitor in preclinical research, also previously used by us.⁹ Since anti-sense oligonucleotide technology is rapidly advancing with several different chemistry-based antimiRs being developed with altered properties such as nuclease resistance, binding affinity and cellular uptake, we also evaluated different chemistry-based antimiR oligonucleotides regarding their efficiency to decrease miR-199b expression levels in the heart (Chapter 6). From all tested chemistries, antagomir and LNA were shown to be the most potent inhibitors of miR-199b in the heart. This potency was further improved by changing the cholesterol moiety from the 3' to the 5' end of the molecule leading to almost 100% inhibition of miR-199b cardiac expression levels. Although LNA-RNA molecules displayed an increased inhibitory capacity, in comparison to LNA-DNA, the efficiency of antagomir (harboring the cholesterol group at the 5' end) was still much higher. Moreover, both antagomir and LNA molecules were able to inhibit miR-199b expression levels in other organs such as lung, liver and kidney, indicating the need of developing organ-specific delivery methods for anti-microRNA therapeutics to avoid unwanted side effects.

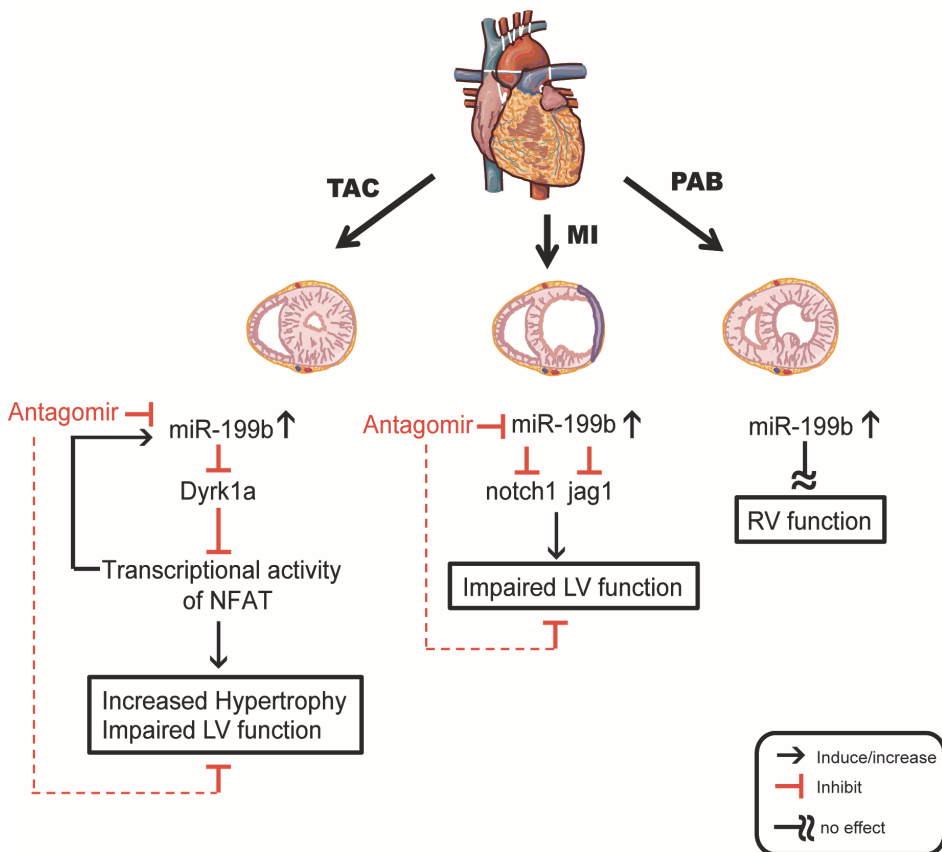


Figure 7.1 miR-199b involvement in heart failure. miR-199b expression is upregulated in three different preclinical models of heart failure. Upon pressure overload by trans aortic constriction (TAC), miR-199b regulates the activity of calcineurin/ nuclear factor of activated T-cell (NFAT) in an auto-amplification loop by targeting Dual specificity tyrosine-phosphorylation-regulated kinase 1A (Dyrk1a) resulting in increased hypertrophic growth and impaired LV function. Moreover, inhibition of miR-199b by an antagomir, after TAC, results in efficient recovery of pathological remodeling and cardiac dysfunction due to decreased activity of the calcineurin/NFAT pathway. Also, overexpression of miR-199b in a murine model of myocardial infarction (MI) is associated with decreased levels of notch and jagged1, two members of the Notch signaling pathway, giving rise to impaired LV function. Furthermore, antagomir treatment after MI partially rescues pathological phenotype. On contrary to the adverse effect of miR-199b overexpression in the LV, miR-199b expression failed to effect cardiac function in the RV after pulmonary artery banding (PAB). All these studies highlight the distinct involvement of miR-199b in different etiologies of heart failure.

Here, we demonstrated the therapeutic value of miR-199b in different preclinical models mimicking distinct etiologies of human heart failure. It holds great importance to comprehensively understand the underlying mechanisms of a complex disease such as heart failure in order to develop new and efficient treatment strategies. In addition, advancements in anti-sense technology enable efficient targeting of miRNAs *in vivo* and provide promising clinical applications for the treatment of heart failure in the near future.

Regulatory roles of microRNAs in heart failure

MiRNAs have been associated with a wide range of human pathologies by functioning as essential regulators of gene expression.¹⁰ As summarized in Chapter 3, the role of specific miRNAs have been described in the different processes of pathological cardiac remodeling such as cardiac hypertrophy (miR-25, miR-378, miR-499, miR-208, miR-133, miR-1 and miR-199b), fibrosis (miR-29, miR-21 and miR-101a), angiogenesis (miR-17~92, miR-24, miR-126, miR-26a and miR-146a) and inflammation (miR-155) in preclinical models of HF.⁸ As HF is the end stage of various cardiac pathologies, the key to develop novel miRNA-based therapeutic strategies lies under the specification of miRNA activity for each of those pathologies.

Previously, miR-199b has been identified as an important inducer of pathological hypertrophy of the LV under chronic pressure overload conditions.⁹ Considering the fact that substantial morphological, genetic and molecular differences exist between left and right ventricle,^{11,12} differentially expressed miRNAs have been identified in murine models when comparing hypertrophic remodeling and/or failure between LV and RV. Four miRNAs (miR-34a, -28, -148a and -93) were found to be upregulated in the RV after pressure overload while they remained unchanged or downregulated in the LV under pressure.¹³ In Chapter 4, we determined to investigate whether pro-hypertrophic function of miR-199b is also involved in the right ventricular remodeling after increased pulmonary artery pressure. Different animal models have been developed to study pulmonary artery hypertension¹⁴ and, as expected, each model having specific limitations and advantages.¹⁵ In our study, we employed a model of pulmonary artery banding (PAB), induced by a permanent banding around the pulmonary artery to establish increased RV afterload.¹⁶ The main advantages of this model are the induction of pressure overload and, subsequently, RV hypertrophy without pulmonary vascular remodeling¹⁷ as well as stable constriction of blood flow which enables evaluation of RV remodeling under pressure. In addition, the mechanistic similarities with the TAC model, where LV afterload is induced by transaortic banding,¹⁶ enable to determine whether miR-199b executes comparable function in the LV and RV hypertrophy. In this regard, miR-199b is

regulated by calcineurin (CnA)/ nuclear factor of activated T-cell (NFAT) activity,⁹ an established signaling cascade responsible for the hypertrophic response in the myocardium,^{18,19} and transgenic mice overexpressing an active form of CnA develop massive biventricular hypertrophy precipitating in severe cardiac dysfunction and HF as early as 18 days after birth.^{20,21} Interestingly, inhibition of CnA activity results in attenuation of hypertrophy and pathological remodeling in both animals models of left-side HF^{20,22-25} and hypoxia-induced pulmonary hypertension and RV hypertrophy.²⁶ In agreement, we observed induced activity of CnA/NFAT in the RV of wildtype mice after PAB.²⁷

In order to gain more insights on the function of miR-199b in different etiologies of HF, we investigated the effect of cardiac overexpression of miR-199b in response to volume overload induced by MI. LV remodeling after MI reveals a unique pattern involving the infarcted region (fibrotic scar) and leading to hypertrophic growth of the non-infarcted myocardium and eventually to cardiac dilatation.²⁸ Previous findings suggest the involvement of CnA/NFAT signaling during post-MI remodeling.^{19,29} Since miR-199b was identified as a pro-hypertrophic miRNA regulated by the CnA/NFAT pathway, we hypothesized that miR-199b is also involved in LV remodeling after MI. Indeed, cardiac overexpression of miR-199b sensitized the heart to volume overload as demonstrated by exaggerated cardiac dysfunction and abrupt collagen deposition in the border zone of the infarct (Chapter 5). However, in contrast to previous reports,⁹ miR-199b overexpression does not further activate the CnA/NFAT signaling pathway after MI. These somewhat opposite findings suggest that different cardiac stressors may alter specific molecular mechanisms in order to obtain distinct remodeling patterns. In agreement, a recent study showed that anti-miR-208a treatment resulted in activation of different genes in MI-operated rats compared to Dahl salt sensitive rats on a high salt diet as a model for hypertension induced heart failure indicating the divergent regulatory capacity of miR-208a depending on the cause of disease.³⁰ Moreover, comparison of differentially expressed genes between rat hearts subjected to either pressure or volume overload revealed that besides the commonly regulated genes, several other genes are stress-specific regulated.^{31,32}

Next, we investigated whether other possible targets of miR-199b could be responsible for the observed MI-induced phenotypes. In cancer, the regulatory function of miR-199b has been firmly established through the Notch pathway,³³⁻³⁶ with notch1 and jagged1 being predicted target genes. A few reports indicate upregulation of notch1 and its ligand jagged1 in the adult myocardium upon cardiac stress and a subsequent protective role following cardiac injury whereas their pharmaceutical or genetic ablation results in detrimental effects.³⁷⁻⁴¹ We also observed an increase in expression of notch1

and jagged1 in the adult hearts subjected to MI. Moreover, after MI, miRNA-199b transgenic hearts revealed reduced expression of notch1 and jagged1 providing a possible explanation for the exaggerated pathological phenotype seen in these mice compared to wildtype. Induction of Notch signaling takes place in the border zone and is associated with anti-hypertrophic, anti-fibrotic and pro-angiogenic responses in the adult myocardium.^{38,40,42,43} Similarly, the elevated fibrosis observed in the border zone of MI-miRNA-199b transgenic hearts could be related to blunted Notch activity by inhibition of notch1 and jagged1 expression after cardiac restricted upregulation of miR-199b.

Therapeutic potential of miRNAs in heart failure

The capacity of miRNAs to regulate different molecular pathways involved in a specific disease process establishes them as suitable therapeutic targets in the treatment of various pathologies including HF.⁷ As a consequence, advances in miRNA-based technologies enable us to modulate miRNA expression in an organism and currently, several preclinical studies using these technologies have generated promising outcomes for HF, as summarized in Chapter 3. Formerly, the therapeutic efficacy of targeting miR-199b in a pressure overload murine model of HF using an anti-microRNA (antimiR) conjugated to a cholesterol moiety (antagomir), has been established.^{9,44} In this thesis, we provide evidence of a regulatory role of miR-199b during post-MI remodeling and of the therapeutic relevance of inhibiting miR-199b post MI as demonstrated by enhanced cardiac function after antagomir treatment.

Our main focus was to obtain efficient inhibition of miR-199b in the heart. However, further phenotyping in chapter 6 revealed that miR-199b is also expressed in other organs such as kidney, lung and liver. Furthermore, our most potent inhibitors antagomir and LNA reduced the expression of miR-199b in these organs. The physiological and functional significance of these findings is still unknown. It is actually conceivable that miR-199b may exert important reno-protective effects, especially in diabetic nephropathy, a condition in which miRNAs play a pivotal role⁴⁵ and inhibition of the NFAT/calcineurin pathway has been shown to be beneficial.⁴⁶

Therefore, future studies should address whether antagomirs directed against miR-199b have pleiotropic beneficial effects or may cause unforeseen side effects in other organs. Reassuringly, we did not observe any mortality in our animal studies, and no apparent changes in gross anatomy of kidney, liver and lungs, albeit we cannot fully exclude that miR-199b may have some, yet unknown, protective effects. To circumvent such issues, Hinkel *et al*, showed that local delivery of LNA directed against miR-92a to the heart with a catheter

can greatly enhance the therapeutic value, while limiting side effects compared to systemic delivery.⁴⁷ A similar set-up may be devised to achieve strong and tissue specific knockdown of miR-199b in the heart. This is not an unrealistic approach, since many patients are already undergoing catheterization in both the work-up and in the acute treatment of myocardial infarction, making this procedure already suitable for local drug delivery.

In this thesis, we draw attention mainly to inhibitors of miRNAs as therapeutic tools since our miRNA of interest (miR-199b) is increased in response to cardiac stress and hence its inhibition provides therapeutic impact. However, it is also possible to restore the expression of miRNAs *in vivo* when the downregulation of a miRNA occurs in a disease state. One way is to apply synthetic RNA duplexes that are developed to mimic endogenous miRNA functions. These mimics can carry chemical modifications⁴⁸ or can be applied using cationic lipids to facilitate cellular uptake and stability.⁴⁹ Alternatively, a novel delivery system using nonviable minicells generated from bacterial cells after inactivating their cell division has been developed.⁵⁰ In addition, these carriers can be coated with anti-epithelial growth factor receptor (EGFR) antibody to achieve cancer cell specific targeted therapy.⁵⁰ Currently, in Phase I clinical trial MesomiR 1 (ClinicalTrials.gov:NCT02369198) is testing the effect of miR-15/16 mimics packed in such nanocells (EDVTM) targeted with anti-EGFR antibody in malignant pleural mesothelioma (MPM) patients.⁵¹ On the other hand, Phase I clinical studies of MRX34, miR-34 mimic, in multiple cancers was terminated due to multiple immune-related severe adverse events highlighting the significance of targeted delivery of miRNA-based therapeutics to avoid off-target effects (ClinicalTrials.gov:NCT01829971). Another way to retrieve miRNA expression is by the use of viral vectors such as adeno associated viruses (AAV).⁵² The number of different serotype of AAV allow for tissue-specific targeting which can be further improved in combination with tissue specific promoters.⁵³

MicroRNA expression levels have been shown to be differentially regulated in response to conventional heart failure treatments such as beta blockers in idiopathic dilated cardiomyopathy patients (iDCM).⁵⁴ Moreover, increased levels of miR-320 and reduced levels of miR-26b and miR-21 in Dahl sensitive hypertensive rat model were reversed to normal levels after treatment with a selective beta blocker, nebivolol but not treatment with atenolol.⁵⁵ Additional study revealed that both nebivolol and atenolol have an effect on miR-133 levels in high salt-treated rats.⁵⁶ These studies point out the importance of potential effects of standard heart failure treatments on miRNA biology and likelihood to intervene with novel therapeutic strategies. Further investigation of the effects combining current with new therapies is highly valuable since clinical trials can be performed in addition to standard treatments.

Future perspectives and concluding remarks

In this thesis, we emphasized the significance of better understanding miRNA activity in different pathologies of HF in order to develop reliable and more efficient therapeutic strategies than the current ones.

We identified new potential targets of miR-199b, jagged1 and notch1, players in the Notch pathway, supporting the possibility that miRNAs may involve in various molecular mechanisms depending on the induced cardiac stress. Upregulation of notch1 and its ligand jagged1 in the adult myocardium after stress was previously reported to provide protection from cardiac injury, whereas their pharmaceutical or genetic ablation causes exaggerated cardiac dysfunction. Consequently, a better understanding of the miRNA activity in underlying mechanisms of a disease is a prerequisite before establishing an individual miRNA as a therapeutic target in a certain disease. Hence, further identification of miR-199b targets is essential in order to profile the complex gene network wherein miR-199b is involved. Currently, various high throughput techniques enable the identification of miRNA targets in a large scale. In this regard, RNA sequencing can be applied to determine the change in transcript abundance after alterations in miRNA levels either by overexpression using mimics or downregulation by antimiRs.⁵⁷ Moreover, proteomics tools enable the detection of alterations in global protein levels after modification of miRNA expression.⁵⁸ The drawback of the mentioned approaches is the lack of information regarding indirect molecular interactions. However, strategies using co-immunoprecipitation of Argonaute -2 (AGO2), a component of RNA silencing complex (RISC), along with the mRNA:miRNA duplex and further identification by microarray or deep sequencing allow the determination of direct targets.⁵⁹ The application of these approaches in the future would greatly increase our understanding of the biological role of miR-199b.

As highlighted in Chapter 5, limitations of antimiR technology such as organ specific delivery, cellular uptake and optimal dosages to obtain efficient inhibition of target miRNA remain to be overcome prior to clinical application of antimiR drugs. One promising approach to optimize the bioavailability of antimiR oligonucleotides is to develop polymer-based nanoparticles as vehicles for *in vivo* applications. For instance, polyethylenimine (PEI), a broadly used polymer, due to its high cationic charge density potential, aids in the cellular uptake of the therapeutics.⁶⁰ Another option is to use poly(lactide-co-glycolide) (PLGA) particles with the advantage of high loading capacity and various surface modifications for beneficial pharmacodynamics.^{61,62} Furthermore, studies from siRNA technology revealed the possibility of antibody conjugation in order to obtain tissue/cell type specific delivery of this anti-sense therapeutics.^{63,64} In cancer biology, antibodies targeting highly expressed cancer cell surface

proteins can be utilized in order to achieve tumor-specific delivery. For instance, linking human epidermal growth factor receptor 2 (HER2) antibody to a nanocarrier of siRNA resulted in 80% inhibition of targeted mRNA and protein level in a xenograft model of ovarian cancer.⁶⁵ A relatively recent report has shown that conjugation of anti-CD71 (Tf receptor) Fab' fragment to a siRNA targeting hypoxanthine-guanine phosphoribosyltransferase (HPRT) efficiently and stably downregulated HPRT gene in calf and cardiac muscle but not in the liver or the spleen.⁶⁶ Moreover, when intramuscular injection of a conjugate generated by linking siRNA against myostatin gene and anti-CD71 Fab' fragment was applied to a mouse model of peripheral artery disease, calf muscles were hypertrophied leading to increased running performance.⁶⁶ Since miR-199b is also expressed in other tissues besides the heart, application of the above-mentioned delivery methods would corroborate the therapeutic potency of miR-199b in the future.

To generate comprehensive knowledge on the biological function of miR-199b is essential before designing and initiating any clinical studies. For this purpose, generation of a genetic cardiac-specific knockout miR-199b mouse line is a future crucial step in gaining mechanistic insight on the role of miR-199b in cardiac pathologies. This goal can be achieved by using conditional targeted gene knockout technologies⁶⁷ which enable elimination of single gene expression in a specific tissue or even in a cell type at a desired time. This approach, although not therapeutically feasible, could provide a better view over the specific effects of silencing one specific microRNA in one specific tissue since antimiRs, although therapeutically relevant, result in global inhibitory effects on different cell types and tissues which makes it difficult to determine the specific role of a miRNA in the desired cell type, tissue or organ. In addition, cardiac tissue from knockout animals can be used for RNA sequencing or proteomics to determine the changes in global gene expression after silencing miR-199b under physiological or pathological conditions.

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Chapter 8

Valorization

Valorization

Relevance

Heart failure remains one of the major public health problems with increasing morbidity and mortality worldwide. More than 20 million people currently suffer from heart failure and this prevalence steeply increases with age.^{1,2} According to the American Heart Association (AHA) 1 out of 5 adults at the age of 40 and above will likely to develop heart failure in their life time.³ Although current heart failure medication can ameliorate signs and symptoms, mortality rate 5 years after diagnosis has been reported to be as high as 45-60%^{4,5} and survival rate is lower than some of the most common forms of cancer.^{6,7} These reports are clearly pointing to the need for developing novel therapeutic strategies for the treatment of heart failure.

In the European Society of Cardiology (ESC) guidelines (2016), heart failure is defined as 'a clinical syndrome caused by structural and/or functional abnormality, resulting in a reduced cardiac output and/or elevated intracardiac pressures at rest or during stress.'⁸ Being a complex syndrome, the etiology of heart failure is highly diversified and patients may develop various pathologies. As summarized in Chapter 2, both genetic factors and epigenetic mechanisms can significantly contribute to the development and progression of heart failure.⁹ Thus, a better understanding of these underlying mechanisms hold great significance in successfully developing effective therapies for heart failure patients.

Target groups

Scientific community

Among epigenetical mechanisms, microRNAs (miRNAs) have drawn great attention for their ability to regulate gene expression and thereby to be involved in various human diseases including heart failure.^{10,11} miRNAs exert their regulatory function by disturbing protein production after binding to target mRNAs and in that way, they are able to influence different cellular processes.¹² To date, numerous miRNAs have been identified as regulators of pathological remodeling processes leading to heart failure including hypertrophy, fibrosis, apoptosis and angiogenesis.^{13,14} Previously, microRNA-199b (miR-199b), a miRNA associated with cardiac hypertrophy, has been established by our group as a promising therapeutic target using an animal model mimicking human aortic stenosis.¹⁵ However, differential expression patterns of miRNAs depending on the etiology of heart failure (ischemic, aortic stenosis and/or idiopathic) have been reported.¹⁶ In this regard, further analysis of miR-199b has been performed in this thesis using different animal models consisting of myocardial infarction

(Chapter 5) and right ventricular failure (Chapter 4). In accordance with our previous data, targeting miR-199b in an animal model of myocardial infarction was also shown to be beneficial, even though our molecular studies suggested that miR-199b carried out its function in this animal model via a different molecular pathway. Thereby, the findings reported in this thesis are of great importance for the scientific community, indicating that comprehensive studies into the distinct subtypes of heart failure are required to determine therapeutic significance of a molecule before extrapolating preclinical data to clinical application.

Biotechnology and pharmaceutical industry

What makes miRNAs valuable therapeutic targets for the treatment of heart failure is the feasibility to modulate miRNA expression levels in living organisms such as animals and humans. While microRNA mimics, synthetic double stranded RNA molecules, confer the ability to supplement microRNA function,¹⁷ anti-miRs which are chemically modified single stranded small oligonucleotides, can block the function of targeted miRNA by direct binding.¹⁸

Preclinical investigation has provided invaluable information not only on the therapeutic efficacy of these new generation drugs in diseased animals but also on their limitations.¹⁹ Several anti-miRs have been generated by using diverse chemical modifications in order to improve specific properties such as cellular uptake, stability, inhibitory capacity and thereby, to enhance their efficacy for *in vivo* applications. In Chapter 6, we have compared features of four promising anti-miRs (against miR-199b), carrying distinct chemical moieties. The efficacy of these inhibitors was determined by analyzing expression of miR-199b levels after treatment with anti-miRs compared to control treated animals. As a result, two of these anti-miRs namely antagomir and locked nucleic acid (LNA) revealed similar effects on the miR-199b expression levels in the heart while Zen-AMO and FMOE were unable to inhibit miR-199b in any organ examined suggesting the difficulty to optimally develop such compounds with robust activity. In addition, antagomir and LNA manifested inhibitory capacity in other organs such as liver, lung and kidney besides heart, demonstrating organ aspecificity. Since most of the miRNAs identified to play a role in heart failure are not tissue specific, organ specific delivery of anti-miR drugs is an important issue to overcome before clinical use considering the possible occurrence of unwanted side effects in patients. In a large animal model of heart failure this issue has been resolved by application of an anti-miR with a catheter, which enables regional delivery.²⁰ Even more, the stronger therapeutic effect was achieved after local delivery when compared to systemic injection.²⁰ This approach is highly feasible in the clinic since patients suffering from myocardial infarction are undergoing this procedure for acute treatment.

Herewith, the comprehensive overview in Chapter 3 together with experimental findings in Chapter 6 provides substantial information to pharmaceutical and biotechnology companies developing and manufacturing antisense RNA therapeutics.

Patients and society

Increasing prevalence and incidence with poor prognosis of heart failure contribute to high cost to patients, society and health care system. Moreover, heart failure is a long-term condition that deteriorates slowly over time. Current treatment strategies involving multiple medications and changes in life style only aim to improve symptoms and quality of patients' daily life. Therefore, a more innovative and effective treatment approach for heart failure is necessary to reduce this burden from patients and society. For instance, as demonstrated by animal studies, antimiRs have stable inhibitory effect on miRNA function for months²¹ and that can greatly decrease the need to apply medication (in this case antimiRs) at high frequency for chronic diseases such as heart failure. Thus, heart failure patients can benefit greatly from this advantage since they receive lifelong treatment with multiple drugs at changing frequencies. Although our approach is not yet applied in the clinic, we provide relevant findings to be used for future drug developments.

Innovation and implementation


In the past years, miRNAs have drawn considerable attention as key molecular players in development of various diseases. Their involvement in key signaling pathways and feasibility of miRNA modulation *in vivo* makes them invaluable therapeutic targets. There has been a rapid progress of miRNA based drug development by virtue of their therapeutic potential. Currently, TargomiRs containing miR-16 based mimic (NCT02369198) are under investigation in phase I clinical trials for their safety and efficiency in patients with different forms of cancer. Furthermore, phase I and IIa clinical trials for miRNA-122 anti-miRNA oligonucleotide (miravirsen) in chronic hepatitis C patients have been completed with successful outcome.²² Although there are no current clinical investigations with miRNA based drugs for the treatment of heart failure, the application of antimiR oligonucleotides or miRNA mimics in heart failure animal models have been carried out by numerous groups as extensively discussed in Chapter 3.

In this thesis, we further characterized 'miR-199b' and analyzed its function and therapeutic value in different etiologies of heart failure. Further investigation in large animal models of heart failure is obviously required to corroborate the clinical value of miR-199b before testing in patients. In addition, we have experimentally demonstrated the possibility to modulate amount of miRNAs in

the heart of small animals but also challenges of developing antisense RNA therapeutics against miRNAs and we extensively discussed literature presenting future directions for their improvement in the context of human heart failure. All in all, scientific findings from this thesis can be valorized as being a basis for the development of an innovative therapy against heart failure.

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Appendices

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Knowing you can always count on me, for sure
That's what friends are for
For good times and bad times
I'll be on your side forever more
That's what friends are for'

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Lieve Miep en John, ik ben gefascineerd door jullie toewijding voor jullie kinderen. Sinds onze eerste ontmoeting behandelen jullie mij als een van jullie dochters, ik heb mij altijd onderdeel van de familie gevoeld. Jullie hebben mij altijd in moeilijke tijden gesteund. Noraly en Irmelin, ik vind het heel leuk om tijd met jullie door te brengen. Mijn favorieten zijn paardrijden met Noraly en sporten als gekken met Irmelin ☺. Heel erg bedankt familie Hanssen voor alles!

Sevgili büyük ailem; uzaklarda olduğumdan her zaman yanınızda olamasam da her Türkiye'ye dönüşümde beni sevgi ile karsılıyorsunuz ve bana verdiginiz değeri hissettiriyorsunuz. Ben de elimden geldigince teknolojik imkanlar ile yanınızda olmaya çalışıyorum. ☺ Çünkü hepiniz benim hayatimin vazgeçilmezisiniz. Semra teyzem ve İsmet enistem; insan büyüyünce bazı anların kıymetini daha iyi anlıyor doğrusu. Şimdi düşününce bana balayınızdan getirdiğiniz gobegine basınca ses çıkaran sarı sacı bebek mesela; o güzel ve özel anınızda bunu düşünmeniz o kadar kıymetli ki. Ve daha bunun gibi bir çok güzel şey için teşekkür ederim. Seher teyzem ve Kemal enistem; size haftasonları kalmaya gelmemizi ve enistemin bana yumurta yedirtmesini hiç unutmuyorum. Her biriniz çok güzel şeyler kattınız bana çok teşekkür ederim. Selami amcam ve Nilgün abla; simdiki çocuklar gibi bir sürü oyuncagım olmadığı benim iyiki de olmadığı çünkü hepsi ayrı ayrı özel oldu mesela amcamın Mardin'den getirdiği kırmızı uzaktan kumandalı araba gibi. Amcamın her şey için çok teşekkür ederim; ne zaman arasak yardım istesek hep orda olduk. Nilgün ablacım; beni her zaman güler yüzle karşıladığın ve o lezzetli yemeklerin için çok teşekkür ederim. Cevat amcam; sisko amcam benim ☺ seninle el kızırtmaca oynamayı ne çok severdim benim rekabetçi kişiliğimi ortaya çıkarırdı. Yaz akşamlarında babaannemle senin isten gelisini bahçede beklerdik çünkü ben hiç evde oturmak istemezdim. Sisko gelince girerdik eve ☺ Celal amcam ve Serpil yenge; amca bende olan emegin az değildir bütün güzel günler için çok teşekkür ederim. İsmail dayım ve Semahat yengem; dayıcım Alacamda bize

sabahlari getiridigin o firindan yeni cikmis sicacik pideleri hic unutmuyorum. Hatta bi gece sabaha karsi isil ile korku filmi seyrederken iceri sen girmistin de odumuz kopmustu ☺ Ama yengemin cigborekleri, sutlaci ve baklavalari ayri ozeldir. Sizler hep uzakta olsanizda hicbir zaman o uzakligi hissetmedik. Sevgili kuzenlerim; Deniz ve Gorkem; Denizcim senin yolun cok acik bu azim ve caliskanlik ile cok guzel isler basaracagina inaniyorum. Serhat; az boy yarisi yapmazdik seninle ama sen tur bindirdin bana ☺ Isilcim; seninle az gulup eglenmedik ☺ hatta bi gece teyzemlerin yazligin da merve, busra sen ve ben karnimize agrilar girene kadar gulmustuk hatirlar misiniz? Volkan abi; bazi konular da fikir ayriligina dussek de hic tartismadan cok guzel muhabbet ederdik seninle, iyi anlasirdik aslinda ☺ Isil ve Volkan abi; esleriniz ve dunya tatlisi cocuklariniz ile omur boyu mutluluklar diliyorum. Benim cadilarim; Merve ve Busra; valla ablamla benim elimde buyudunuz resmen ☺ kuzenden ote kardes oldunuz bize. Simdi ikinizde kocaman oldunuz biriniz universiteden digeriniz liseden mezun oldu. Hayatin sizlere mutluluk, huzur ve saglik getirmesini dilerim. Anneannecim ve dedecim; cocuklugumun yaz tatilleri sizlerle Alacam'da gecti. Cok ilginctir denize dogru durust giremedigimiz icin hic gelmek istemesemde eve donus zamani geldiginde kocaman bir huzun kaplardi icimi, hic donmek istemezdim. Dilerim dedem huzur icindedir simdi ve anneanne sende daha uzun yillar bizi Alacam da agirlarsin ☺ Babaannem benim, elinde olsa pamuklara sarip oyle buyutecektin beni, o kadar emek ettin ki. Umarim emegini bosa cikarmamisimdir. Sen hep kendinden once cocuklarini torunlarini dusundun ben senin kadar fedekar bir insan tanimadim. Tek dilegim simdi huzur icinde olman. Hersey icin cok ama cok tessekkur ederim. Hepinizi cok seviyorum ve herzaman buyuk bir aile olarak kalmak dilegi ile..

Annecim ve Babacim size tessekkur etmem gereken o kadar cok sey var ki nerden baslasam bilemiyorum. Oncelikle bana hayallerimin ve isteklerimin pesinden gitmem konusunda destek verdiginiz ve beni secimlerimde ozgur biraktiginiz icin size sonsuz minnettarim. Sevginizi ve desteginizi hep hissediyorum ve bu da bana guc veriyor. Sizin gibi acik ve ileri goruslu bir aileye sahip oldugum icin cok sansliyim. Sizi cok seviyorum.

Canim abliskom; sen olmasan ne yapardim hic bilmiyorum. Seni saatlerce sorunlarim ve korkularimla ali koysamda bir kere bile of demeden sabirila dinledin beni ve hep yardimci oldun. Hollanda'ya kadar gelsem de kurtulamadin benden ☺ Benim icin cok degerli ve kiymetlisin! Seni cok seviyorum. Serkan abi senin de az basini sisirmedim ☺ Sizinle yaptigimiz raki balik sohbetleri en cok ozlem duyduklarimin basinda. Ablamla birlikte cok cok mutlu olmanizi diliyorum. Hersey icin cok tesskkur ederim.

Nordin; askusum; I never imagined that I could find my other half; especially in The Netherlands. Besides love, you brought so many colors to my life. Also, you deserve a huge credit for this bookje with your endless support. I love you so much bitanem. I am always there for you and I know you are for me! Herseyim.

Biography



Burcu Duygu was born on 19 December 1984 in Istanbul, Turkey. During her Bachelor study, between 2006 and 2007, she received an Erasmus Grant from the European Commission to study for 6 months at the University of Groningen. In 2008, she obtained her Bachelor degree in Molecular Biology and Genetics from Istanbul University, Turkey. She then received a scholarship from the University of Groningen to study in a master program called Medical Pharmaceutical Sciences. During this time, she completed two internships at the groups of (Epi)genetic Editing and Experimental Hematology, respectively. In 2011, she started her PhD at the Department of Cardiology under supervision of Prof. dr. L. de Windt and Dr. P. da Costa Martins. She worked on the therapeutic value of microRNAs for the treatment of heart failure. During her PhD track, she joined several international conferences for oral and poster presentations and in 2015 she received a travel grant from Keystone Symposia to present her research in Colorado, USA. From 2016 onwards, she works at the Department of Transplantation Immunology and Tissue Typing at Maastricht University Medical Centre, The Netherlands.

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- **Burcu Duygu**, Ben M. Matern, Mathijs Groeneweg, Christien EM. Voorter, Marcel GJ. Tilanus. Polymorphism at residue 156 of the new HLA-A*02:683 allele suggests immunological relevance. *HLA* (2017); 107-109.
- **Burcu Duygu**, Ella M. Poels, Rio Juni, Nicole Bitsch, Lara Ottaviani, Servé Olieslagers, Leon J. de Windt, Paula A. da Costa Martins. miR-199b-5p is a regulator of left ventricular remodeling following myocardial infarction. *Non-coding RNA Res* (2017); 1-9.
- **Burcu Duygu**, Leon J. de Windt, Paula A. da Costa Martins. Targeting microRNAs in heart failure. *Trends Cardiovasc Med.* (2016);26(2):99-110.
- **Burcu Duygu**, Paula A. da Costa Martins. miR-21: a star player in cardiac hypertrophy. *Cardiovasc Res.* (2015);105(3):235-7
- **Burcu Duygu**, Ella M. Poels, Paula A. da Costa Martins. Genetics and epigenetics of arrhythmia and heart failure. *Front Genet.* (2013);4:219

Oral presentation

- Keystone Symposia, Colorado, USA (2015)
- Heart Failure Congress, Lisbon, Portugal (2013)

Poster presentation

- Vevo User Meeting (Echocardiography seminar), Hannover, Germany (2014)
- European Heart Failure Association Winter Research Meeting, Les Diablerets, Switzerland (2014)
- German-Dutch Joint Meeting, Heidelberg, Germany (2013)
- European Heart Failure Association Winter Research Meeting, Les Diablerets, Switzerland (2013)
- German-Dutch Joint Meeting, Heidelberg, Germany (2013)
- European Heart Failure Association Winter Research Meeting, Les Diablerets, Switzerland (2013)
- German-Dutch Joint Meeting (Poster Presentation), Kerkrade, The Netherlands (2012)

